

Provider Manual



March 2018

STAR - Bexar, Dallas, El Paso, Hidalgo, Lubbock, MRSA, Nueces, Travis

CHIP - Bexar, El Paso, Lubbock, Nueces, RSA, Travis

STAR+PLUS - Bexar, Dallas, Hidalgo, Lubbock, MRSA, Nueces

STAR Kids - Bexar, El Paso, Hidalgo, Lubbock, Nueces, Travis, West MRSA

STAR Health - Statewide



STAR, CHIP 1-877-391-5921

STAR+PLUS 1-877-391-5921

STAR Kids 1-877-391-5921

STAR Health 1-866-439-2042

SuperiorHealthPlan.com

SHP_20174198



Quick Reference Guide

Superior HealthPlan Contacts

Claims Inquiries/Status 1-877-391-5921

Provider Services/Claims

STAR 1-877-391-5921
STAR+PLUS 1-877-391-5921
STAR Kids 1-877-391-5921
CHIP 1-877-391-5921
STAR Health (Foster Care) 1-866-439-2042
Medicare Advantage 1-877-391-8921

Provider Complaints email:

TexasProviderComplaints@SuperiorHealthPlan.com

Credentialing 1-800-820-5686,
Ext. 22281

Medical Management..... 1-800-218-7508
(Referrals/Authorizations) FAX: 1-800-690-7030

NICU & Emergency Inpatient Authorizations

Austin 1-800-218-7453
FAX: 1-877-650-6939
Corpus Christi 1-800-656-4817
FAX: 1-877-650-6940
Dallas 1-866-529-0294
FAX: 1-855-707-5480
El Paso 1-877-391-5923
FAX: 1-877-650-6941
Lubbock..... 1-866-862-8308
FAX: 1-866-865-4385
Hidalgo.....FAX: 1-877-212-6661
San Antonio 1-866-615-9399
FAX: 1-877-650-6942
STAR MRSA & CHIP RSA.....FAX: 1-877-505-0823

**STAR+PLUS LTSS FAX Number
for Pre-auth**..... 1-866-895-7856

Member Services

STAR, CHIP 1-800-783-5386
STAR+PLUS 1-866-516-4501
STAR Kids 1-844-590-4883
STAR Health 1-866-912-6283

Member Connections 1-800-783-5386

24-Hour Nurse Advice Line..... 1-800-783-5386

Superior El Paso Office 1-877-391-5923
LOCAL: 1-915-778-7475

Superior San Antonio Office 1-866-615-9399
LOCAL: 1-210-562-2700

Superior Austin Office 1-800-218-7453
LOCAL: 1-512-692-1465

Superior Corpus Christi Office 1-800-656-4817
LOCAL: 1-361-994-5600

Superior Dallas Office..... 1-866-534-5949

Superior Houston Office 1-866-529-0295

Superior Lubbock Office 1-866-862-8305
LOCAL: 1-806-698-5400

Superior McAllen Office..... 1-877-278-4268

Quick Reference Guide

Subcontractors

24-Hour Nurse Advice Line..... 1-800-783-5386

DentaQuest 1-888-308-9345

National Imaging Assoc.(NIA) 1-800-642-7554

Envolve PeopleCare
(Disease Management)..... 1-800-293-0056

Envolve Benefit Options..... 1-866-897-4785

Envolve Pharmacy Solutions 1-800-460-8988

Benefit Management:

Out-Patient Rx

• Pharmacy Help Desk 1-800-311-0552
(Most often used by pharmacies)

• Prior Auth Requests Phone 1-866-399-0928

• Prior Auth Requests Fax 1-866-399-0929

In-Clinic Rx Administration (Superior Prior Auth
Department)

• Prior Auth Requests Phone 1-800-218-7453,
ext. 22080

• Prior Auth Requests Fax 1-866-683-5631

Acaria – Specialty Pharmacy 1-855-535-1815

State Contacts

CHIP Eligibility and Help Line..... 1-800-647-6558

HHSC - Office of Inspector General. 1-800-436-6184
(Medicaid Fraud)

HHSC Provider Resolution – CHIP and Medicaid
email: HPM_complaints@hhsc.state.tx.us

HHSC Provider Resolution – STAR Health
email: star.health@hhsc.state.tx.us

HHSC Texas Health Steps 1-512-873-6300
Austin Regional Office

HHSC Texas Health Steps..... 1-915-834-7675
El Paso Regional Office

HHSC Texas Health Steps..... 1-210-655-8760
San Antonio Regional Office

Texas Access Alliance 1-800-964-2777
(STAR Help Line)

Medical Transportation Program 1-877-633-8747
(STAR)

Automated Inquiry System (AIS) 1-800-925-9126
(STAR/STAR+PLUS)

Prescription Help Desk 1-800-435-4165
(Texas Medicaid/CHIP)

Medicaid Eligibility and Help Line ... 1-800-964-2777

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SECTION 1

INTRODUCTION

Superior HealthPlan contracts with the Health and Human Services Commission (HHSC) to provide services to Medicaid and CHIP enrollees in several programs.

Superior also has a contract with the Centers for Medicare and Medicaid Services (CMS) for Superior HealthPlan Advantage, a Medicare Health Maintenance Organization (HMO) Special Needs Plan (SNP) for dual eligible members (those receiving both Medicare and Medicaid services). Superior has a three-party agreement between HHSC and CMS to provide services for STAR+PLUS Medicare-Medicaid Plan (MMP), a plan for dual eligible members that meet certain criteria.

Specifically, Superior provides services to members that participate in the following programs in certain areas of the state of Texas:

- STAR/STAR MRSA
- STAR+PLUS
- STAR Kids
- STAR Health (foster care)
- CHIP/CHIP RSA
- Superior HealthPlan Advantage (HMO SNP)
(A separate Provider Manual is available for this product at www.SuperiorHealthPlan.com.)
- STAR+PLUS Medicare-Medicaid Plan (MMP)
(A separate Provider Manual for this product is available at www.SuperiorHealthPlan.com.)
- STAR+PLUS Nursing Facility
(A separate Provider Manual for this product is available at www.SuperiorHealthPlan.com.)

Superior Policies and Objectives

Superior conducts its business affairs in accordance with the standards and rules of ethical business conduct, and abides by all applicable federal and state laws. Changes to procedures and the most updated information will be posted on the Superior HealthPlan website. Superior's policies are designed to assist HHSC in achieving the following four main objectives:

- Improved access to care.
- Improved quality of care.
- Improved member health status.
- Improved provider and member satisfaction.

Superior has processes, policies and procedures to comply with the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and Texas regulatory requirements. For more information on HIPAA, see Section 16 - Health Insurance Portability and Accountability Act.

Member Rights and Responsibilities

Superior members are given rights and responsibilities as outlined in the Texas Administrative Code (TAC) 1 TAC §§353.201-353.203. Providers should be aware of what information is being conveyed to their patients. The member rights and responsibilities, found in Attachment O of this manual (which include rights and responsibilities for Medicaid and CHIP members), are outlined in the Superior member handbooks.

STAR Program Objectives

The objectives of the STAR program are to:

- Provide acute medical care assistance.
- Establish a medical home for clients through a Primary Care Provider (PCP).
- Emphasize preventive care.
- Improve access to and quality of care.
- Improve health outcomes.
- Improve client and provider satisfaction.
- Improve cost effectiveness and efficiency.
- Provide disease management.

STAR+PLUS Program Objectives

The objectives of the STAR+PLUS program are to:

- Prevent or delay the institutionalization of members through an effective use of long term services and supports (LTSS) services.
- Provide comprehensive service coordination which includes assessing, service planning, monitoring and coordinating care for members with complex, chronic or high cost health care or social support needs.
- Assign Medicaid-only members to a medical home and integrate primary, acute and long-term care services into one consumer-driven managed care system.
- Ensure members receive the appropriate level of care in the least restrictive setting, consistent with their personal health and safety needs.
- Improve access to health care needs and improve members' current quality of care.
- Create accountability and control on costs and outcomes of care.
- Promote provider and member satisfaction by coordination of services.
- Coordinate Medicare services between Medicaid and Medicare for members who are dual eligible.
- Provide comprehensive, community-based education to members regarding STAR+PLUS, while ensuring access to services for persons with physical or mental disabilities and persons with limited English proficiency.

Services are to be provided in a manner that promotes:

- Meaningful quality of life and autonomy for members.
- Maximum dignity and respect for all members.
- Member participation in care decisions by self-determination and/or person-centered planning.
- Member satisfaction.

- Independent living in members' homes and other community settings.
- Preservation and support of members' family and community support systems.
- Cost-effective, quality health care delivery.
- Accessibility to covered services when needed by member.
- Coordination with services outside the scope of Medicaid for true service integration.

STAR Kids Program Objectives

The objectives of the STAR Kids program are to:

- Provide Medicaid benefits that are customized to meet the health care needs of recipients through a defined system of care.
- Better coordinate care of recipients.
- Improve health outcomes.
- Improve access to health services.
- Achieve cost containment and cost efficiency.
- Reduce administrative complexity.
- Reduce potentially preventable events, including out-of-home residential care, through provision of care management and appropriate services.
- Include a health home.
- Coordinate with long term services and supports provided outside the health plan.
- Provide a plan for transitioning provision of benefits from STAR Kids to STAR+PLUS when the member turns 21.

STAR Kids Definitions

1915(i) Home and Community-Based Services - Adult Mental Health (HCBS-AMH)

Home and Community-Based Services-Adult Mental Health (HCBS-AMH) is a state-wide program that provides home and community-based services to adults with serious mental illness. The HCBS-AMH program provides an array of services, appropriate to each individual's needs, to enable him or her to live and experience successful tenure in their chosen community. Services are designed to support long term recovery from mental illness.

Community Living Assistance and Support Services (CLASS) Waiver Program

The Community Living Assistance and Support Services (CLASS) program provides home and community-based services to people with related conditions as a cost-effective alternative to an intermediate care facility for individuals with an intellectual disability or related conditions (ICF/IID). A related condition is a disability, other than an intellectual disability, that originated before age 22 that affects the ability to function in daily life.

Deaf Blind with Multiple Disabilities (DBMD) Waiver Program

The Deaf Blind with Multiple Disabilities (DBMD) program provides home and community-based services to people who are deaf blind and have another disability. This is a cost-effective alternative to an intermediate care facility for individuals with an intellectual disability or related conditions (ICF/IID). The DBMD program focuses on increasing opportunities for consumers to communicate and interact with their environment.

Dual-Eligible

Medicaid recipients who are also eligible for Medicare.

Home and Community-Based Services (HCS) Waiver Program

The Home and Community-Based Services (HCS) program provides individualized services and supports to people with intellectual disabilities who are living with their families, in their own homes or in other community settings, such as small group homes where no more than four people live. The local authority provides service coordination.

Long Term Services and Supports (LTSS)

LTSS means assistance with daily healthcare and living needs for individuals with a long-lasting illness or disability.

Medical Dependent Children Program (MDCP) Waiver Program

The Medically Dependent Children Program (MDCP) provides services to support families caring for children who are medically dependent and encourages the transition of children in nursing homes back to the community.

Texas Home Living (TxHmL) Waiver Program

The Texas Home Living Program (TxHmL) provides selected essential services and supports to people with an intellectual disability or a related condition who live in their own home or their family's home.

Youth Empowerment Services (YES) Waiver Program

The Youth Empowerment Services (YES) waiver provides comprehensive home and community-based mental health services to youth between the ages of 3 and 18, up to a youth's 19th birthday, who have a serious emotional disturbance. The YES waiver not only provides flexible supports and specialized services to children and youth at risk of institutionalization and/or out-of-home placement due to their serious emotional disturbance, but also strives to provide hope to families by offering services aimed at keeping children and youth in their homes and communities.

STAR Health Program Objectives

STAR Health provides health care for children in the Texas foster care program. Superior offers these services under a contract with the Texas Health and Human Services Commission (HHSC).

The objectives of the STAR Health program are to:

- Provide Medicaid benefits that are customized to meet the health care needs of children/youth in foster care.
- Improve access to health-care needs and improve members' current quality of care.
- Provide a plan for transitioning youth who age out of care.
- Reduce potentially preventable events through provision of service management and appropriate services.
- Provide training programs that offer clinical expertise and program information for families, caregivers, caseworkers and other child advocates.
- Provide comprehensive, community-based education to members and stakeholders, while ensuring access to services for children/youth in foster care.
- Improve health outcomes.
- Provide care coordination services to help members understand benefits, get help with appointments, find transportation assistance and identify local community resources.
- Provide Integrated Medical Home where each foster care child has access to Primary Care Providers (PCPs), behavioral health clinicians, specialists, dentists and vision services.

STAR Health focuses on three key areas to provide members with quality health care:

Integrated Medical Home where each foster care child has access to Primary Care Providers (PCPs), behavioral health clinicians, specialists, dentists and vision services.

Care Coordination services to help members understand benefits, get help with appointments, find transportation assistance and identify local community resources.

Training programs that provide clinical expertise and program information for families, caregivers, caseworkers and other child advocates.

CHIP Program Objectives

The objectives of the CHIP program are to:

- Increase the number of insured children in Texas.
- Establish a medical home for clients through a Primary Care Provider (PCP).
- Emphasize preventative care.
- Improve access to and quality of care.
- Improve health outcomes.
- Improve client and provider satisfaction.
- Improve cost effectiveness and efficiency.

Contacting Superior

Provider Services

Superior has customer service staff to assist you telephonically with your day-to-day operations, questions and/or concerns. You can contact Superior’s Provider Services department toll-free for inquiries such as, but not limited to, member eligibility, benefits, authorization requirements, how to access our Provider Portal, claim and appeal status and general program questions. During after hours, state-approved holidays, and weekends the Provider Service line is answered by Superior’s 24-hour Nurse Advice Line. The Nurse Advice Line can provide assistance with eligibility and authorizations for needed services. Superior’s Provider Services department can be reached at:

STAR, STAR+PLUS, STAR Kids and CHIP..... 1-877-391-5921

STAR Health 1-866-439-2042

Account Management

Your office is assigned an Account Manager to help you with contracting questions and inquiries, as well as any training needs related to any of our programs. Additionally, personalized support is provided by field support staff. To find local Account Manager contact information, you can call Provider Services or visit <https://www.SuperiorHealthPlan.com/providers/resources/find-my-provider-rep.html> and use the county search tool.

SECTION 2

PROVIDER ROLES AND RESPONSIBILITIES

The Role of a Primary Care Provider

The Primary Care Provider (PCP) is the cornerstone of Superior. The PCP serves as the “medical home” for the member. The “medical home” concept should assist in establishing a member and provider relationship and ultimately better health outcomes. The PCP is responsible for the provision of all primary care services for Superior’s members (Please note, STAR Kids dual eligible members are not required to have a PCP). The PCP is responsible for either being enrolled as a Texas Health Steps provider or referring members due for a Texas Health Steps checkup to a Texas Health Steps provider. In addition, the PCP is responsible for referring and obtaining referral authorization for members needing specialty services to Superior network providers. See Attachment A for a list of services and procedures requiring prior authorization.

Becoming a Texas Health Steps Provider

Providers performing medical, dental and case management services can become Texas Health Steps providers. You must be an enrolled Texas Health Steps provider in order to be reimbursed for Texas Health Steps services. Enrollment must be completed through Texas Medicaid and Healthcare Partnership (TMHP) at www.tmhp.com. For more information on Texas Health Steps, please review Section 5 – Texas Health Steps.

Who Can Serve as a Primary Care Provider (PCP)

Credentialed providers in the following specialties can serve as a PCP:

- Family Practitioner
- General Practitioner
- Internal Medicine Practitioner
- Pediatrician
- OB/GYN
- Specialist (when appropriate, as described below)
- Nurse Practitioner
- Advanced Practice Registered Nurse
- Certified Nurse Midwife
- Physician Assistant

PCP For Newborns

To make a PCP selection for an unborn child, all pregnant women should be referred to Superior’s Member Services department at:

| | |
|---------------------|----------------|
| STAR and CHIP | 1-800-783-5386 |
| STAR+PLUS | 1-866-516-4501 |
| STAR Kids | 1-844-590-4883 |
| STAR Health | 1-866-912-6283 |

Providers are also encouraged to direct the Medicaid mother to her HHSC case worker to ensure the newborn is officially deemed eligible for the Medicaid program.

A Specialist as a Primary Care Provider

Members with disabilities, special health-care needs and chronic or complex conditions have the right to designate a specialist as their PCP (excluding STAR Kids dual eligible members). A specialist may serve as a PCP only under certain circumstances, and with approval of Superior's Chief Medical Officer. To be eligible to serve as a PCP, the specialist must:

- Meet Superior's requirements for PCP participation, including credentialing.
- Contract with Superior as a PCP.

All requests for a specialist to serve as a PCP must be submitted to Superior on the Request for Specialist PCP Form (Attachment B). The request should contain the following information:

- Certification by the specialist of the medical need for the member to utilize the specialist as a PCP.
- A statement signed by the specialist that he or she is willing to accept responsibility for the coordination of all of the member's health-care needs.
- Signature of the member on the completed Request for Specialist PCP Form (Attachment B).

Superior will approve or deny the request for a specialist to serve as a PCP and provide notification of the decision to the member no later than thirty (30) days after receiving the request. The effective date of the designation of a specialist as a member's PCP may not be applied retroactively.

If the request is denied, Superior will provide a written notification to the member, which will include the reasons for the denial. The member may file an appeal as a result of the decision to deny the request for specialist as a PCP. See Section 11 for an explanation of the member appeal process.

Roles of Specialty Care Providers (Specialist)

The specialist partners with the PCP to deliver specialty care to members. A key component of the specialist's responsibility is to maintain ongoing communication with the member's PCP. Superior prefers that specialists are board certified in his or her area of expertise, but it is not required.

Specialty care practitioners and facilities are responsible for ensuring that necessary referrals/authorizations have been obtained prior to the provision of services.

For STAR Kids, Superior ensures that STAR Kids members have access to a network specialist provider for common pediatric medical specialties, including general surgery, cardiology, orthopedics, urology, neurology, pulmonology, otolaryngology and ophthalmology. In addition, all members are allowed to: 1) select a network ophthalmologist or therapeutic optometrist to provide eye health-care services, other than surgery and 2) have access, without a PCP referral, to eye health-care services from a network specialist who is an ophthalmologist or therapeutic optometrist for nonsurgical services.

PCPs must make referrals for specialty care on a timely basis, based on the urgency of the member's medical condition, but no later than three weeks.

Role of an OB/GYN

Superior allows female members to select an obstetrician/gynecologist (OB/GYN) without a referral from their PCP. An OB/GYN can provide a member:

- One well-woman checkup each year.
- Care for any female medical condition.
- Care related to pregnancy.
- Referral to a specialist within the network.

Female members may:

- Go to any Superior contracted OB/GYN for all women's care services. Neither a referral nor prior authorization is required.
- Receive family planning services from an in or out-of-network provider without a referral or prior authorization.

As noted above, an OB/GYN may also serve as a PCP. Superior allows members (excluding STAR Kids dual eligible members) to pick any OB/GYN, whether that doctor is in the same network as the member's PCP or not.

Role of a CHIP Perinatal Provider

A CHIP perinatal provider provides care for the unborn child. CHIP perinatal members (pregnant women) are not required to select a PCP. CHIP perinatal members can go to any Superior-contracted CHIP perinatal provider, listed in the CHIP Perinate section of the CHIP provider directories, for prenatal and postpartum care. Benefits provided are limited to services that affect the health of the unborn child.

CHIP perinatal members are categorized into two different groups:

1. At or below the Medicaid eligibility threshold or
2. Above the Medicaid eligibility threshold.

The Medicaid eligibility threshold is indicated on the perinatal member's Superior ID card. A "Category A" designation indicates at or below the Medicaid eligibility threshold and a "Category B" designation indicates the member is above the Medicaid eligibility threshold.

Superior is responsible for payment of professional services only for perinatal members at or below the Medicaid threshold. Hospital claims for CHIP perinatal members at or below the Medicaid threshold should be filed to the Texas Medicaid Health Partnership (TMHP), limited to prenatal, postpartum and delivery services.

Superior is responsible for payment of both professional and facility charges related to prenatal, delivery and postpartum services for perinatal members above the Medicaid threshold.

Role of a Pharmacy

Members have the right to obtain Medicaid and CHIP covered medications from a contracted pharmacy for the Texas Vendor Drug Program and any Superior network pharmacy. These pharmacies are located on Superior's website. Providers and members can also call Superior's Member Services department to locate a network pharmacy. Network pharmacies are required to perform prospective and retrospective drug utilization reviews, coordinate with the prescribing physician, ensure members receive all medications for which they are eligible, and ensure adherence to the Medicaid and CHIP Formulary administered through the Texas Vendor Drug Program (VDP) and the Medicaid Preferred Drug List (PDL). The pharmacy must coordinate the benefits when a member also receives Medicare Part D services or has other benefits.

Additional pharmacy information is located on Superior's website at <https://www.SuperiorHealthPlan.com/providers/resources/pharmacy.html>.

Role of a Dental Provider

Dental plan members may choose their main dental homes. Dental plans will assign each member to a main dental home if he or she does not choose one in a timely manner. Whether chosen or assigned, each member who is six (6) months or older must have a designated main dental home.

Role of a Main Dental Home

A main dental home serves as the member's main dentist for all aspects of oral health care. The main dental home has an ongoing relationship with that member to provide comprehensive, continually accessible, coordinated and family-centered care. The main dental home provider also makes referrals to dental specialists when appropriate. Federally Qualified Health Centers, individuals who are general dentists and pediatric dentists can serve as main dental homes.

Helping Members Find Dental Care

The dental plan member ID card lists the name and phone number of a member's main dental home provider. The member can contact the dental plan to select a different main dental home provider at any time. If the member selects a different main dental home provider, the change is reflected immediately in the dental plan's system, and the member is mailed a new ID card within five (5) business days.

If a member does not have a dental plan assigned or is missing a card from a dental plan, the member can contact the Enrollment Broker's toll free telephone number at 1-800-964-2777 (Medicaid members) and 1-877-543-7669 (CHIP members).

Role of Health Home

The role of the Health Home is to provide members with multiple chronic physical and emotional conditions with a team-based approach to care while covering a holistic array of services and supports extending beyond what can be provided by the member's PCP. Health Homes operate in conjunction with two other entities; a primary care practice and/or a specialty care practice. Health Homes are designed to provide easy access to care between providers while ensuring quality of care continues.

Health Homes provide for the following services:

1. Patient self-management education;
2. Provider education;
3. Patient-centered and family-centered care;
4. Evidence-based models and minimum standards of care; and
5. Patient and family support (including authorized representatives).

Network Limitations

Superior members must seek services from a Superior contracted provider. Exceptions include when a provider is not accessible within the network, or to ensure continuity of care for a newly enrolled Superior member as described below. All out-of-network services require an authorization.

A referral is needed to access a specialist. A specialist may not refer to another specialist.

Continuity of Care

There are situations that arise when Superior may need to approve services out-of-network. Superior may need to provide authorization for continuity in the care of a member whose health condition has been treated by a specialty care provider or whose health could be placed in jeopardy if medically necessary covered services

are disrupted or interrupted. In these cases, Superior may provide authorization to a non-contracted provider to provide the medically necessary services until the transition to a network provider may be completed. The following are circumstances in which continuity of care apply. Pre-existing conditions not imposed.

Please note, continuity of care for STAR Kids out-of-network providers have additional details that apply, including:

- STAR Kids members who change MCOs and have an existing prior authorization with their previous MCO, will need request prior authorization from Superior. Please send proof of the prior authorization along with the request. Continuity of care based on prior authorization with another MCO will be honored for up to 90 days or until the end of the authorization.
- STAR Kids members may receive necessary, covered services that are not available in-network.
- STAR Kids members who have been diagnosed with, and receiving treatment for a terminal illness at the time of enrollment with Superior, can continue to receive care from their current provider for a period of nine months from the date the member became eligible with Superior.

Newly Enrolled Members

Prior authorization may be requested for up to a 90-day initial continuity of care period to allow time for the transition to a Superior participating provider.

- After the initial 90-day period, continuity of care will no longer apply.
- If there is no participating provider who can perform the requested service within a 75-mile radius, Superior may authorize or continue authorizing the service to a non-participating provider.

Members Diagnosed with a Terminal Illness

Continuity of care also applies to prior authorization requests for members diagnosed with a terminal illness. A member can continue receiving care from their current provider for a period of nine months from the date the member became eligible with Superior.

Pregnant Members

Superior will provide out-of-network authorization to a pregnant member who is past the 24th week of pregnancy to remain under the care of her current OB/GYN up through their postpartum checkup.

- In cases where the member wishes to change her OB/GYN to one who is in-network, the member will be allowed to do so as long as the provider agrees to accept her in the last trimester of pregnancy.

Community-Based Long Term Care Services

At the time of new program implementation, Superior will provide continued authorization for services prior authorized for a period not to exceed six (6) months or until a new assessment is completed and a new authorization is issued, whichever comes first. Please refer to Section 9 - Prior Authorization for details on how to request an out-of-network authorization.

Members Who Move Out of the Service Area

Superior will continue to provide and coordinate services for members who move out of the service area until such time the member is disenrolled from Superior.

Direct Access to Care

Members have direct access to the following services and providers without first accessing care through the PCP:

- Obstetric or gynecologic services for female members (as described below).
- Routine vision services, to include eye exams and eyewear (according to benefit limitations).
- Behavioral health services.
- Network ophthalmologists or therapeutic optometrists to provide eye health-care services other than surgery.

Primary Care Providers Patient Panels

All providers have the right to regulate the number of members they are willing to accept into their practice. Since assignment is based on the member's choice, Superior does not guarantee that any provider will receive a set number of members.

If a provider declares a specific capacity for his or her Superior patient panel size and wants to make a change to that capacity, the provider must:

- Contact Superior's Account Management Department; and
- Provide notification of the change on or before the fifteenth (15th) of the month for the change to become effective on the first (1st) of the following month. If the change is requested after the fifteenth (15th) of the month, the change will become effective the first (1st) day of the second month following the request.

When an existing provider, with an assigned panel, terminates from a group, the group may request in writing to have the patient panel transferred to a participating provider within the group. This request should be sent to Account Management. Call Provider Services for your Account Manager contact information or visit <https://www.SuperiorHealthPlan.com/providers/resources/find-my-provider-rep.html>.

Superior's Quality Improvement (QI) Department performs accessibility and availability studies on Superior's network to ensure access and quality of care for all Superior members. If Superior determines that a provider fails to comply with access standards, corrective action will be required of that provider to maintain his or her contract with Superior.

PCPs are able to access their Panel Reports on Superior's Secure Provider Portal. Please see Section 17 - Provider Portal. A member may choose to select another provider to act as the member's PCP.

Under no circumstance can a provider take retaliatory action against a member due to disenrollment from the provider's panel.

Provider Responsibilities

General guide for network participation by all providers (excluding STAR Kids dual eligible):

- Provide Superior's members with a professionally recognized level of care and efficacy consistent with community standards, compliant with Superior's clinical and non-clinical guidelines and within the practice of your professional license.
- Abide by the terms of your Superior Provider Participation Agreement.
- Comply with all of Superior's policies, procedures, rules and regulations, including those found in the Provider Manual.
- Facilitate inpatient and ambulatory care services at in-network facilities.
- Arrange referrals for care and service within Superior's network.
- Verify member eligibility prior to requesting authorizations or providing services.
- Ensure member understands right to obtain medication from any network pharmacy.
- Maintain confidential medical records consistent with Superior's medical records guidelines as outlined in Attachment C and applicable HIPAA regulations. *Please note: Provider agrees that all health information, including that related to patient conditions, medical utilization and pharmacy utilization, available through portals or any other means, will be used exclusively for patient care and other related purposes as permitted by the HIPAA Privacy Rule.*
- Maintain a facility that promotes patient safety.
- Participate in Superior's Quality Improvement program initiatives.
- Participate in provider orientations and continuing education.
- Abide by the ethical principles of your profession.
- Notify Superior if you are undergoing an investigation, or agree to written orders by the state licensing agency.
- Notify Superior if a member has a change in eligibility status by contacting Provider Services.
- Maintain professional liability insurance in the amounts that meet Superior's credentialing requirements and/or state-mandated requirements.
- Notify Superior if there is a change in your office address, tax ID number or any other demographic changes.
- Maintain enrollment status with Texas Medicaid. *Please note: Texas Medicaid will deny claims for prescriptions, items and services ordered, referred or prescribed for any Medicaid, Children with Special Health Care Needs Services Program (CSHCN) or Healthy Texas Women member when the provider who ordered, referred or prescribed the items or services is not enrolled in Texas Medicaid. This applies to both in-state and out-of-state providers.*
- Comply with the requirements of Texas Government Code §531.024161 regarding the submission of claims involving supervised providers.
- Maintain the Participating Provider Conflict of Interest and Health Care Entity Financial Interest Policy and Disclosure statements to reflect current status.
- Provide at no cost to the Texas Health and Human Services Commission (HHSC) or its delegates any requested records in accordance with the timelines, definitions, formats and instructions specified by HHSC.
- Further details about the designees and types of requests can be found within network provider contracts.

Updates to Contact Information

Superior-contracted providers must inform Superior of any changes to the provider’s address, telephone number, group affiliation, etc. Medicaid providers must also notify the Health and Human Services Commission (HHSC) Administrative Services Administrator and Texas Medicaid and Health Partnership (TMHP) of any changes in practice organization or demographic information. Provider demographic changes can be updated through Superior’s Secure Provider Portal at Provider.SuperiorHealthPlan.com.

Advance Directives

Providers must inform Superior members, 18 years of age and older, of their rights to be involved in decisions regarding their medical care. This includes documentation of advance directives, their right to refuse withhold or withdraw medical and mental treatment and the rights of the member or member’s representative to facilitate medical care or make treatment decisions when the member is unable to do so as stipulated in the Advance Directives Act, Chapter 166, Texas Health and Safety Code: <http://www.statutes.legis.state.tx.us/Docs/HS/htm/HS.166.htm>.

Providers must document such information in the member’s permanent medical record. Primary Care Providers are responsible for informing their patients about completing an advance directive. Please see Attachment N for more details. The forms can be found at <https://hhs.texas.gov/laws-regulations/forms/advance-directives>.

If you would like a printed copy, or need assistance regarding advance directives, contact Provider Services.

Appointment Availability

Consistent with the HHSC Uniform Managed Care Contracts for STAR, CHIP, STAR+PLUS, STAR Kids and STAR Health, the appointment availability standards are required as noted in the table below. Superior has added examples of presenting symptoms to clarify the type of care that may be required.

Superior requires the hours of operation that providers offer to Medicaid and CHIP members be no less than those offered to commercial patients. Superior’s PCPs and specialty care providers must have adequate office hours to accommodate appointments for members using the following appointment access guide.

Reminder: Providers can contact their local Account Manager with any questions at 1-877-391-5921. To find an Account Manager in your area, visit <https://www.SuperiorHealthPlan.com/providers/resources/find-my-provider-rep.html>.

Appointment Access Guide

| Type of Care | Example | Appointment Availability | Primary Provider Type |
|--|---|---|---|
| <p>“Emergency Care” is defined as a medical condition manifesting itself by acute symptoms of sufficient severity (including severe pain), such that a prudent layperson, which possesses an average knowledge of health and medicine, could reasonably expect the absence of immediate medical care could result in:</p> <ul style="list-style-type: none"> • Death, placing the member’s health in serious jeopardy, permanent impairment of bodily functions, serious dysfunction of any bodily organ or part. • With respect to a pregnant woman who is having contractions, that there is inadequate time to effect a safe transfer to another hospital before delivery, or that transfer may pose a threat to the health or safety of the woman or the unborn child. | Radiating chest pain, severe shortness of breath. | Services must be provided upon member presentation at the service delivery site. | PCP, Specialist, Hospital |
| <p>“Urgent Care” is defined as a health condition, including an urgent behavioral health situation, which is not an emergency but is severe or painful enough to cause a prudent layperson, possessing the average knowledge of medicine, to believe that his or her condition requires medical evaluation or treatment within 24 hours by the member’s PCP or PCP designee to prevent serious deterioration of the member’s condition or health. This includes treatment for behavioral health services provided by a licensed behavioral health clinician for STAR Health members.</p> | Fever, persistent vomiting. | Appointment must be offered within 24 hours of the request, including urgent specialty care. | PCP, Specialist |
| <p>“Routine Primary Care” is designed to prevent disease altogether, to detect and treat it early, or to manage its course most effectively.</p> | Services to prevent disease, to detect disease and treat early, or to manage the course of disease effectively. | Within 14 calendar days of request. | PCP |
| Routine Specialty Care | Referral for non-urgent condition. | Within 21 calendar days of request. | Specialist |
| <p>“Preventive Health Services” for Children. Consistent with the Texas Health Steps periodicity schedule for STAR, STAR+PLUS, STAR HEALTH, and STAR KIDS. For CHIP consistent with the American Academy of Pediatrics (AAP) periodicity schedule.</p> | Texas Health Steps visits. | Within 14 calendar days for members less than 6 months of age. Within 2 months for members 6 months through age 20. For existing members age 36 months and older the Texas Health Step annual medical checkup is due on the child’s birthday. | PCP |
| Preventive Health Services for Adults | Annual physical, well woman examination. | Within 3 months of request for members 21 years of age or older. | PCP, Gynecologist |
| Prenatal Care | Routine prenatal care visits. | Within 14 calendar days of request. | Obstetrical services providers |
| High risk pregnancy or new member in the third trimester | Bleeding, no previous prenatal care. | Within 5 days of request or immediately if an emergency exists. | Obstetrical services providers |
| Routine Initial Visits and Follow-Up Behavioral Health Care | Acute/chronic psychiatric and substance use disorders. | 10 business days/14 calendar days or within 7 calendar days upon discharge from an inpatient psychiatric setting (does not pertain to CHIP Perinate members). | Behavioral Health Care Provider, Psychiatrist, Psychologist |

Accessibility 24/7

PCPs must be accessible to Superior members 24 hours per day, 7 days per week. The provider must comply with the following after-hours telephone availability standards:

- Office phone is answered during normal business hours.
- After business hours, provider must have the following arrangements:
 - The office telephone is answered after-hours by an answering service that meets language requirements of the major population groups (English and Spanish) and can contact the PCP or another designated medical practitioner. All calls answered by an answering service must be returned within 30 minutes.
 - The office telephone is answered after normal business hours by a recording in the language of each of the major population groups served (English and Spanish), directing the patient to call another number to reach the PCP or another provider designated by the PCP. Someone must be available to answer the designated provider's telephone. Another recording is not acceptable.
 - The office telephone is transferred after office hours to another location where someone will answer the telephone and be able to contact the PCP, or another designated medical provider, who can return the call within 30 minutes.

Examples of unacceptable after-hours coverage include:

- The office telephone is only answered during office hours.
- The office telephone is answered after-hours by a recording that tells patients to leave a message.
- Returning after-hours calls outside of 30 minutes.
- The answering machine is not bilingual (English and Spanish).
- The office telephone is answered after-hours by a recording that directs patients to go to an emergency room for any services needed.

Covering Providers

PCPs must arrange for coverage with another Superior-contracted provider during scheduled or unscheduled time off. In the event of a PCP having unscheduled time off, notify the Account Management department of the coverage arrangements that have been made in the PCP's absence. Covering providers must have an active National Provider Identifier (NPI) number in order to receive payment. For provision of services to Medicaid members, providers must also be actively enrolled in Texas Medicaid.

Member Education

Superior abides by state contractual agreements to ensure we provide appropriate cultural and linguistic services for our members. Materials are also made available in large print, braille and on CD when requested. A variety of sources are used to inform Superior members, in a culturally sensitive manner, about the health plan and the services available to them. This includes, but is not limited to:

- Superior member handbooks
- Superior's member quarterly newsletter, Healthy Moves
- Targeted disease management brochures
- Superior provider directory
- Superior web site, www.SuperiorHealthPlan.com
- Special mailings

To obtain a sample of any of the materials listed above, contact Member Services.

All educational materials are available in written text in both English and Spanish, and in other languages, if needed. These materials are also modified to a 6th grade reading level or below, as measured by the appropriate score on the Flesch-Kincaid Readability Scale.

You can refer your patients to our member advocate staff for personalized member education. See Attachment F for a sample referral form, or refer them to Superior's Member Services department.

Superior encourages providers to assist in member education regarding healthy lifestyles. Preventive health guidelines, which include health education and counseling topics are included in Section 12 – Quality Improvement - Practice Guidelines.

Referrals

Superior providers are required to refer members for specialty services within the Superior network. Referral to out-of-network providers will be made when medically necessary. All out-of-network services require an authorization.

Key highlights:

- A PCP is required to refer a member to a specialist when medically necessary care is needed beyond the scope of the PCP.
- A member should be referred to a specialist by their PCP.
- A specialist cannot refer to another specialist. All member care should be coordinated through the PCP.
- Some ancillary services require prior authorization. See Attachment A for details.
- PCPs are required to request authorization for services requiring authorization.
- PCPs must document the coordination of referrals and services provided between the PCP and specialist. (requirement does not apply for STAR Kids dual eligible members).

All providers are required to follow the processes outlined in Section 8 - Medical Management. Superior's prior authorization and notification requirements are included in Attachment A.

Reporting Abuse, Neglect or Exploitation (ANE)

Superior and providers must report any allegation or suspicion of ANE that occurs within the delivery of long term services and supports to the appropriate entity. The managed care contracts include MCO and provider responsibilities related to identification and reporting of ANE. Additional state laws related to MCO and provider requirements continue to apply.

Report to the Department of Aging and Disability Services (DADS) if the victim is an adult or child who resides in or receives services from:

- Nursing facilities;
- Assisted living facilities;
- Home and Community Support Services Agencies (HCSSAs) – Providers are required to report allegations of ANE to both DFPS and DADS;
- Adult day care centers; or
- Licensed adult foster care providers. Contact DADS at 1-800-647-7418.

Report to the Department of Family and Protective Services (DFPS)

Report to the Department of Family and Protective Services (DFPS) if the victim is one of the following:

- An adult who is elderly or has a disability, receiving services from:
 - HCSSAs – also required to report any HCSSA allegation to DADS.
 - Unlicensed adult foster care provider with three or fewer beds.
- An adult with a disability or child residing in, or receiving services from, one of the following providers or their contractors:
 - Local Intellectual and Developmental Disability Authority (LIDDA), local mental health authority (LMHAs), community center, or mental health facility operated by the Department of State Health Services;
 - A person who contracts with a Medicaid managed care organization to provide behavioral health services;
 - A managed care organization;
 - An officer, employee, agent, contractor, or subcontractor of a person or entity listed above; and
- An adult with a disability receiving services through the Consumer Directed Services option.

Contact DFPS at 1-800-252-5400 or, in non-emergency situations, online at www.txabusehotline.org.

Report to Local Law Enforcement:

- If a provider is unable to identify state agency jurisdiction but an instance of ANE appears to have occurred, report to a local law enforcement agency and DFPS.

Failure to Report or False Reporting:

- It is a criminal offense if a person fails to report suspected ANE of a person to DFPS, DADS or a law enforcement agency (see: Texas Human Resources Code, Section 48.052; Texas Health & Safety Code, Section 260A.012; and Texas Family Code, Section 261.109).
- It is a criminal offense to knowingly or intentionally report false information to DFPS, DADS, or a law enforcement agency regarding ANE (see: Texas Human Resources Code, Sec. 48.052; Texas Health & Safety Code, Section 260A.013; and Texas Family Code, Section 261.107).
- Everyone has an obligation to report suspected ANE against a child, an adult that is elderly or an adult with a disability to DFPS within one (1) business day of receipt of the findings. This includes ANE committed by a family member, DFPS licensed foster parent or accredited child placing agency foster home, DFPS licensed general residential operation or at a childcare center.

Fraud, Waste and Abuse Prevention

The Medicaid and CHIP programs include an important element of fraud, waste and abuse prevention, which requires the cooperation and participation of Superior's contracted providers in prevention and reporting of potential fraud, waste or abuse. Superior has a fraud, waste and abuse plan that complies with state and federal law, including Texas Government Code § 531.113, Texas Government Code § 533.012, 1 Tex. Admin. Code §§ 353.501-353.505, and 1 Tex. Admin. Code §§ 370.501-370.505. It is your responsibility as a participating provider to report any member or provider suspected of potential fraud, waste or abuse. All reports will remain confidential.

Reporting Fraud, Waste or Abuse

Let us know if you think a doctor, dentist, pharmacist at a drug store, other health-care providers or a person getting benefits is receiving benefits inappropriately. Examples of fraud, waste and abuse include:

- Getting paid for services that were not provided or necessary.
- Upcoding for services provided to receive higher reimbursement.
- Unbundling when billing for services provided.
- Not telling the truth about a medical condition to get medical treatment.
- Letting someone else use their Medicaid or CHIP ID.
- Using someone else's Medicaid or CHIP ID.
- Not telling the truth about the amount of money or resources he or she has to get benefits.

To report fraud, waste or abuse, you may file a report directly to the Texas Office of Inspector General (HHSC OIG), or you may report an issue to Superior. To report fraud, waste or abuse:

- Call the OIG Hotline at 1-800-436-6184.
- Visit <https://oig.hhsc.state.tx.us> and select "Report Fraud" to report fraud, waste and abuse to complete the online form. Contact Superior's Corporate Special Investigative Unit directly at:

Centene Corporation
Superior HealthPlan Fraud and Abuse Unit
1390 Timberlake Manor Parkway, Suite 450
Chesterfield, MO 63017

Information Needed to Report Fraud, Waste or Abuse

When reporting a provider (doctor, dentist, therapist, pharmacist, etc.) include as much information as possible, such as:

- Name, address and phone number of provider.
- Name and address of the facility (hospital, nursing home, home health agency, etc.).
- Medicaid number of the provider and facility, if you have it.
- Type of provider (physician, therapist, pharmacist, etc.).
- Names and phone numbers of other witnesses who can aid in the investigation.
- Dates of events.
- Summary of what happened.

When reporting a member (a person who receives benefits), include:

- The person's name.
- The Medicaid or CHIP program in which the member is/was enrolled (STAR, STAR+PLUS, STAR Health, STAR Kids, CHIP)
- The person's date of birth, social security number or case number if available.
- The city where the person resides.
- Specific details about the fraud, waste or abuse.

Coordination of Care

Superior and its providers partner to identify and manage services for all members, including persons with disabilities, chronic or complex conditions and Members and Children with Special Health Care Needs (MSHCN/ CSHCN). This includes development of a plan of care to meet the needs of the member, which is updated at least annually. The plan of care is based on health needs, PCP and specialist(s) recommendations, periodic reassessment of the member's developmental and functional status and service delivery needs. For members needing a referral to case management, please see Section 8 - Medical Management.

As a provider managing a member with special health-care needs, Superior looks to its providers serving that member to:

- Be part of a multidisciplinary team responsible for the delivery of care, when determined to be medically necessary for effective treatment, to avoid separate and fragmented evaluations and service plans.
- Provide an adequate plan of care for the member so the needs of care can be reasonably met.
- Develop specialty care and support service recommendations to be incorporated into the plan of care.
- Include the patient's behavioral health provider, if applicable in the multidisciplinary team serving the member's physical and behavioral health needs, to include an exchange of medical records for the patient as needed.
- Provide information to the member and the member's family concerning the specialty care recommendations.
- Provide necessary medical tests or procedures to monitor disabilities within the provider's office (if available), or at a Superior-contracted provider's office/facility, which is located at or near the provider's office.
- Participate in preadmission hospital planning for non-emergency hospitalizations.
- Participate in hospital discharge planning.
- Submit, in a timely manner, all required information for the Health Passport.

Community First Choice Provider Responsibilities

Provider Responsibilities

- The CFC services must be delivered in accordance with the member's service plan.
- The program provider must maintain current documentation which includes the member's service plan, ID/ RC (if applicable), staff training documentation, service delivery logs (documentation showing the delivery of the CFC services), medication administration record (if applicable) and nursing assessment (if applicable).
- The Home and Community-Based Services (HCS) or Texas Home Living (TxHML) program provider must ensure that the rights of the members are protected (e.g., privacy during visitation, to send and receive sealed and uncensored mail, to make and receive telephone calls, etc.).
- The program provider must ensure, through initial and periodic training, the continuous availability of qualified service providers who are trained on the current needs and characteristics of the member being served. This includes the delegation of nursing tasks, dietary needs, behavioral needs, mobility needs, allergies and any other needs specific to the member that are required to ensure the member's health, safety and welfare. The program provider must maintain documentation of this training in the member's record.

- The program provider must ensure that the staff members have been trained on recognizing and reporting acts or suspected acts of abuse, neglect and exploitation. The program provider must also show documentation regarding required actions that must be taken when, from the time they are notified, that a DFPS investigation has begun through the completion of the investigation (e.g., providing medical and psychological services as needed, restricting access by the alleged perpetrator, cooperating with the investigation, etc.). The program provider must also provide the member/Legally Authorized Representative (LAR) with information on how to report acts or suspected acts of abuse, neglect and exploitation and the DFPS hotline. (1-800-647-7418).
- The program provider must address any complaints received from a member/LAR and have documentation showing the attempt(s) at resolution of the complaint. The program provider must provide the member/LAR with the appropriate contact information for filing a complaint.
- The program provider must not retaliate against a staff member, service provider, member (or someone on behalf of a member) or other person who files a complaint, presents a grievance or otherwise provides good faith information related to the misuse of restraint, use of seclusion, or possible abuse, neglect or exploitation.
- The program provider must ensure that the service providers meet all of the personnel requirements (age, high school diploma/GED OR competency exam and three references from non-relatives, current Texas driver's license and insurance if transporting, criminal history check, employee misconduct registry check, nurse aide registry check, OIG checks). For CFC Emergency Response Services (ERS), the program provider must ensure that the provider of ERS has the appropriate licensure.
- For CFC ERS, the program provider must have the appropriate licensure to deliver the service.
- Per the CFR §441.565 for CFC, the program provider must ensure that any additional training requested by the member/LAR of CFC Personal Assistance Services (PAS) or habilitation (HAB) service providers is procured.
- The use of seclusion is prohibited. Documentation regarding the appropriate use of restrictive intervention practices, including restraints must be maintained, including any necessary behavior support plans.
- The program provider must adhere to Superior's financial accountability standards.
- The program provider must prevent conflicts of interest between the program provider, a staff member, or a service provider and a member, such as the acceptance of payment for goods or services from which the program provider, staff member or service provider could financially benefit.
- The program provider must prevent financial impropriety toward a member, including unauthorized disclosure of information related to a member's finances and the purchase of goods that a member cannot use with the member's funds.

STAR+PLUS and STAR Kids Provider Responsibilities

Role of a Long Term Services and Supports Provider

The Long Term Services and Supports (LTSS) provider serves certain members participating in the STAR+PLUS and STAR Kids program. An LTSS provider assists a patient by providing a variety of non-medical services, such as adult day care, adult foster care, home delivered meals, personal attendant services, home modifications, respite services, etc. LTSS services require a prior authorization.

Long Term Services and Supports Provider Responsibilities

LTSS providers deliver a continuum of care and assistance ranging from in-home and community-based services for elderly people and persons with disabilities who need assistance in maintaining their independence, to institutional care for those who require that level of support, seeking to maintain independence for individuals while providing the support required. LTSS providers have certain responsibilities for the STAR+PLUS and STAR Kids program and the members they serve. This includes, but is not limited to:

- Contacting Superior to verify member eligibility and/or authorizations for service.
- Providing continuity of care.
- Coordinating with Medicaid and Medicare.
- Coordinating Medicaid/Medicare benefits for dual eligibles, as applicable.
- Notifying Superior of any change in member's physical condition or eligibility.

LTSS providers are required to provide covered health services to members within the scope of their Superior agreement and specialty license.

Superior must require that LTSS providers submit periodic cost reports and supplemental reports to HHSC in accordance with 1 Tex. Admin. Code Chapter 355, including Subchapter A (Cost Determination Process) and 1 Tex. Admin. Code § 355.403 (Vendor Hold). If an LTSS provider fails to comply with these requirements, HHSC will notify Superior to hold payments to the LTSS provider until HHSC instructs Superior to release the payments. HHSC will forward notices directly to LTSS providers about such costs reports and information that is required to be submitted. LTSS services must be previously authorized and all requests should be faxed to the STAR+PLUS Service Coordination Department at 1-866-895-7856.

For the STAR Kids Service Coordination Department, please contact 1-844-433-2074.

In the event LTSS providers require assistance in the delivery of service they may:

1. Contact Provider Services, available Monday through Friday, 8:00 a.m. to 5:00 p.m. CST, except for state-approved holidays.
2. Contact the 24-hour Nurse Advice Line at 1-800-783-5386, available 24 hours a day, 7 days a week to obtain medical guidance and support from a nurse.

STAR+PLUS Attendant Care Rate Enhancement

LTSS providers contracted with Superior may participate in the STAR+PLUS attendant care enhanced payment program if they currently participate in the attendant compensation rate enhancement program with DADS. The www.SuperiorHealthPlan.com 169 Claims and Encounters Administration following LTSS services are eligible for enhanced payments:

- Personal Assistant Services (PAS) both waiver and non-waiver.
- Day Activity and Health Services (DAHS).
- Assisted Living and Residential Care Services (ALRC).
- Habilitation (under CFC).

Superior will reimburse providers at the same participation level as they are assigned by DADS. Superior will increase the fee schedules for the codes included in the enhancement program for Superior contracted providers who are contracted to participate in Superior's Attendant Care Enhanced Payment Program. For providers who are enrolled and subsequently do not continue participation in DADS, the level will remain the same throughout the duration of their participation in the program.

For assisted living facilities that do not hold a DADS contract, Superior will establish an additional amount to be added on to the unit rates by type of service. If based upon Superior's review of quality measures and determines a change to the provider's level, Superior will supply appropriate advance notice to such providers.

There are two (2) distinct processes that encompass Superior's Rate Enhancement Program which is in place for participating providers. Non-participating providers cannot participate in rate enhancement through Superior. These processes are Annual Attestation and Rate Level Changes.

Annual Attestation Process

Annually, Superior conducts outreach to providers in its Rate Enhancement Program to obtain a notarized affidavit attesting to their participation in the Rate Enhancement Program for STAR+PLUS and the pass through of enhanced payments to their direct care staff. Towards the end of each year, these providers will be asked to submit a new attestation for the following calendar year.

Each affidavit is effective for a specific calendar year. However, any affidavit received on or after September 1, will be processed for both the current and upcoming calendar year.

Providers who contract during the plan year, and are participating in rate enhancement, should submit an affidavit that would be good for the existing plan year.

Rate Level Changes

Providers may communicate changes to their rate enhancement level at any time during the year. For providers that are assigned a new participation level by DADS for PAS or DAHS services, these providers must submit the updated level in writing to Superior requesting a change in participation level.

Superior will verify new participation level using the list as published on the HHSC website under the Attendant Compensation Rate Enhancement webpage. All rate enhancement level changes are effective the month following the month the notice was provided to Superior. Rate enhancement level changes are made prospectively, and will not be made retrospectively.

Please note: Without an affidavit on file, Superior cannot process a rate change. Providers will need to submit an affidavit with their level change for the remaining plan year, if there is none on file. Please refer to Attachment X.

Provider Responsibilities for Employment Assistance (EA) and Supported Employment (SE)

Employment Assistance is provided as an HCBS STAR+PLUS and STAR Kids Waiver service to a member to help the member locate competitive employment or self-employment. EA services include, but are not limited to, the following:

- Identifying a member's employment preferences, job skills and requirements for a work setting and work conditions;
- Locating prospective employers offering employment compatible with a member's identified preferences, skills and requirements; and
- Contacting a prospective employer on behalf of a member and negotiating the member's employment.

SE services provide assistance as HCBS Waiver service to a member who, because of a disability, requires intensive, ongoing support to be self-employed, work from home or perform in a work setting at which members without disabilities are employed. SE provides the supports necessary in order to sustain paid employment. SE services include, but are not limited to, the following:

- Employment adaptations, supervision and training related to a member's diagnosis;
- If the member is under age 22, ensure provision of SE, as needed, if the services are not available through the local school district; and
- If the member is under age 22, SE may be provided through the SPW if documentation is maintained in the member's record, that the service is not available to the member, under a program funded under the Individuals with Disabilities Education Act (20 U.S.C. §1401 et seq).

The provider must develop and update quarterly a plan for delivering EA/SE including documentation of the following information:

- Name of the member;
- Member's employment goal;
- Strategies for achieving the member's employment goal, including those addressing the member's anticipated employment support needs;
- Any concerns about the effect of earnings on benefits, and a plan to address those concerns;
- Progress toward the member's employment goal;
- If progress is slower than anticipated, an explanation of why the documented strategies have not been effective, and a plan improve the effectiveness of the member's employment search; and
- Names of the people, in addition to the member, whose support is or will be needed to ensure successful employment placement, including the corresponding level of support those persons are providing or have committed to providing.

Additional STAR Kids Provider Responsibilities

Coordination with the Department of Family and Protective Services (DFPS)

Superior works with the DFPS and foster care parents to ensure that the at-risk population, both children in custody and not in custody of DFPS, receive needed health care. Children who are served by DFPS may transition in and to various areas of the state rapidly.

During the transition period for a child moving between custodians and beyond, providers must:

- Schedule medical or behavioral appointments within fourteen (14) days of the requested appointment or earlier as requested by DFPS.
- Provide periodic written updates on the treatment status of members to DFPS as required by DFPS.
- Provide medical records to DFPS upon request.
- Participate, when requested by DFPS, in planning to establish permanent homes for members.
- Testify in court for child protection litigation as required by DFPS.
- Comply with DFPS policy regarding medical consent and release of confidential information.
- Refer suspected cases of abuse or neglect to DFPS.
- Participate in Superior's training activities regarding DFPS coordination.

For assistance with members and DFPS, providers should call Superior's STAR Kids Member Services department at 1-844-590-4883.

To report concerns of abuse, neglect or exploitation of children, the elderly or people with disabilities, contact the Texas Abuse/Neglect Hotline at 1-800-252-5400, or at www.txabusehotline.org.

STAR Health Provider Responsibilities

Primary Care Provider/Behavioral Health Integration and Communication

Primary Care Providers (PCPs) must screen members for any behavioral condition, may treat members within the appropriate scope of their practice and may refer members for treatment to a network behavioral health provider.

In the STAR Health program, PCPs and behavioral health providers are required to send each other initial and quarterly summary reports of a member's physical and behavioral health status. Reports between PCP and behavioral health providers may be required more frequently if clinically indicated, directed by the Service Management Team, or court ordered.

Reports must include information required for judicial review of medical care under Texas Family Code 266.007. These reports can be provided directly between providers or via the Health Passport. Providers may fax reports to the Health Passport utilizing the cover sheet and directions provided in Attachment R.

Coordination with the Department of Family and Protective Services (DFPS)

Superior works with the DFPS and foster care parents to ensure that the at-risk population, both children in custody and not in custody of DFPS, receive needed health care. Children who are served by DFPS may transition in and to various areas of the state rapidly.

During the transition period for a child moving between custodians and beyond, providers must:

- Schedule medical or behavioral appointments within fourteen (14) days of the requested appointment or earlier as requested by DFPS.
- Provide periodic written updates on the treatment status of members to DFPS as required by DFPS.
- Provide medical records to DFPS upon request.
- Participate, when requested by DFPS, in planning to establish permanent homes for members.
- Testify in court for child protection litigation as required by DFPS.
- Comply with DFPS policy regarding medical consent and release of confidential information.
- Refer suspected cases of abuse or neglect to DFPS.
- Participate in Superior's training activities regarding DFPS coordination.

For assistance with members and DFPS, providers should call Superior's STAR Health Member Services Department at 1-866-912-6283.

To report concerns of abuse, neglect or exploitation of children, the elderly, or people with disabilities, contact the Texas Abuse/Neglect Hotline at 1-800-252-5400, or at www.txabusehotline.org.

Medical Record Keeping

Superior's Requirements

Superior requires all providers (physician, hospital and ancillary) to maintain sound medical record keeping practices that are consistent with Superior's medical records guidelines included in Attachment C. Superior requires that records be maintained in compliance with all HIPAA regulations and other federal and state laws. Records must be kept in a legible, current, detailed, organized and comprehensive manner that permits effective

patient care and quality review. Whether using paper or electronic record keeping systems, medical records need to be identifiable by the patient name and be accessible. All medical records must be kept for at least seven (7) years from the anniversary date of last treatment. Records of patients younger than eighteen (18) shall be retained until the patient reaches age twenty-one (21) or for seven (7) years from the last treatment date, whichever is longer. Medical records must be accessible at the site of the member's PCP or other provider.

Compliance Audits for Medical Record Documentation

Superior may audit record-keeping practices and individual member medical records in conjunction with ongoing Quality Improvement Program activities. The standards in Attachment C will be utilized during medical record documentation reviews by Superior. Providers scoring less than 80% on medical record audits may be placed under a corrective action plan, subject to additional medical record reviews or referred to Superior's Quality Improvement Committee (QIC) for recommendations.

Superior encourages providers to request medical records that document care previously provided to members that are new to their panel. This will assist in assuring the member receives continuous care, as well as helping determine the most appropriate course of treatment for the patient.

Required Use of Forms

Superior does not require specific forms for medical record documentation. Various professional organizations have created flow sheets or templates that can improve documentation processes. Superior encourages use of flow sheets and standardized forms for documentation as a method to improve continuity and coordination of care for members.

Confidentiality of Medical Records

All providers rendering services to Superior members are required to maintain medical records that conform to the requirements of the HIPAA and other federal and state laws. Practitioners should maintain confidentiality of medical records and treatment information in accordance with state and federal laws. To ensure the member's privacy, medical records should be kept in a secure location and accessible only by authorized personnel. Practitioners must periodically train their staff about member information confidentiality.

Marketing Guidelines for Superior Providers

Superior providers must adhere to marketing guidelines as outlined by HHSC and referenced in your contract with Superior for the STAR, STAR+PLUS, CHIP, STAR Health (foster care) and STAR Kids programs. The permitted and prohibited guidelines are below.

The HHSC marketing guidelines applicable to Medicaid and CHIP providers include the following permitted actions and activities:

1. Providers are permitted to educate/inform their patients about the CHIP/Medicaid Managed Care programs in which they participate.
2. Providers may inform their patients of the benefits, services and specialty care services offered through the Managed Care Organizations (MCOs) in which they participate. However, providers may not recommend one MCO over another MCO, offer patients incentives to select one MCO over another, or assist the patient in deciding to select a specific MCO.

3. At the patients' request, providers may give patients the information necessary to contact a particular MCO or refer the member to an MCO Member Orientation.
4. Providers must distribute and/or display health-related materials for all contracted MCOs, or choose not to distribute and/or display for any contracted MCO.
 - a. Health-related posters cannot be larger than 16" x 24".
 - b. Health-related materials may have the MCO's name, logo and contact information.
 - c. Providers are not required to distribute or display all health-related materials provided by each MCO with whom they contract. Providers can choose which items to distribute and/or display from each contracted MCO, as long as they distribute or display one or more items from each contracted MCO.
5. Providers must display stickers submitted by all contracted MCOs or choose to not display stickers for any contracted MCOs. MCO stickers indicating the provider participates with a particular health plan cannot be larger than 5" x 7" and cannot indicate anything more than "MCO/Dental Contractor is accepted or welcomed here."
6. Providers may choose whether to display items such as children's books, coloring books and pencils provided by each contracted MCO. Providers can choose which items to display as long as they display one or more from each contracted MCO. Items may only be displayed in common areas.
7. Providers may distribute Children's Medicaid/CHIP applications to families of uninsured children and assist with completing the application.
8. Providers may direct patients to enroll in the CHIP/Medicaid Managed Care Programs by calling the Administrative Services Contractor.
9. The MCO may conduct member orientation for its members in a private conference room at a provider's office but NOT in common areas at a provider's office.
10. Bargains, premiums or other considerations on prescriptions may not be advertised in any manner in order to influence a member's choice of pharmacy or promote the volume of prescriptions provided by the pharmacy. Advertisement may only convey participation in the Medicaid/CHIP program.

The HHSC marketing guidelines applicable to Medicaid and CHIP providers include the following prohibited actions and activities:

1. Distribute marketing materials directed to Medicaid or CHIP members without prior approval from Superior, who is responsible for obtaining HHSC approval.
2. Distribute marketing materials to Medicaid or CHIP members that is written above the 6th grade reading level.
3. Offer incentives or giveaways valued over \$10 and over \$50 in the aggregate annually to potential Medicaid or CHIP patients.
4. Provide incentives or giveaways to MCO members or potential members.
5. Give gift cards to members or potential members that are redeemable for cash or allow the member or potential member to purchase alcohol, tobacco and drugs.
6. Directly or indirectly, engage in door-to-door, telephone and other cold call marketing activities.
7. Market in or around public assistance offices, including eligibility offices.
8. Use "spam."
9. Make any assertion or statement (orally or in writing) that the MCO is endorsed by the Centers of Medicare and Medical Services (CMS), a federal or state government agency, or similar entity.

10. Market to persons currently enrolled in another CHIP or Medicaid Managed Care MCO.
11. Induce or accept a member's enrollment or disenrollment in Superior.
12. Use terms that would influence, mislead, or cause potential members to contact Superior, rather than ASC for enrollment.
13. Portray the MCO's competitors in a negative manner.
14. Make false, misleading or inaccurate statements or misrepresentations of fact or law relating to Superior or the CHIP and Medicaid Managed Care programs, services or benefits.
15. Make giveaways conditional based on enrollment with the MCO.
16. Charge members for goods or services distributed at events.
17. Charge members a fee for accessing the MCO's or the provider's website.
18. Influence enrollment in conjunction with the sale or offering of any private insurance.
19. Use marketing agents who are paid solely by commission.
20. Post MCO-specific, non-health related materials or banners in provider offices.
21. Conduct member orientations in common areas of provider offices.
22. Solicit enrollment or disenrollment in an MCO, or distribute MCO-specific materials at a marketing activity. (This does not apply to health fairs where providers do immunizations, blood pressure checks, etc. as long as the provider is not soliciting enrollment or distributing plan specific MCO materials.)
23. Make charitable contributions or donations from Medicaid/CHIP funds.
24. Purchase or otherwise acquire mailing lists from third party vendors, or pay HHSC contractors or sub-contractors to send plan specific materials to potential members.
25. Reference the commercial component of the MCO in any of its CHIP or Medicaid Managed Care marketing materials.
26. Discriminate against a member or potential member because of race, creed, age, color, religion, natural origin, ancestry, marital status, sexual orientation, physical or mental disability, health status or existing need for medical care.
27. Assist with enrollment form or influencing MCO selection.
28. Make false, misleading or inaccurate statements relating to services or benefits of the MCO or the CHIP or Medicaid Managed Care Programs, or relating to the providers or potential providers contracting with the MCO.
29. Direct mail marketing to potential enrollees.

HHSC Medicaid or CHIP Program Suspension

In the event that Superior does not receive its full premium payment for one or more periods under its state contracts with HHSC, Superior's obligation to pay you for services you provide to members shall be suspended until such time as HHSC makes payment in full to Superior under such contracts. Your obligations to submit claims and/or encounters for the services you render shall not be postponed or otherwise modified. This payment suspension provision shall supersede any conflicting provision found in your provider contract with Superior.

Network Termination

A provider may terminate from the Superior network in accordance with the provider's Participation Agreement. Refer to your Superior contract for written notification time frames and/or contact the Provider Services department.

All termination requests must be received in writing. Please include the TIN, NPI, termination date and the reason for the termination. Your Account Manager can help you facilitate a termination.

SECTION 3

ELIGIBILITY AND DISENROLLMENT

The Health and Human Services Commission (HHSC) is responsible for determining Medicaid and CHIP eligibility. Contact Superior’s Member Services department if you need to locate a HHSC eligibility office.

The state’s Enrollment Broker, Maximus, is responsible for enrolling individuals into the Medicaid and CHIP programs. The Enrollment Broker can be contacted at the Medicaid Managed Care help line at 1-800-964-2777.

When a member gains Medicaid or CHIP eligibility, the state’s Enrollment Broker sends the member an enrollment packet, informing the member of the health plan choices in his or her area. The packet will also inform the member to select a health plan and a PCP within fifteen (15) days. Members applying for CHIP will need to select a plan and a PCP within fifteen (15) days of gaining eligibility.

Verifying Member Eligibility

Each person approved for Medicaid benefits gets a Your Texas Benefits Medicaid card. However, having a card does not always mean the patient has current Medicaid coverage. Providers should verify the patient’s eligibility for the date of service prior to services being rendered. There are several ways to do this:

HHSC Resources

- Swipe the patient’s Your Texas Benefits Medicaid card through a standard magnetic card reader, if your office uses that technology.
- Use TexMedConnect on the TMHP website at www.tmhp.com.
- Call the Your Texas Benefits provider helpline at 1-855-827-3747.
- Call Provider Services at the patient’s medical or dental plan.
- For CHIP, providers can verify eligibility by:

CHIP Inquiry System: 1-800-645-7164

CHIP Customer Service:..... 1-800-647-6558

Important: Do not send patients who forgot or lost their cards to an HHSC benefits office for a paper form. They can request a new card by calling 1-855-827-3748. Medicaid members also can go online to order new cards or print temporary cards.

Important: Providers should request and keep hard copies of any Medicaid Eligibility Verification (Form H1027) submitted by members or proof of member eligibility from the Your Texas Benefits Medicaid card website at www.YourTexasBenefitsCard.com. A copy is required during the appeal process if the member’s eligibility becomes an issue.

Your Texas Benefits

Your Texas Benefits gives providers access to Medicaid health information. Medicaid providers can log into the site to see a patient’s Medicaid eligibility, services and treatments. This portal aggregates data (provided from TMHP) into one central hub - regardless of the plan (FFS or Managed Care). All of this information is collected and displayed in a consolidated form (Health Summary) with the ability to view additional details if need be. It’s free and requires a one-time registration.

To access the portal, visit YourTexasBenefitsCard.com and follow the instructions in the ‘Initial Registration Guide for Medicaid providers. For more information on how to get registered, download the ‘Welcome Packet’ on the home page.

YourTexasBenefitsCard.com allows providers to:

- View available health information such as:
 - Vaccinations
 - Prescription drugs
 - Past Medicaid visits
 - Health Events, including diagnosis and treatment
 - Lab Results
 - Verify a Medicaid patient’s eligibility and view patient program information
 - View Texas Health Steps Alerts.
 - Use the Blue Button to request a Medicaid patient’s available health information in a consolidated format.

Patients can also log in to www.YourTexasBenefits.com to see their benefit and case information, print or order a Medicaid ID card, set up Texas Health Steps Alerts and more.

If you have questions, call 1-855-827-3747 or email ytb-card-support@hpe.com.

Superior Resources

- Accessing Superior’s secure Provider Portal at www.SuperiorHealthPlan.com. This website is updated upon receipt of information from the state and eligibility may change (i.e. be retroactive or terminate). As a result, eligibility verification from the website does not guarantee payment.
- The member’s plan-issued Superior ID card. See Attachment D for a sample card. Possession of a member ID card is not a guarantee of current enrollment or guarantee of payment.
- Calling Superior’s member hotline will provide an interactive IVR or you can also contact a live agent:

| | |
|---------------------|----------------|
| STAR and CHIP | 1-800-783-5386 |
| STAR+PLUS..... | 1-866-516-4501 |
| STAR Kids | 1-844-590-4883 |
| STAR Health | 1-866-912-6283 |

Pharmacies

Electronic eligibility verification (e.g., NCPDP E1 Transaction) is available to check eligibility when rendering a prescription.

Important: Do not send patients who forgot or lost their cards to an HHSC benefits office for a paper form. They can request a new card by calling 1-855-827-3748. Medicaid members also can go online to order new cards or print temporary cards.

Additional Forms that can be Used to Verify Eligibility

Form 1027-A: Temporary Medicaid Eligibility Verification form can be used as evidence of Medicaid eligibility. This form is issued as temporary proof of Medicaid eligibility while the member is waiting for their Your Texas Benefits Medicaid Card.

Form 2085-B: STAR Health (foster care) members also receive a DFPS Form 2085-B, which is the Designation of Medical Consenter Form.

Involuntary Disenrollment Due to Member Non-Compliance

There may be instances when a PCP feels that a member should be removed from his or her panel. Superior requires notification of such requests so educational outreach can be arranged with the member. All notifications to remove a patient from a panel must:

- Be made in writing;
- Be directed to Superior's Compliance Department.
- Contain detailed documentation; and

Upon receipt of a request, Superior may:

- Interview the provider or his or her staff requesting the disenrollment, as well as any additional providers who are relevant to the request;
- Interview the member; or
- Review any relevant medical records.

Examples of reasons a PCP may request to remove a member from his or her panel could include, but are not limited to:

- If a member is disruptive, unruly, threatening or uncooperative to the extent that the member seriously impairs the provider's ability to provide services to the member, or to other patients, and the member's behavior is not caused by a physical or behavioral condition.
- If a member refuses to comply with managed care guidelines, such as repeated emergency room use, combined with refusal to allow the provider to treat the underlying medical condition.

A PCP cannot request a member be disenrolled for any of the following reasons:

- Adverse change in the member's health status or utilization of services which are medically necessary for the treatment of a member's condition.
- On the basis of the member's race, color, national origin, sex, age, disability, political beliefs or religion.

Under no circumstances can a provider take retaliatory action against a member due to disenrollment from either the provider or a plan. HHSC will make the final decision.

Hospice Enrollment

Pursuant to Section 2302 of the Patient Protection and Affordable Care Act of 2010 (P.L. 111-148), states are required to provide concurrent hospice care and treatment services for children enrolled in Medicaid and CHIP who elect hospice care. Due to this change in federal law, a family that elects to receive hospice care for a child is no longer required to waive treatment for the child's terminal illness.

Concurrent treatment services include:

- Covered treatment services (including services related to the individual's terminal illness).
- Hospice care to include palliative care, including medical and support services related to the individual's terminal illness.

STAR and STAR Health Medicaid enrollees under 21 years of age will be disenrolled from managed care upon election of hospice. Hospice care and treatment services will be available to these individuals through fee-for-service Medicaid.

STAR Kids enrollees will receive hospice services as a carve-out benefit through fee-for-services Medicaid, but will retain their STAR Kids enrollment status. Acute care services (including services related to the treatment of the terminal illness) will be provided to STAR Kids members by Superior.

CHIP members will receive hospice care and treatment services (including services related to the treatment of the terminal illness) through Superior.

STAR Program

The Medicaid State of Texas Access Reform (STAR) program provides primary, acute care and pharmacy services for pregnant women, newborns and children with limited income. The program operates statewide under the authority of the Texas Health Care Transformation and Quality Improvement Program 1115 Waiver.

Mandatory Members

The following individuals must participate in the STAR program:

- Pregnant women.
- Newborn.
- Temporary Assistance for Needy Families (TANF) recipients or TANF-related benefits.
- Former children in foster care, ages 21-25.

Voluntary Members

The following Medicaid-eligible individuals may voluntarily enroll in the STAR program:

- Former children in foster care, ages 18-20

Excluded Individuals

The following Medicaid-eligible individuals are excluded from participation in the STAR program:

- Medicaid recipients residing in institutions or nursing facilities.
- Medically needy program participants.
- Children in foster care.
- Refugees.
- Individuals who receive SSI or Medicare.

Newborn Enrollment

If a woman is a Superior Medicaid member at the time of her delivery, the newborn is automatically a Superior STAR member from the date of birth in areas where Superior STAR is present. Providers should educate and encourage members to report newborns to 2-1-1 to ensure newborn's coverage is established timely.

Key Newborn Information: Superior expects that newborns receive their Medicaid ID within 30 days of birth. If a provider bills Superior within 30 days from the date of birth (DOB), then the claim should be submitted with mother's Medicaid ID plus the letter "A" and for multiples, "B" or "C." If the provider bills Superior 30 days after the DOB, then they must bill Superior with the newborn's Medicaid ID.

Disenrollment

When a member becomes ineligible for Texas Medicaid, the member is disenrolled from the STAR program and from Superior. HHSC is solely responsible for determining if and when a member is disenrolled from the Medicaid program. members can be disenrolled from Superior, but still be eligible for Medicaid through another health plan or program.

Renewal

In order to maintain Medicaid eligibility a member must submit all the appropriate information to HHSC during the renewal period. HHSC will mail the member requesting additional information or confirmation that their Medicaid was approved through the HHSC renewal administrative process. The notification or request for information is sent three months prior to their renewal date. Providers are encourage to remind members to submit their renewal information timely to avoid loss of coverage.

If a Superior member becomes temporarily ineligible (for six months or less) for Medicaid, but regains Medicaid eligibility within the six month timeframe and resides in the same service area, the member will be automatically re-enrolled by HHSC in Superior. Superior and the state's Enrollment Broker will make every effort to re-enroll the member with the previous PCP. The member will also have the option to switch plans.

STAR+PLUS Program

STAR+PLUS is a Texas Medicaid program integrating the delivery of acute care services and long term services and supports (LTSS) to aged, blind and disabled Medicaid recipients through a managed care system. The STAR+PLUS program is designed to assist Medicaid recipients with chronic and complex conditions who require more than acute care services.

The STAR+PLUS program operates under the federal Medicaid waiver Home and Community-Based Services in order to mandate participation and to provide Home and Community-Based Services. The Health and Human Services Commission (HHSC) is the oversight agency for the STAR+PLUS program.

Mandatory Members

The following Medicaid-eligible individuals MUST enroll in the STAR+PLUS program:

- Supplemental Security Income (SSI) eligible 21 and over.
- Individuals 21 and over who are Medicaid eligible because they are in a Social Security exclusion program. These individuals are considered Medical Assistance Only (MAO) for purposes of HCBS STAR+PLUS (c) waiver eligibility.
- Dual eligible individuals who are 21 and over covered by both Medicare and Medicaid.
- Individuals 21 and over who reside in a nursing facility.

Voluntary Members

The following Medicaid-eligible individuals may opt to enroll in the STAR+PLUS program:

- Nursing facility resident, age 21 and over, who is federally recognized as a tribal member.
- Nursing facility resident, age 21 and over, who receives services through the Program of All Inclusive Care for the Elderly (PACE).

Excluded Individuals

The following Medicaid-eligible individuals are excluded from participation in the STAR+PLUS program:

- Nursing facility residents who reside in the Truman W. Smith Children's Care Center or reside in a state veterans home.
- Residents of intermediate care facilities for the mentally retarded (ICFMR).
- Residents of institutions of mental disease or state hospitals.
- Children in the conservatorship of the Texas Department of Family and Protective Services (DFPS).
- Dual eligible (individuals who have both Medicare and Medicaid) who are residents of Intermediate Care Facilities for Persons with IID (ICF/IID) Community Living Assistance and Support Services.
- Persons enrolled in a waiver program other than the HCBS STAR+PLUS(c) nursing facility waiver program.
- Individuals not eligible for full Medicaid benefits (e.g., frail elderly program, Qualified Medicare Beneficiary [QMB], Service Limited Medicare Beneficiary [SLMB], Qualified Disabled Working Individual [QDWI], undocumented immigrants).
- Individuals receiving long term care services through non-Medicaid funded programs.

Dual Eligible Members

Dual eligible members have both Medicare and Medicaid health insurance coverage. Medicare or the member's Medicare Health Maintenance Organization (HMO) is the primary payer and will reimburse all Medicare-covered services. The state Medicaid program serves as a secondary payer and will continue to reimburse Medicare co-insurance and deductibles for dual eligible members unless enrolled in Superior's Medicare Advantage Special Needs Plans (SNP), Superior HealthPlan Advantage.

Superior HealthPlan Advantage will coordinate the payment of the Medicare Advantage cost sharing amounts for dual eligible members up to the Medicaid fee schedule. Under Superior HealthPlan Advantage, there is no copayment for services received at a skilled nursing facility. Superior will reimburse long term services and supports (LTSS) covered under the STAR+PLUS program. Superior STAR+PLUS benefits will not change or reduce any Medicare benefits for which a member is eligible.

Members with traditional Medicare coverage may choose to use their existing Primary Care Providers (PCP), and may access specialty services without prior approval from Superior. Dual eligible members do not have to select a separate PCP through Superior for their LTSS services. The Service Coordinator will communicate and coordinate services with the member's Medicare PCP to ensure continuity of care. Dual eligible members should notify their service coordinators that they have Medicare coverage, and will provide the name of their chosen PCP.

Dual eligible members have identification cards that indicate long term care (LTC) services only, and must show their ID cards each time they receive Superior STAR+PLUS covered services. Dual eligibles enrolled in Superior HealthPlan Advantage must show their ID cards each time they receive physician or hospital services. Dual eligibles

do not receive the unlimited prescription drug benefit because the delivery of primary and acute care services are beyond the scope of the Medicaid managed care program.

For dual eligible members, claims will process according to the member's Medicare insurance, and as per CMS guidance on processing Medicare Part D and/or Part B pharmacy claims. Medicare (part B or D) covered drugs and/or products must be billed to Medicare and/or commercial insurance (if there is commercial insurance on file) prior to billing Medicaid. For medications which are exclusions to CMS Medicare coverage, if the medications are included under the Medicaid formulary they will be adjudicated under the Medicaid benefit as a "wrap-around" drug. "Wrap-around" drugs/products include non-prescription (over-the-counter medications), some products used in symptomatic relief of cough and colds, limited home health supplies (LHHS) and some prescription vitamins and mineral products, which are identified on the HHSC Drug Exception file. However, these wrap-around drugs/products must also follow Medicaid (TXVDP) formulary. Please note:

- A member with a Medicare Advantage plan will not affect the coverage of wrap benefits.
- Over-the-counter "wrap-around" drugs require a prescription for Medicaid payment (these drugs will not be covered by Medicaid without a prescription).

Note: If a STAR+PLUS dual member has Medicare, Medicare is responsible for most primary, acute and behavioral health services. Therefore, the PCP's name, address and telephone number are not listed on the member's ID card.

Disenrollment

When a member becomes ineligible for Texas Medicaid, the member is disenrolled from the STAR+PLUS program and from Superior. HHSC is solely responsible for determining if and when a member is disenrolled from the Medicaid program. Members can be disenrolled from Superior, but still be eligible for Medicaid through another health plan or program.

Renewal

Members who receive SSI benefits from the Social Security Administration (SSA) are categorically eligible for SSI Medicaid and, therefore, do not have to recertify with HHSC each year. To maintain SSI benefits, the SSA may require information from the person related to their SSI benefits. The person or their representative payee may call the SSA. Neither the Department of Aging and Disability Services (DADS) nor HHSC plays a role in determining SSI eligibility. Providers are encouraged to remind members to keep their information current with SSA.

If a Superior member becomes temporarily ineligible (for six months or less) for Medicaid, but regains Medicaid eligibility within the six month timeframe and resides in the same service area, the member will be automatically re-enrolled by HHSC in Superior. Superior and the state's Enrollment Broker will make every effort to re-enroll the member with the previous PCP. The member will also have the option to switch plans.

STAR Kids Program

STAR Kids is a statewide program for children and youth, age 20 or younger, who either receive SSI Medicaid or are enrolled in the Medically Dependent Children Program (MDCP). STAR Kids will provide acute and community-based Medicaid benefits to children with disabilities. Children and youth who receive services through other 1915(c) waiver programs will receive their basic health services (acute care) through STAR Kids, but will continue receiving 1915(c) waiver services through the Department of Aging and Disability Services (DADS).

STAR Kids integrates the delivery of state plan services, behavioral health services and LTSS benefits for children and young adults age 20 and younger with disabilities.

Mandatory Members

The following Medicaid-eligible individuals MUST enroll in the STAR Kids program:

- Receive Supplemental Security Income (SSI) and SSI-related Medicaid.
- Children and young adults, age 20 and younger, who receive SSI and Medicare.
- Receive Medically Dependent Children Program (MDCP) waiver services.
- Receive Youth Empowerment Services (YES) waiver services.
- Reside in a community-based intermediate care facility for individuals with intellectual disabilities (ICF-IID) or in a nursing facility (NF).
- Receive intellectual and developmental disabilities (IDD) waiver services including:
 - Community Living Assistance and Support Services (CLASS).
 - Home and Community-Based Services (HCS).
 - Texas Home Living (TxHmL).
 - Deaf-Blind with Multiple Disabilities (DBMD).

Excluded Individuals

The following Medicaid-eligible individuals are excluded from participating in STAR Kids:

- Adults age 21 years or older.
- Children and young adults, age 20 and younger, enrolled in STAR Health.
- Children and young adults, age 20 and younger, who reside in the Truman Smith Children's Care Center or a state veteran's home.

Dual Eligible Members

Dual eligible members have both Medicare and Medicaid health insurance coverage. Medicare or the member's Medicare Health Maintenance Organization (HMO) is the primary payer and will reimburse all Medicare-covered services. The state Medicaid program serves as a secondary payer and will continue to reimburse Medicare co-insurance and deductibles for dual eligible members.

Members with traditional Medicare coverage may choose to use their existing Primary Care Providers (PCP), and may access specialty services without prior approval from Superior. Dual eligible members do not have to select a separate PCP through Superior for their LTSS services. The Service Coordinator will communicate and coordinate services with the member's Medicare PCP to ensure continuity of care. Dual eligible members should notify their Service Coordinators that they have Medicare coverage, and will provide the name of their chosen PCP.

Dual eligible members have identification cards that indicate long term care (LTC) services only, and must show their ID cards each time they receive Superior STAR Kids covered services. Dual eligibles do not receive the unlimited prescription drug benefit because the delivery of primary and acute care services are beyond the scope of the Medicaid managed care program.

Note: If a STAR Kids dual eligible member has Medicare, Medicare is responsible for most primary, acute and behavioral health services. Therefore, the PCP's name, address and telephone number are not listed on the member's ID Card.

Disenrollment

When a member becomes ineligible for Texas Medicaid, the member is disenrolled from the STAR Kids program and from Superior. HHSC is solely responsible for determining if and when a member is disenrolled from the Medicaid program. Members can be disenrolled from Superior, but still be eligible for Medicaid through another health plan or program.

Renewal

People who receive SSI benefits from the Social Security Administration (SSA) are categorically eligible for SSI Medicaid and, therefore, do not have to recertify with HHSC each year. To maintain SSI benefits, the SSA may require information from the person related to their SSI benefits. The person or their representative payee may call the SSA. Neither DADS nor HHSC plays a role in determining SSI eligibility. Providers are encouraged to remind members to keep their information current with SSA.

If a Superior member becomes temporarily ineligible (for six months or less) for Medicaid, but regains Medicaid eligibility within the six month timeframe and resides in the same service area, the member will be automatically re-enrolled by HHSC in Superior. Superior and the state's Enrollment Broker will make every effort to re-enroll the member with the previous PCP. The member will also have the option to switch plans.

STAR Health Program

STAR Health is a statewide program designed to provide medical, dental, vision and behavioral health benefits, including unlimited prescriptions, for children and youth in conservatorship of the Department of Family and Protective Services (DFPS), including those in foster care and kinship care.

Mandatory Members

The following groups are mandatory to participate in Superior STAR Health program:

- Children and young adults under 18 in DFPS conservatorship.
- Members age 18-22 who voluntarily agree to continue in a foster care placement.

Members in a waiver program will be enrolled in STAR Health but receive waiver services from the waiver program. These waiver programs include:

- Consolidated Waiver Program (CWP).
- Deaf-Blind Multiple Disabilities (DBMD).
- Community Living Assistance and Support Services (CLASS).
- Medically Dependent Children Program (MDCP).
- Home and Community-Based Services (HCS).
- Texas Home Living (TxHmL).

Voluntary Members

- Young adults who have exited care and are eligible for Medicaid for Former Foster Care Children (FFCC) from age 18-20.

Excluded Individuals

Members excluded from the STAR Health program are children who are:

- In the Texas Youth Commission (TYC).
- Placed outside the State of Texas.
- In the Texas Juvenile Probation Commission (TJPC).
- In hospice.
- From other states placed in Texas.
- Manifestly dangerous.
- In Medicaid-paid facilities such as nursing homes, state-supported living centers or Intermediate Care.
- Young adults eligible for Medicaid for Former Foster Care Children (FFCC) from age 21-26.
- Facilities for the Mentally Retarded (ICF-MR).

Newborn Enrollment

If a woman is a Superior STAR Health member at the time of delivery, the newborn is automatically a Superior STAR Health member from the date of birth. Providers should educate and encourage members to report newborns to 2-1-1 to ensure newborn's coverage is established timely.

Newborns should receive a Medicaid ID number within 30 days of birth. Until that time, all claims (with the exception of pharmacy) related to the care of the newborn should be filed with the mother's Medicaid ID number followed by the letter "A". For multiple births use the letter "B" or "C" as needed. For assistance regarding pharmacy services and newborns, contact the STAR Health Member Services Department at 1-866-912-6283.

Disenrollment

When a member becomes ineligible for Texas Medicaid, STAR Health or no longer in DFPS conservatorship, the member is disenrolled from the STAR Health program and from Superior. HHSC is solely responsible for determining if and when a member is disenrolled from the Medicaid program and STAR Health Members can be disenrolled from Superior, but still be eligible for Medicaid.

CHIP Program

The Balanced Budget Act (BBA) of 1997 (P.L. 105-33) created the state Children's Health Insurance Program (SCHIP) under Title XXI of the Social Security Act. In Texas, this is referred to as the "CHIP" program and provides health insurance to uninsured children in families with incomes too high to qualify for Medicaid.

Enrollees

Children under age 19 and whose family's income is below the Medicaid eligibility Federal Poverty Level (FPL) are eligible to enroll in the CHIP program (for 12 month eligibility) if they do not qualify for Medicaid coverage. The four CHIP eligibility categories are:

- 101% to 150% of FPL
- 151% to 185% of FPL
- 186% to 200% of FPL

Exception: A CHIP Perinatal mother in a family with an income at or below Medicaid eligibility threshold may be eligible to have the costs of birth covered through emergency Medicaid. Clients under the Medicaid eligibility threshold will receive Form H3038 with their enrollment confirmation. Form H3038 must be filled out by the doctor at the time of birth and returned to HHSC's Enrollment Broker.

Children of families with group health insurance or Medicaid coverage are not eligible for the CHIP program. Providers are required to contact the health plan immediately when a pregnant CHIP or Medicaid member is identified.

Newborn Enrollment

Providers are required to contact Superior immediately when a CHIP member becomes pregnant. Most CHIP members who become pregnant will qualify for Medicaid. Providers should educate and encourage members to report newborns to 2-1-1 to ensure newborn's coverage is established timely.

Some CHIP members will maintain their CHIP coverage through delivery of the baby. However, in most cases, the CHIP benefit does not cover the newborn from date of birth. CHIP coverage for the newborn of a mother who is CHIP-eligible at the time of delivery is not automatic. The CHIP mother must complete an application to CHIP in order for the newborn's eligibility for CHIP coverage to be considered.

Perinatal

The CHIP Perinatal coverage provides prenatal, delivery and postpartum care for the unborn children of low-income women who do not qualify for Medicaid. Once born, the child will receive CHIP benefits for the duration of the 12 month coverage period, beginning with the month of enrollment as a CHIP Perinatal member (begins on the month of enrollment as an unborn child, plus eleven [11] months). The CHIP Perinatal program includes:

- Members above the Medicaid eligibility threshold (Category B on ID card).
- Members at or below the Medicaid eligibility threshold (Category A on ID card).

Perinate Newborn

The mother of the CHIP Perinate Newborn has fifteen (15) calendar days from the time the enrollment packet is sent by HHSC's contracted CHIP Enrollment Broker to enroll in a health plan. If a health plan selection is not made, the CHIP Perinate Newborn is defaulted into a health plan and the mother is notified of the plan choice. When this occurs, the mother has 90 days to select another health plan.

Once a CHIP Perinate Newborn member's coverage expires, the child will be added to his or her siblings' active CHIP program case. If there is no active CHIP program case, then in the tenth month of the CHIP Perinate Newborn's coverage, the family will receive a CHIP renewal form. The family must complete and submit the renewal form, which will be pre-populated to include the CHIP Perinate Newborn's and the CHIP program member's information.

The following rules apply to CHIP Perinate Newborns:

- A CHIP Perinate (unborn child) who lives in a family with an income at or below the Medicaid eligibility threshold will be deemed eligible for Medicaid, and moved to Medicaid for 12 months of continuous coverage (beginning on the date of birth), after the birth is reported to HHSC's Enrollment Broker.
- A CHIP Perinatal mother in a family with an income at or below the Medicaid eligibility threshold may be eligible to have the costs of birth covered through emergency Medicaid. Clients under the Medicaid eligibility threshold will receive a Form H3038 with their enrollment confirmation. Form H3038 must be filled out by the doctor at the time of birth and returned to HHSC's Enrollment Broker.
- A CHIP Perinate Newborn will continue to receive coverage through the CHIP Perinatal program as a "CHIP Perinate Newborn" if born to a family with an income above the Medicaid eligibility threshold and the birth is reported to HHSC's Enrollment Broker.
- A CHIP Perinate Newborn is eligible for 12 months continuous enrollment, beginning with the month of enrollment as a CHIP Perinate (month of enrollment as an unborn child plus 11 months). A CHIP Perinate Newborn will maintain coverage in his or her CHIP Perinatal health plan. Copayments, cost-sharing and enrollment fees still apply to children enrolled in the CHIP Program.

Disenrollment

When a member becomes ineligible for CHIP, the member is disenrolled from the CHIP program and from Superior. HHSC is solely responsible for determining if and when a member is disenrolled from the CHIP program. Members can be disenrolled from Superior and have Medicaid through another health plan or program.

Under no circumstances can a provider take retaliatory action against a member due to disenrollment from either the provider or a plan. All final disenrollment decisions are made by HHSC.

When a member of a household enrolls in CHIP Perinatal, all traditional CHIP members in the household will be disenrolled from their current health plans and prospectively enrolled in the CHIP Perinatal member's health plan

if the plan is different. All members of the household must remain in the same health plan until the later of (1) the end of the CHIP Perinatal member's enrollment period, or (2) the end of the traditional CHIP member's enrollment period. In the 10th month of the CHIP Perinate Newborn's coverage, the family will receive a CHIP renewal form. The family must complete and submit the renewal form, which will be pre-populated to include the CHIP Perinate Newborn's and the CHIP member's information. Once the child's CHIP Perinatal coverage expires, the child will be added to his or her siblings' existing CHIP case.

Please note, CHIP members are allowed to make health plan changes under the following circumstances:

- For any reason within 90 days of enrollment in CHIP.
- For cause at any time.
- If the client moves to a different service delivery area.
- During the annual re-enrollment period.

Renewal

Once enrolled with Superior, a CHIP member is enrolled for the period of 12 months or the duration of the 12 months the family has coverage. CHIP members must re-enroll every 12. A CHIP member may be deemed to Medicaid if eligible at any point during their enrollment in CHIP.

At the beginning of the tenth month, the CHIP program will send a notice to the family outlining the next steps for renewal or continuation of coverage. Failure of the member to respond to the renewal notice will result in the member's disenrollment from Superior at the end of the 12-month enrollment period. Providers are encouraged to remind the member to submit all the necessary information for CHIP renewal timely to avoid loss of coverage.

SECTION 4

COVERED BENEFITS AND VALUE ADDED SERVICES

Medicaid Program Benefits for STAR, STAR+PLUS, STAR Kids, STAR Health and CHIP

Superior is required to provide specific, medically necessary services to its Medicaid members. Please refer to the current Texas Medicaid Provider Procedures Manual and the bi-monthly Texas Medicaid Bulletin for a more inclusive listing of limitations and exclusions.

Superior will not impose any pre-existing condition limitations or exclusions, or require evidence of insurability to provide coverage to any members enrolled in STAR, STAR+PLUS, STAR Kids, STAR Health or CHIP programs.

Medicaid benefits include, but may not be limited to:

- Ambulance services.
- Audiology services, including hearing aids.
- Behavioral health services, including:
 - Inpatient mental health services for children (under age 20).
 - Outpatient mental health services.
 - Psychiatry services.
 - Health home services.
 - Attention Deficit Hyperactivity Disorder (ADHD) services.
 - Counseling services for adults (21 years of age and over).
 - Outpatient substance use disorder treatment services including:
 - Assessment.
 - Detoxification services.
 - Counseling treatment.
 - Medication assisted therapy.
- Birthing services provided by a licensed birthing center.
- Birthing services provided by a physician or advanced practice nurse in a licensed birthing center.
- Cancer screening, diagnostic and treatment services.
- Chiropractic services.
- Dental and vision services.
- Dialysis.
- Doctor and clinic visits.
- Durable medical equipment and supplies.

- Emergency services.
- Family planning services.
- Home health-care services.
- Hospital services, including inpatient and outpatient.
- Immunizations.
- Laboratory.
- Mastectomy, breast reconstruction and related follow up procedures including:
 - Inpatient services; outpatient services provided at an outpatient hospital and ambulatory health care center as clinically appropriate; and physician and professional services provided in an office, inpatient, or outpatient setting for all stages of reconstruction on the breast(s) on which medically necessary mastectomy procedure(s) have been performed.
 - Surgery and reconstruction on the other breast to produce symmetrical appearance.
 - Treatment of physical complications from the mastectomy and treatment of lymphedema; prophylactic mastectomy to prevent the development of breast cancer.
 - External breast prosthesis for the breast(s) on which medically necessary mastectomy procedure(s) have been performed.
- Medical checkups and Comprehensive Care Program (CCP) services for children, adolescents and young adults (birth through 20) through the Texas Health Steps program.
- Medical supplies and equipment.
- Mental health care.
- Oral evaluation and fluoride varnish in the medical home in conjunction with Texas Health Steps medical checkups for children 6 months through 35 months of age.
- Podiatry.
- Prenatal care.
- Prescription drugs.
- Primary care services.
- Preventive service, including an annual adult well check for patients 21 years of age and over.
- Radiology, imaging and X-rays.
- Residential substance use disorder treatment services including:
 - Detoxification services.
 - Substance use disorder treatment (including room and board).
- Specialty physician services.
- Therapies – physical, occupational and speech.
- Transplantation of organs and tissues.
- Vision (Includes optometry and glasses. Contact lenses are only covered if they are medically necessary for vision correction, which cannot be accomplished by glasses.)

Member Handbook

Every Superior STAR, STAR+PLUS, STAR Kids, STAR Health and CHIP member receives a member handbook when enrolled in Superior. Each handbook includes information about Superior that the member needs to know, including benefits. A copy of each Superior member handbook can be accessed through:

- The Superior HealthPlan website at www.SuperiorHealthPlan.com or www.FosterCareTX.com (STAR Health).
- Superior’s Member Services Department by calling:
 - STAR and CHIP 1-800-783-5386
 - STAR+PLUS..... 1-866-516-4501
 - STAR Kids..... 1-844-590-4883
 - STAR Health 1-866-912-6283

Spell of Illness and Annual Maximum Limitation

In the traditional Medicaid and CHIP programs, the spell of illness limitation is defined as thirty (30) days of inpatient hospital care, which may accrue intermittently or consecutively. After thirty (30) days of an inpatient care admission, reimbursement for additional inpatient care is not considered until the patient has been out of an acute facility for sixty (60) consecutive days.

This limitation does not apply to Superior STAR, STAR Kids, STAR Health or CHIP members. This limitation does apply to STAR+PLUS members.

For STAR, STAR+PLUS and STAR Kids members with Superior, the \$200,000 annual limit on inpatient services does not apply.

Coordination with Other State Program Services

Coordination with Public Health

Superior is required, through its contractual relationship with HHSC, to coordinate with public health entities regarding the provision of services for essential public health services. Providers must assist Superior in these efforts by:

- Complying with public health reporting requirements regarding communicable diseases and/or diseases which are preventable by immunization as defined by state law.
- Assisting in notifying or referring to the local public health entity, as defined by state law, any communicable disease outbreaks involving members.
- Reporting to the local public health entity for Tuberculosis (TB) contact investigation and evaluation and preventive treatment of persons whom the member has come into contact within one (1) working day of identification:
 - Ensure all members who have TB or are at risk are screened for TB.
 - Access procedures for reporting TB and appropriate DSHS forms from www.dshs.State.tx.us/idcu/disease/tb/forms.
 - Contacting Superior’s Member Services Department.

- Reporting all confirmed cases of STD/HIV to the local public health entity for STD/HIV contact investigation, and evaluation and preventive treatment of persons whom the member has come into contact:
 - Access required forms for reporting from: <http://www.dshs.texas.gov/hivstd/reporting/> or by calling Superior's Member Services Department.
 - Keep information confidential about members who have received STD/HIV services.
- Referring for Women, Infant and Children (WIC) services and information sharing for the purposes of eligibility determination.
- Assisting in the coordination and follow-up of suspected or confirmed cases of childhood lead exposure.
- Referring lead screening tests to the HHSC laboratory.
- Reporting of immunizations provided to the statewide ImmTrac Registry, including parental consent to share data.
- Cooperating with activities required of public health authorities to conduct the annual population and community-based needs assessment.
- Identifying members who are less than three (3) years of age and suspected of having a developmental delay or disability, and referring to Early Childhood Education (ECI) providers for screening and assessment within two (2) working days from the day the member is identified.
- Using materials from Department of Assistive and Rehabilitative Services (DARS) available on www.dars.State.tx.us/ecis/index.shtml or by contacting 1-800-783-5386.
- Complying with the release of records within forty five (45) days so that screening may be completed.

Coordination for Services Not Directly Provided Through Superior

There are several services that are available to Superior members based on their STAR, STAR+PLUS, STAR Kids, STAR Health (foster care) or CHIP eligibility and are accessed outside of Superior's provider network. In addition, the services are not a part of the managed care program. These services are found in the Texas Medicaid Provider Procedures Manual (TMPPM) and include the following:

- Texas Health Steps Environmental Lead Investigations (ELI).
- Early Childhood Intervention - Specialized Skills Training.
- Admissions to inpatient mental health facilities as a condition of probation.
- For STAR, Texas Health Steps Personal Care Services for members birth through age 20.
- PASRR screenings, evaluations and specialized services for STAR+PLUS members.
- DADS contracted providers of Long-Term Services and Supports (LTSS), Case Management or Service Coordination for individuals who have intellectual or developmental disabilities.
- Department of State Health Services (DSHS) mental health rehabilitation services and targeted case management.
- Texas Health Steps dental services (including orthodontia for STAR, STAR Kids, STAR Health and CHIP).
Note: Medicaid children who are ages birth through 20 years and CHIP children receive dental services through a managed care dental services model. Members must select a dental plan and a primary dentist. STAR Health Texas Health Steps dental services are accessed through the Superior Dental Management Organization (DMO). STAR Health members are not required to select a primary dentist.
- Early Childhood Intervention (ECI) targeted case management and developmental rehabilitative services.
- Mental Health and Mental Retardation (MHMR) targeted case management.

- Case Management for Children and Pregnant Women (CPW) - Medicaid only.
- Texas Health Steps Medical Case Management - Medicaid only.
- Texas School Health and Related Services (SHARS) - Medicaid only.
- Department of Assistive and Rehabilitative Services (DARS) Blind Children's Vocational Discovery and Development program (Texas Commission for the Blind case management).
- Tuberculosis services provided by DSHS-approved providers (directly observed therapy and contact investigation).
- Health and Human Services Commission's Medical Transportation Program (MTP) - Medicaid only.
- DADS hospice care (exception STAR+PLUS members).
- DADS or DSHS HCBS Waiver programs, including CLASS, DBMD, HCS, TxHmL and YES.

All network providers are encouraged to refer to and coordinate services with the above agencies. If more information or assistance is required, contact Superior's Member Services Department or complete and submit a Connections Referral Form, Attachment F.

Supplemental Nutrition Program for Women, Infants and Children (WIC)

- Texas Agency administered programs and Case Management Services.
- Essential public health services.
- Medical Transportation Program (MTP) – Medicaid only.
- Personal Care Services (PCS).

HHSC Medical Transportation Program

What is MTP? The Medical Transportation Program (MTP) is a state administered program that provides Non-Emergency Medical Transportation (NEMT) services statewide for eligible Medicaid members (STAR, STAR+PLUS, STAR Kids and STAR Health) who have no other means of transportation to attend their covered health-care appointments. *Please note: STAR+PLUS dual members can receive transportation on a case by case basis.* As long as it is medically necessary, the MTP can help with rides to the doctor, dentist, hospital, drug store and any other place members (21 years of age and older) receive Medicaid services. The program will utilize the most cost effective method of transportation that does not endanger a member's health, to include a wheelchair van.

What services are offered by MTP?

- Passes or tickets for transportation such as mass transit within and between cities or states, to include rail, bus, or commercial air.
- Curb to curb service provided by taxi, wheelchair van, and other transportation vehicles.
- Mileage reimbursement for a registered individual transportation participant (ITP) to a covered health-care event. The ITP can be the responsible party, family member, friend, neighbor, or client.
- Meals and lodging allowance when treatment requires an overnight stay outside the county of residence (applicable to STAR Kids members only, 21 years of age or younger).
- Attendant services (a responsible adult who accompanies a minor or an attendant needed for mobility assistance or due to medical necessity, who accompanies the client to a health-care service) can be covered when a medical necessity form is on file with MTP.
- Advanced funds to cover authorized transportation services prior to travel.

Please note: Some MTP services may require prior authorization.

Call MTP:

- To request transportation services or for more information about services offered by MTP, members, advocates and providers can call the toll free line at 1-877-633-8747. In order to be transferred to the appropriate transportation provider, members are asked to have either their Medicaid ID# or zip code available at the time of the call. Please note:
 - Members in the Houston / Beaumont Service Delivery Area (SDA) should call Medical Transportation Management at 1-855- 687-4786 toll free to arrange services. Counties in this area include: Austin, Brazoria, Chambers, Fort Bend, Galveston, Hardin, Harris, Jasper, Jefferson, Liberty, Matagorda, Montgomery, Newton, Orange, Polk, San Jacinto, Tyler, Walker, Waller and Wharton.
 - Members in the Dallas / Fort Worth Service Delivery Area (SDA) should call LogistiCare at 1-855-687-3255 toll free to arrange services. Counties in this area include: Collin, Dallas, Denton, Ellis, Erath, Hood, Hunt, Johnson, Kaufman, Navarro, Palo Pinto, Parker, Rockwall, Somervell, Tarrant and Wise.

MTP's intake specialists are available to take requests by telephone on weekdays from 8:00 a.m. to 5:00 p.m. MTP requires at least two (2) business days advance notice for most requests, but will attempt to accommodate urgent requests. Members should call in their request as far in advance as possible but no less than two (2) business days prior to the needed transportation. The MTP program may also reimburse mileage for the member, a caregiver/ medical consentor, friend or someone else to take the member to health-care services if the trip is scheduled in advance and the driver submits an Individual Transportation Provider (ITP) Enrollment Application to TMHP. Once the ITP receives their welcome letter to include their Atypical Provider Identifier (API) Number and their Texas Medicaid Provider Identifier (TPI) Number, they can begin providing transportation services.

It is the responsibility of the member to coordinate all information needed from both the provider and Superior timely, in order for MTP to consider the request. The member should be advised to follow up with MTP to check on their status prior to the request date. Providers can refer the member to Member Services to assist with transportation requests.

In situations where MTP does not provide transportation, additional transportation assistance may be available to qualifying Superior members. Please refer patients to the Value Added Services section of their member handbook for specific information on transportation-related benefits. Providers can also direct members to contact Member Services to see if additional benefits are available to them.

Provider Statement of Need

Superior requires providers to submit a Provider Statement of Need (PSON) before an assessment for Personal Assistance Services (PAS), Personal Care Services (PCS) and Community First Choice (CFC) Habilitation (HAB) is conducted for the STAR+PLUS, STAR Kids and STAR Health programs.

Following the assessment, the provider will receive information regarding the number of provider hours (if any) to be authorized for his/her patient, and the opportunity to discuss any concerns related to that determination. These steps are designed to facilitate more holistic collaboration between the provider and Superior's Service Coordination team, which includes increased communication regarding the member's functional needs and the way those needs are being met, as well as the opportunity to ensure that any underlying medical conditions or complications are addressed by appropriate medical professionals. This process is also designed to preserve consistency among Medicaid programs and facilitate transitions between them, in compliance with updated contractual requirements implemented by the Texas Health and Human Services Commission (HHSC), which require that a PSON process be implemented for both STAR Kids and STAR Health.

These guidelines are effective **April 1, 2017** and are as follows:

A. ASSESSMENT

1. Initial PAS, PCS or CFC HAB Request

- A Provider Statement of Need (PSON) is required by a provider who has examined the member and reviewed the medical record within the last 12 months in order to initiate the assessment process.
- The PSON will only be accepted from a physician, advanced practice registered nurse or physician assistant, and must be signed by the provider no more than 90 calendar days prior to the date of the request.
- Superior's Service Coordinator will be responsible for coordinating with the physician to obtain the required PSON, or in the alternative, may accept a PSON obtained by the service provider.
- Superior's Service Coordinator will complete the functional needs assessment following receipt of the PSON.

2. PAS, PCS or CFC HAB Change in Condition

- A new functional needs assessment is required when there is any change in the member's condition or environment.
- Superior's Service Coordinator will be responsible for coordinating with the physician to obtain the required PSON, or in the alternative, may accept a PSON obtained by the service provider.

3. PAS, PCS or CFC HAB Reassessment

- A new functional needs assessment will be completed by Superior's Service Coordinator, a minimum of once a year.
- A new PSON will be obtained prior to the member's annual reassessment for PAS/HAB services each year. Superior's Service Coordinator will be responsible for coordinating with the physician to obtain the required PSON, or in the alternative, may accept a PSON obtained by the service provider.

B. DETERMINATION/AUTHORIZATION

1. Superior's Service Coordinator will notify the provider of the recommended hours (if any) derived from the completed assessment.
2. The provider will have the opportunity to discuss any concerns or questions related to the recommended hours, to include indicating formal disagreement with those hours, and obtain a complete copy of the assessment document if needed.
3. The functional needs assessment will be sent to a Superior Medical Director for review and determination if the provider does not agree with the assessment and recommendation.

For any questions, please feel free to contact your dedicated Account Manager, or Provider Services at 1-877-391-5921.

STAR

Benefits Overview

Medicaid members participating in the STAR program receive all the benefits of the traditional Texas Medicaid program, as listed on page 41 of this manual.

Prescribed Pediatric Extended Care Centers and Private Duty Nursing

A STAR member has a choice of Private Duty Nursing (PDN), Prescribed Pediatric Extended Care Centers (PPECC), or a combination of both PDN and PPECC for ongoing skilled nursing. PDN and PPECC are considered equivalent services, and must be coordinated to prevent duplication. A member may receive both in the same day, but not simultaneously (e.g., PDN may be provided before or after PPECC services are provided). The combined total hours between PDN and PPECC services are not anticipated to increase unless there is a change in the member's medical condition or the authorized hours are not commensurate with the member's medical needs. Per 1 Tex. Admin. Code §363.209 (c)(3), PPECC services are intended to be a one-to-one replacement of PDN hours, unless additional hours are medically necessary.

Adoption Assistance or Permanency Care Assistance

Effective September 1, 2017, individuals in the Texas Department of Family and Protective Services (DFPS) Adoption Assistance or Permanency Care Assistance (AAPCA) programs will begin getting their Medicaid services through a STAR or STAR Kids Managed Care Organization. Individuals who get AAPCA services will still have the same Medicaid benefits under their selected health plan as they had been receiving prior to enrolling in managed care.

AAPCA clients who meet the following criteria will move to STAR on September 1, 2017.

- Don't receive:
 - Supplemental Security Income (SSI)
 - Medicare
 - 1915(c) waiver services
- Don't have a disability as determined by the U.S. Social Security Administration or the State of Texas.
- Don't live in:
 - a nursing facility
 - an intermediate care facility for individuals with intellectual or developmental disabilities or related conditions (ICF/IID)
- AAPCA clients who meet the following criteria will move to STAR Kids on September 1, 2017.
 - Receive Supplemental Security Income (SSI).
 - Have a disability as determined by the U.S. Social Security Administration or the State of Texas

Note: Individuals who get services through a 1915(c) waiver and individuals who get Medicare are already in STAR Kids.

Beginning in May 2017, people who have AAPCA coverage will get additional information about the transition and about choosing a health plan from the state's enrollment broker, Maximus. Individuals who will be effective as of September 1, 2017, will need to choose their health plan by August 14, 2017. Individuals who have not selected a health plan by this date, will be assigned one by the Texas Health and Human Services Commission (HHSC).

For individuals who become eligible after September 1, 2017, the state's enrollment broker, Maximus, will send the member an enrollment packet informing the member of the health plan choices in his or her area. The packet will also inform the member to select a health plan and a PCP within fifteen (15) days.

Individuals may contact the DFPS regional adoption assistance eligibility specialist assigned to their case and verify the mailing address on file, to ensure they receive enrollment materials in the mail. If an individual does not know who their eligibility specialist is, they can call the DFPS hotline at 1-800-233-3405.

Existing Authorizations for those who enroll September 1, 2017

- Approved and active prior authorizations for covered services will be forwarded to the Superior STAR or STAR Kids plan prior to September 1, 2017, by HHSC.
- These prior authorizations will remain valid and will be honored by Superior.
- Providers don't need to resubmit authorization requests to the health plans if an authorization is already in place.

If providers have questions about AAPCA services changing to managed care, please email Managed_Care_Initiatives@hhsc.state.tx.us.

For more information, please visit:

<https://hhs.texas.gov/services/health/medicaid-chip/programs/adoption-assistance-or-permanency-care-assistance-managed-care-expansion>.

Additional Benefits

Adult Well Check

This annual adult physical exam is an additional benefit for STAR non-dual members 21 years and older. The annual adult well exam may be received in addition to the member's annual OB/GYN visit for females. Members can self-refer to an OB/GYN provider without a referral from their PCP. All newly enrolled members should obtain a well checkup within 90 days of enrollment.

Prescriptions

Additional Benefits for STAR Prescriptions

All STAR non-dual members 21 years and older receive unlimited prescriptions as part of the Medicaid Managed Care program.

Value-added Services

Superior offers coverage beyond the traditional Medicaid benefits. Collectively, this additional coverage is referred to as Value-added Services (VAS). VAS may be actual health care services, benefits or positive incentives that HHSC determines will promote healthy lifestyles and improve health outcomes.

STAR+PLUS

Benefits Overview

Medicaid members participating in the STAR+PLUS program receive all the benefits of the traditional Texas Medicaid program, as listed on page 41 of this manual.

Superior will provide functionally-necessary community LTSS services to all STAR+PLUS members beginning on the members' date of enrollment regardless of pre-existing condition, prior diagnosis and/or receipt of any prior health care services. Superior will not impose any pre-existing condition limitations or exclusions, or require evidence of insurability to provide coverage to any members enrolled in the STAR+PLUS program.

All adult members in STAR+PLUS who are not covered by Medicare, or are dual eligible and receiving STAR+PLUS Waiver services receive unlimited medically necessary prescription drugs. Dual eligible STAR+PLUS members will continue to receive pharmacy benefits from their Medicare Part D pharmacy plan.

Long Term Services and Supports (LTSS)

Below is a listing of the community-based LTSS included under the STAR+PLUS Medicaid managed care program. Additional information on LTSS may be found online at <https://hhs.texas.gov/>.

The HHSC uniform managed care contract terms and conditions is the final authority on STAR+PLUS.

Key Information for Long Term Services and Supports Providers

As a reminder, the following are tips to providing LTSS services:

- Verify member eligibility with Superior before performing services.
- Ensure necessary referral/authorizations have been obtained from Superior prior to provision of services.
- Use the HHSC provider ID given to you by Superior or your NPI and taxonomy code when filing claims for LTSS services.
- Bill and report LTSS services in compliance with the LTSS HCPC codes and STAR+PLUS Modifiers Matrix.
- Notify the member's service coordinator whenever there is a change in the member's physical or mental condition, upon knowledge of an inpatient or nursing facility admissions, all member complaints or grievances, or if you identify a member needs services outside the Superior contracted scope of services with the provider.
- Ensure for members who are eligible for both Medicare and Medicaid that covered Medicare services are billed to Medicare as primary prior to accessing services under Medicaid or HCBS STAR+PLUS (c) waiver services.
- Refer to the LTSS bulletin(s) posted on the Texas Medicaid Health care Partnership (TMHP) website at www.tmhp.com for additional information.

Traditional Benefits

Medicaid facility and community-based LTSS benefits available include:

Personal Assistant Services (PAS)

Provides in-home assistance to individuals as authorized on his or her Individual Service Plan (ISP) with the performance of activities of daily living, household chores and delegated nursing tasks that have been delegated by a registered nurse (RN). PAS are subject to Electronic Visit Verification (EVV). See Section 20 for more details on

EVV. There are three options available to STAR+PLUS members desiring the delivery of PAS:

- 1. Consumer-Directed Services** - In the consumer-directed model, the member or the member's legally authorized representative is the employer of record and retains control over the hiring, management and termination of an individual providing PAS. The member is responsible for assuring that the employee meets the requirements for PAS, including the criminal history check. Member uses a Financial Management Services Agency (FMSA) to handle the employer-related administrative functions such as payroll, substitute (back-up) attendant in place and filing tax-related reports of PAS.
- 2. Service Responsibility Option** - In the service responsibility option, the member or the member's legally authorized representative chooses an agency in the Superior provider network who is the employer of record. In this model, the member selects the personal attendant from the agency's personal attendant employees. The schedule is set up based on the member input, and the member manages the PAS. The member retains the right to supervise and train the personal attendant. The member may request a different personal attendant and the agency would be expected to honor the request. The agency establishes the payment rate, benefits and provides all administrative functions such as payroll, substitute (back-up) and filing tax-related reports of PAS.
- 3. Agency Model** - In the agency model, the member chooses an agency to hire, manage and terminate the individual providing PAS. The agency is selected by the member from a list of agencies within Superior's provider network. The Service Coordinator and member develop the schedule and send it to the agency. The member retains the right to supervise and train the personal attendant. The member may request a different personal attendant and the agency is expected to honor the request. The agency establishes the payment rate, benefits and provides all administrative functions such as payroll, substitute (back-up) and filing tax-related reports of PAS. To participate as a Superior FMSA providing services under the consumer-directed model, a FMSA must be specifically identified to provide consumer direct services by HHSC.

To participate as a PAS network provider with Superior, the provider must have an executed agreement with Superior, be licensed by the DADS for the delivery of PAS services and must comply with the Texas Administrative Code (TAC) in Title 40, Part 1, Chapter 41, Sections 41.101, 41.103, and 41.105 and Chapter 43.

Day Activity and Health Services (DAHS)

LTSS offered to individuals residing in the community, Monday-Friday except holidays, for a minimum of ten (10) hours/day. Services include nursing and personal care services, nutrition services, transportation services, social and recreational activities and other supportive services. These services are provided at adult day care facilities licensed by the Department of State Health Services (DSHS) and certified by DADS.

Providers submitting requests for authorization of DAHS services should include the following clinical elements:

1. A list of all active diagnoses related to the member's need for DAHS.
2. A description of any functional disability related to the member's medical diagnoses.
3. A current medication list, including any PRN medications.
4. A record of the member's vital signs as obtained at the time of the assessment, to include blood pressure, pulse, respiration, height, weight and blood sugar, if applicable.
5. An indication of the member's dietary needs, specifying whether the member has no special dietary requirements, or needs (for example, a bland diet, diabetic diet, low sodium diet, etc.).

6. A description of the member's personal care requirements, to include an indication of the degree of assistance required (no setup or physical assistance, one-person physical assistance or two-person physical assistance), in the following areas:
 - a. Transfer.
 - b. Ambulation.
 - c. Eating.
 - d. Toileting.
7. A description of the member's potential to stabilize, maintain or improve functioning from attending DAHS.
8. A list of the interventions to be performed by the nurse at the DAHS facility, to include the nature of the intervention as well as the frequency. For example, this may include:
 - a. Occupational therapy, physical therapy or speech therapy.
 - b. Respiratory therapy.
 - c. Medication administration.
 - d. Wound care.
 - e. Meal setup.
 - f. Health teaching/training.
 - g. Other.
9. Physician's orders indicating the need for LVN or RN care/supervision, along with the above elements.

Minimum Wage Requirements for STAR+PLUS Attendants

Persons providing attendant services must be paid at the prevailing minimum wage rate as set by HHSC. Superior must ensure that facilities and agencies that provide attendant services in community settings pay attendants at or above the minimum rates described below. This requirement applies to the following types of services, whether or not the member chooses to self direct these services:

- Day Activity Health Care Services (DAHS).
- Texas Health Steps Court Order (PCS).
- Personal Assistance Services (PAS).
- Habilitation (under CFC).

This requirement does not apply to attendant services provided by non-institutional facilities, such as assisted living, adult foster care, residential care and nursing facilities.

Title 40 Texas Administrative Code §§49.312 requires that persons working as personal attendants in the services/programs listed above, whether as employees or contractors of a provider or as employees or contractors of subcontractors, be paid at or above a specified hourly base wage.

In addition, providers are required to notify persons hired as personal attendants of the required base wage.

Newly employed or contracting attendants hired on or after September 1, 2013, must be notified of the required base wages within three days of being hired.

Superior may require providers to submit annual attestations and sample notices to employees/contracted employees ensuring that the minimum wage requirements were paid at or above the required hourly base wages as specified above.

HCBS STAR+PLUS Waiver Services

Superior will provide an array of services under the HCBS STAR+PLUS waiver. This includes the following benefits:

- **Adaptive Aids and Medical Supplies:** Includes devices, controls or medically necessary supplies that enable individuals with functional impairments to perform activities of daily living or control the environment in which they live.
- **Adult Foster Care (AFC):** Provides a 24-hour living arrangement in a DADS-contracted foster home for persons who, because of physical, mental or emotional limitations, are unable to continue independent functioning in their own homes. Services may include meal preparation, housekeeping, minimal help with personal care, nursing tasks, supervision, companion services, help with activities of daily living and provision of or arrangement for transportation. The unit of service is one day.

- **Assisted Living (AL) Services:** Provides 24-hour living arrangement for persons who, because of physical or mental limitations, are unable to continue independent functioning in their own home. Services are provided in personal care facilities licensed by DADS. Participants are responsible for their room and board costs and, if applicable, copayments for assisted living services.
- **Emergency Response Services (ERS):** Provided through an electronic monitoring system for use by functionally impaired individuals who live alone or are isolated in the community. In an emergency, the individual can press a call button to signal for help. The electronic monitoring system, which has a 24-hour, seven days a week monitoring capability, helps insure that the appropriate person or service agency responds to an alarm call from the individual.
- **Home Delivered Meals:** Meals services provide hot, nutritious meals delivered to an individual's home. The benefit limitation is one meal per day, and the need for a home delivered meal must be part of the individual service plan. Home delivered meals will be provided to individuals who are unable to prepare their own meals and for whom there are no other persons available to do so, or where the provision of a home delivered meal is the most cost-effective method of delivering a nutritionally adequate meal. Modified diets, where appropriate, will be provided to meet individual requirements. Menu plans will be reviewed and approved by a registered dietician licensed by the Texas State Board of Examiners of Dietitians or has a baccalaureate degree with major studies in food and nutrition, dietetics or food service management. Any agency providing home delivered meals must comply with all state and local health laws and ordinances concerning preparation, handling and serving of food.
- **In-Home Skilled Nursing Care:** Direct delivery of skilled tasks/procedures by a registered or practical nurse based on an assessment of the member's health care needs, guidance by professional practice standards and physician order if required. Texas Board of Nurse Examiners allows delegation of nursing tasks to unlicensed persons following the development of a care plan and education on proper health maintenance.
- **Minor Home Modifications:** Includes services that assess the need for, arrange for and provide home modifications and/or improvements to an individual's residence to enable them to reside in the community and to ensure safety, security and accessibility within their home.
- **Personal Assistant Services (PAS):** Provides in-home assistance to individuals as authorized on his or her Individual Service Plan (ISP) with the performance of activities of daily living, household chores and nursing tasks that have been delegated by a registered nurse (RN). PAS is subject to Electronic Visit Verification (EVV). See Section 20 for more details.
- **Respite Care Services:** Available on an emergency or short term basis to relieve those persons normally providing unpaid care for a STAR+PLUS waiver member unable to care for themselves. In-home respite care services are subject to EVV. See Section 20 for details on EVV.
- **Therapy (Occupational, Physical, Speech):** Includes the evaluation, examination and treatment of physical, functional, speech and hearing disorders and/or limitations. A full range of services are provided in the member's home or a rehabilitative center by a licensed therapist or an assistant under the direction of a licensed therapist.
- **Transitional Assistance Services (TAS):** Assists individuals who are discharging from a nursing facility to the community and set up their household. A maximum of \$2,500 is available on a one-time basis to help offset the costs associated with setting up their household. Some examples of what TAS money provides payment for are security deposits, moving expenses, essential furnishings and set-up fees for utilities.

- **Dental Services:** Services provided by a licensed dentist such as dentures, routine cleaning, emergency procedures, preventative care and treatment of injuries. Services are capped at \$5,000 per waiver plan year, but may be extended an additional \$5,000 when oral surgeon services are required.
- **Financial Management Services:** Services provided by Certified Financial Management Services Agencies (FMSA) to support members who hire their own service providers under the Consumer Directed Services (CDS) option.
- **Mental Health Rehabilitative Services:** Services are defined as age-appropriate services determined by HHSC and federally-approved protocol as medically necessary to reduce a member's disability resulting from severe mental illness for adults, or serious emotional, behavioral, or mental disorders for children, and to restore the member to his or her best possible functioning level in the community.
- **Mental Health Targeted Case Management:** Assist members with gaining access to needed medical, social, educational and other services and supports. Members are eligible to receive these if they have been assessed and diagnosed with a severe and persistent mental illness (SPMI) or a severe emotional disturbance (SED) and they are authorized to receive Mental Health Rehabilitative Services.
- **Employment Assistance:** Provides identification of member's preferences, skills and work setting/condition needs, locating available jobs that match the member's criteria/needs and negotiating the member's potential employment with the employer. Please note, Employment Assistance is not available to members receiving services through a program funded by the Rehabilitation Act of 1973 or the Individuals with Disabilities Education Act.
- **Supported Employment:** Service available to members who earn at least minimum wage, that provides employment adaptations, supervision and additional training to sustain employment.

Additional Benefits

Adult Well Check

This annual adult physical exam is an additional benefit for STAR+PLUS non-dual members 21 years and older. The annual adult well exam may be received in addition to the member's annual OB/GYN visit for females. Members can self-refer to an OB/GYN provider without a referral from their PCP. All newly enrolled members should obtain a well checkup within 90 days of enrollment.

Medicaid for Breast and Cervical Cancer (MBCC) Program

Effective September 1, 2017, women in the Medicaid for Breast and Cervical Cancer (MBCC) program will receive all of their Medicaid services, including cancer treatment, through a Managed Care Organization that offers STAR+PLUS.

After selecting and transitioning into a STAR+PLUS health plan, women who receive MBCC services will have the same Medicaid benefits they have today. In addition STAR+PLUS members receive:

- Unlimited prescriptions.
- A service coordinator to help them find the right providers for their needs.
- A primary care provider to make sure all of their needs are addressed.
- Value-added Services which are extra services like respite, extra vision services, and health and wellness services.

Women who get MBCC services will have a nurse as their service coordinator. The service coordinator can help:

- Identify and address medical needs
- Understand Medicaid benefits
- Ensure access to needed specialty services
- Coordinate community supports including services that might be non-medical or not covered by Medicaid.

Beginning in May 2017, these women will get information in the mail about the upcoming change to managed care, including information about choosing a health plan. They will have until August 14, 2017, to choose their health plan. Women who have not selected a health plan by this date, will be assigned one by the Texas Health and Human Services (HHSC).

For individuals who become eligible after September 1, 2017, the state's enrollment broker, Maximus, will send the member an enrollment packet informing the member of the health plan choices in her area. The packet will also inform the member to select a health plan and a PCP within fifteen (15) days.

To make sure materials are mailed to the right address, individuals may visit yourtexasbenefits.com or call 2-1-1 to confirm the address on file is correct.

Existing Authorizations for those who enroll September 1, 2017

- Approved and active prior authorizations for covered services will be forwarded to the Superior STAR+PLUS plan prior to September 1, 2017, by HHSC.
- These prior authorizations will remain valid and will be honored by Superior.
- Providers don't need to resubmit authorization requests to the health plans if an authorization is already in place.

If providers have questions about MBCC services changing to managed care, please email Managed_Care_Initiatives@hhsc.state.tx.us.

For more information, please visit:

<https://hhs.texas.gov/services/health/medicaid-chip/programs/medicaid-breast-cervical-cancer-program-managed-care-expansion>.

Community First Choice (CFC)

Community First Choice (CFC) is a Medicaid benefit that provides services for people with Intellectual and Developmental Disabilities (IDD) and/or physical disabilities. The services available under CFC are:

- **Personal Assistance Services (PAS):** Help with daily living activities and health-related tasks.
- **Habilitation:** Services to help members learn new skills and care for themselves.
- **Emergency Response Services (ERS):** Help members who live alone or are alone for most of the day.
- **Support Management:** Training to help members learn how to select, manage and dismiss attendants.

Who Can Receive CFC Services?

To be eligible for Community First Choice services through Superior HealthPlan, an individual must:

- Be eligible for Medicaid and enrolled in either STAR+PLUS or STAR Health.
- Need an institutional level of care such as a hospital, an Intermediate Care Facility (ICF) for Individuals with an Intellectual Disability (IID), nursing facility (NF) or Institution for Mental Disease (IMD).
- Need services provided in the CFC program.

Assessments

- For STAR+PLUS and STAR Health members with physical disabilities, Superior will complete the Medical Necessity Level of Care assessment (MN/LOC) and CFC Assessment. MN/LOC assessments will be transmitted to TMHP who makes the determinations on the NF LOC.
- For STAR+PLUS and STAR Health members with IDD, the Local Intellectual and Developmental Disability Authority (LIDDA) will complete the Intellectual Disability/Related Condition (ID/RC) assessment and the CFC assessment for members 21 and over. Superior will complete the CFC Assessments for all members under 21. The LIDDA will transmit the ID/RC to DADS who makes the determinations on the ICF LOC.
- All CFC assessments will be person-centered and will result in a plan of care reflecting the needs and goals of the member.
- Assessments will be conducted initially and at least annually.

Authorizations

- Upon completed and approved assessments, a plan of care will be created and presented to the member.
- Member and/or their LAR and/or medical consentor will accept the plan of care and select their providers/provider agencies for their approved CFC services.
- Superior will create and issue authorizations that will be valid for a one-year time period from the date of the initial/annual assessment.
- If a member already receiving PAS becomes eligible for habilitation services, the member may desire to switch to a habilitation-contracted provider if necessary, or decline habilitation services.
- PAS Only:
 - Members with no identified habilitation service need will select a Superior contracted PAS provider.
 - Authorization will utilize the CFC PAS-only codes/modifiers and rate.
- PAS with HAB:
 - Members with any identified habilitation service need will select a Superior contracted HAB/PAS provider.
 - Must use a single provider for HAB and PAS services.
 - Single Authorization will utilize the habilitation codes/ modifiers and rate.
- HAB Only:
 - Members with a habilitation service need, but no PAS need, will select a Superior contracted HAB provider.
 - Authorization will utilized the habilitation codes/modifiers and rate.
- Non-CFC PAS and ERS:
 - Continue to use existing LTSS codes/modifiers and rates.

CFC Standards

- CFC services must be provided in accordance with HHSC rule 1 TAC, Part 15, Chapter 354, Subchapter A, Division 27 and includes the following:
 - CFC PAS/HAB assistance with activities of daily living (ADLs) and instrumental activities of daily living (IADLs) through hands-on assistance, supervision, and/or cueing and acquisition, maintenance and enhancement of skills necessary for the member to accomplish ADLs, IADLs and health-related tasks;
 - CFC ERS: Electronic devices to ensure continuity of services and supports; and
 - Support Management: Voluntary training on how to select, manage and dismiss attendants.

- The CFC services must be delivered in accordance with the member's service plan.
 - Provider must have current documentation, which includes the member's service plan, ID/RC when applicable, staff training documentation, service delivery logs (documentation showing the delivery of the CFC services), medication administration record (if applicable) and nursing assessment (if applicable).
 - Provider must ensure that the rights of the members are protected (e.g., privacy during visitation, to send and receive sealed and uncensored mail, to make and receive telephone calls, etc.).
 - Provider must ensure, through initial and periodic training, the continuous availability of qualified service providers who are trained on the current needs and characteristics of the member being served. This includes the delegation of nursing tasks, dietary needs, behavioral needs, mobility needs, allergies and any other needs specific to the member which are required to ensure the member's health, safety and welfare. The provider must maintain documentation of this training in the member's record.
 - Provider must ensure that the staff members have been trained on recognizing and reporting acts or suspected acts of abuse, neglect and exploitation. The program provider must also show documentation regarding required actions that must be taken when, from the time they are notified that a DFPS investigation has begun, through the completion of the investigation (e.g., providing medical and psychological services as needed, restricting access by the alleged perpetrator, cooperating with the investigation, etc). The program provider must also provide the member/LAR with information on how to report acts or suspected acts of abuse, neglect and exploitation and the DFPS hotline (1-800-647-7418).
 - Provider must address any complaints received from a member/LAR and have documentation showing the attempt(s) at resolution of the complaint. The program provider must provide the member/LAR with the appropriate contact information for filing a complaint.
 - Provider must not retaliate against a staff member, service provider, member (or someone on behalf of a member) or other person who files a complaint, presents a grievance, or otherwise provides good faith information related to the misuse of restraint, use of seclusion, or possible abuse, neglect or exploitation.
 - Provider must ensure that the service providers meet all of the personnel requirements (age, high school diploma/ GED OR competency exam and three references from non-relatives, current Texas driver's license and insurance if transporting, criminal history check, employee misconduct registry check, nurse aide registry check, OIG checks). For CFC ERS, the program provider must ensure that the provider of ERS has the appropriate licensure.
 - Per the CFR §441.565 for CFC, the provider must ensure that any additional training requested by the member/LAR of CFC PAS/HAB service providers is procured.
 - The use of seclusion is prohibited. Documentation regarding the appropriate use of restrictive intervention practices, including restraints must be maintained, including any necessary behavior support plans.
 - The provider must adhere to Superior's billing guidelines as outlined in Section 10 of this manual. In addition, proper procedure codes and CFC modifiers must be used when billing. Furthermore, all attendant services and habilitation providers/provider agencies must use an HHSC-approved electronic visit verification (EVV) vendor to submit their timesheets. Additional details about EVV can be found in Section 20 of this manual.
 - The provider must prevent conflicts of interest between themselves, a staff member, or a service provider and a member, such as the acceptance of payment for goods or services from which the program provider, staff member or service provider could financially benefit.
 - The provider must prevent financial impropriety toward a member including unauthorized disclosure of information related to a member's finances and the purchase of goods that a member cannot use with the member's funds.

Cognitive Rehabilitation Therapy (CRT)

CRT is a service that assists an individual in learning or re-learning cognitive skills that have been lost or altered as a result of damage to brain cells/chemistry in order to enable the individual to compensate for the lost cognitive functions. CRT has been proven to help individuals with an acquired brain injury (ABI) recover or compensate for cognitive skills that have been lost or altered as a result of damage to brain cells or brain chemistry.

To qualify for CRT, the services must be deemed medically necessary, the member must be enrolled in the STAR+PLUS HCBS program and have:

- Medicaid eligibility;
- A need for at least one HCBS service.
- An approved medical necessity/level of care (MN/LOC); and

Establishing Medical Necessity for CRT

One of the two following assessment tests must be performed on a qualifying member, and indicate the need for CRT. These tests are a covered benefit.

- Neurobehavioral Assessment - performed by a physician, nurse practitioner or physician assistant.
- Neuropsychological Assessment - performed by a psychiatrist, psychologist, neuro-psychologist or licensed psychological associate.

For dual eligible members receiving acute care through Medicare, Superior will still help establish medical necessity and coordinate the assessment test with the member's Medicare provider.

Providers of CRT

Treatment is provided in an outpatient setting or in the member's home and is overseen by a physician or neuro-psychologist and requires judgment, knowledge and skills of a speech and language pathologist or occupational therapist.

Dental Services

Services provided by a licensed dentist such as dentures, routine cleaning, emergency procedures, preventive care and treatment of injuries are a benefit available to STAR+PLUS waiver members. Services are capped at \$5,000 per waiver plan year, but may be extended an additional \$5,000 when oral surgeon services are required.

Financial Management Services

Financial Management Services (FMS) are a benefit available to STAR+PLUS waiver members. Certified Financial Management Services Agencies (FMSA) provide assistance to members to manage funds associated with services elected for self-direction and is provided by a Consumer-Directed Service option. Examples of FMS include, but are not limited to:

- Providing required initial orientation, ongoing training, assistance and support for employer-related responsibilities;
- Verifying qualifications of applicants before services are delivered and monitoring continued eligibility of service providers;
- Approving and monitoring budgets for services delivered through the CDS option;
- Managing payroll, including calculations of employee withholdings and employer contributions and depositing these funds with appropriate agencies (FMSAs are not allowed to use a payroll agent);

- Complying with applicable government regulations concerning employee withholdings, garnishments, mandated withholdings and benefits;
- Preparing and filing required tax forms and reports;
- Paying allowable expenses incurred by the employer;
- Providing status reports concerning the individual's budget, expenditures and compliance with CDS option requirements;
- Responding to the employer or designated representative as soon as possible, but at least within two business days after receipt of information requiring a response from the CDS Agency.

Intellectual Disabilities (IDD)

Members with Intellectual and Developmental Disabilities (IDD) or Related Conditions (RC) who do not qualify for Medicare, and receive services through the Intermediate Care Facilities for Individuals with an Intellectual Disability (ICF-IID) Program or an IDD Waiver can receive Acute Care Services through Superior STAR+PLUS or STAR Health. Authorization will be required for applicable medically necessary acute care or behavioral health services managed through Superior.

Note: These individuals will not be eligible for HCBS STAR+PLUS Waiver services while enrolled in the ICF-IID Program or an IDD Waiver.

Prescriptions

Additional Benefits for STAR+PLUS Prescriptions

All STAR+PLUS non-dual members 21 years and older receive unlimited prescriptions as part of the Medicaid Managed Care program.

Service Coordination

The Superior Service Coordinator provides a specialized level of care management service that includes but is not limited to:

- Identification of needs, including physical health, mental health services and LTSS services for STAR+PLUS members.
- Development of a Service Plan of Care as appropriate to address those identified needs.
- Assistance to ensure timely and a coordinated access to an array of providers and covered services;
- Facilitate communication/care coordination across medical/behavioral/specialists as appropriate to meet member's unique and holistic needs.
- Coordination of covered services with social and other services delivered outside the benefit plan as necessary and appropriate.
- Conduct mandatory telephonic or face to face contacts.

Service Coordination services provided to members are:

- Review assessments and develop plan of care utilizing input from member, family and providers.
- Coordinate with the member's PCP, Specialist and LTSS providers to ensure the member's health and safety needs are met in the least restrictive setting.
- Refer members to support services such as disease management and community resource.
- Authorize LTSS services.

Service Coordination utilizes a multidisciplinary approach in meeting the member's needs including behavioral health.

Levels of Service Coordination

To provide Service Coordination, we collaborate with the member, caregiver/family and informal supports desired by the member, all treating providers regardless of network status, and community resources.

For each identified member, the Service Coordination team identifies the appropriate level assignment using the following criteria:

- Level 1
 - Members, including dual eligible, receiving Home and Community-Based Services STAR+PLUS Waiver (SPW) services and/or with complex medical needs.
 - Members who reside in or move from nursing facility/institution to community.
 - Members with SPMI.
- Level 2
 - Dual eligible members who do not meet Level 1 criteria.
 - Non-Waiver members receiving Personal Assistance Services (PAS) or Day Activity and Health Services (DAHS).
 - Members not receiving LTSS with a history of BH and/or substance use issues during the previous year.
- Level 3
 - All members who do not meet criteria for Level 1 or 2.
- Companion Cases
 - Both members will be assigned the same Service Coordinator at the highest Level of complexity.

Discharge Planning

The Service Coordinator or Care Manager collaborates in concurrent review with Superior's nurses who follow members while they are in hospital in order to schedule needed assessments and work with the member, family, attending physician, discharge planner, PCP and other relevant providers to coordinate services and equipment required at discharge. If a member was receiving any LTSS prior to admission to a hospital, once a member is discharged, Service Coordination staff notifies LTSS providers to resume services. If an LTSS provider becomes aware of a member that is admitted to a hospital, the provider should alert the Service Coordinator when services cease after the admission and resume once the member returns home from the hospital.

Transition Plan

Superior's Continuity of Care Transition Plan ensures consistent, unduplicated care without disruption for all new members receiving care at the time of enrollment from in-network and out-of-network providers including, but not limited to: PCPs, specialists, behavioral health (BH), LTSS and home health providers. We identify new members receiving care from out-of-network providers in multiple ways such as: current service files and information from the transferring MCO or DADS, provider authorization requests, completed Health Risk Screening (HRS), outreach to LTSS providers, PCPs, BH and/or other specialty providers not reflected on transfer files, and other member or provider contact or referrals.

Services ordered prior to the member's enrollment, (e.g., medical equipment or supplies or home modifications approved but not completed prior to enrollment), Superior staff contact the provider to ensure the member continues to receive. The Service Coordinator will contact the member to ensure there are no gaps in services. LTSS providers should contact Service Coordination for current service authorizations at the time of enrollment with Superior.

Effective March 1, 2015, Superior began managing members residing in nursing facilities.

Members entering into a nursing facility will receive an assessment within thirty (30) days of admission by their Service Coordinator. The Service Coordinator works with the member, family and providers to develop/implement a transition plan that includes necessary community LTSS and transition services. Members interested in transitioning out of the nursing facility will receive an assessment and education regarding the transition process from the Service Coordinator.

Level I and II members are assigned a Service Coordinator upon enrollment. Any member or provider may request a Service Coordinator by calling 1-877-277-9772.

Members receiving Service Coordination are assigned a Service Coordinator and will be provided contact information within five (5) business days. Superior will post Service Coordinator assignments to the secure Provider Portal as well as notify the member of any changes. Superior must notify members within five (5) business days of the name and phone number of their new Service Coordinator, if their Service Coordinator changes.

Spell of Illness and Annual Maximum Limitation

In the traditional Medicaid and CHIP programs, the spell of illness limitation is defined as thirty (30) days of inpatient hospital care, which may accrue intermittently or consecutively. After thirty (30) days of an inpatient care admission, reimbursement for additional inpatient care is not considered until the patient has been out of an acute facility for sixty (60) consecutive days.

This limitation does not apply to Superior STAR, STAR Health or CHIP members. This limitation does apply to STAR+PLUS members.

For STAR and STAR+PLUS members with Superior, the \$200,000 annual limit on inpatient services does not apply.

Support Consultation Services (SCS)

Support consultation is an optional service offered to STAR+PLUS waiver members who receive services through the Consumer Directed Service (CDS) option. Support consultation, delivered by a DADS-certified support advisor, provides coaching and training for employer-related issues such as interviewing, hiring or managing of providers. Financial management services (FMS) are provided by financial management service agencies (FMSAs). A FMSA must have a sufficient number of certified support advisors available as an independent employee hired by the individual using the CDS option or through a contract to provide services when requested by an employer.

A certified support advisor may provide services as an independent employee or through an entity (not providing other program or Case Management services to the individual receiving services) or through employment or contract with a FMSA. Support consultation may be provided over the phone or in person. An applicant must be able and willing to fulfill the requirements of Texas Administrative Code, Title 40, Part 1, Chapter 41, Consumer Directed Services (CDS).

Support Consultation is not a separate billable service to Superior. If SCS is needed for members who choose the CDS option, it can be built into the member's budget. Providers should refer to the HHSC rate analysis for LTSS to determine rates that are allowed to be used for determining the member's budget.

Value-added Services

Superior STAR+PLUS members also have access to other services in addition to Medicaid-covered benefits and services, depending on their health needs. Collectively, this additional coverage is referred to as Value-added Services (VAS). Some of those extra services include:

- A 24-hour nurse advice line staffed by registered nurses.
- Online mental health resources.

- Emergency response services that ensure members have access to emergency help while home alone.
- Access to common drug store items such as pain relievers, first aid supplies, smoking cessation gum, vitamins and cough/cold/allergy medicines.
- Access to dental services such as exams, cleanings and x-rays.
- Access to extra podiatry services for routine foot care.
- Extra vision services to help cover the cost of eyeglasses.
- Extra services and benefits for pregnant women.
- Extra services and benefits for members with asthma.
- Home delivered meals following discharge from a hospital or nursing facility.
- Respite care services to help while a member's family or other unpaid caregiver is taking a break.
- Short-term phone help offering a phone and/or additional minutes on a calling plan.

*Value-added Services may vary based on whether or not a member also has Medicare or HCBS STAR+PLUS Waiver coverage, or based on where the member lives. Value-added Services are effective September 1, 2015. Restrictions and limitations may apply. For an up-to-date list of these services, go to www.SuperiorHealthPlan.com. For more information about these or other extra services, please call 1-877-391-5921.

STAR Kids

Benefits Overview

Medicaid members participating in the STAR Kids program receive all the benefits of the traditional Texas Medicaid program, as listed on page 41 of this manual.

Additional benefits include, but may not be limited to, access to telemedicine, telemonitoring and telehealth.

Long-Term Service and Supports Services

- **Adaptive Aids** (STAR Kids MDCP members only): Includes devices, controls or medically necessary supplies that enable individuals with functional impairments to perform activities of daily living or control the environment in which they live.
- **Community First Choice Services**: Medicaid benefit that provides services for people with Intellectual and Developmental Disabilities (IDD) and/or physical disabilities, and/or individuals who meet the institutional level of care for an Institution for Mental Disease (IMD).
- **Day Activity and Health Services (DAHS)** (Members 18 years of age and older only): Services include nursing and personal care services, nutrition services, transportation services, social and recreational activities and other supportive services. These services are provided at adult day care facilities licensed by the Department of State Health Services (DSHS) and certified by DADS.
- **Employment Assistance** (STAR Kids MDCP members only): Provides identification of member's preferences, skills and work setting/condition needs, locating available jobs that match the member's criteria/needs and negotiating the member's potential employment with the employer. Please note, Employment Assistance is not available to members receiving services through a program funded by the Rehabilitation Act of 1973 or the Individuals with Disabilities Education Act.

- **Financial Management Services** (Support Consultation for Consumer-Directed Service Option only): Services provided by Certified Financial Management Services Agencies (FMSA) to support members who hire their own service providers under the Consumer Directed Services (CDS) option.
- **Flexible Family Support Services** (STAR Kids MDCP members only): Services for families with children who have a serious emotional disturbance.
- **Minor Home Modifications** (STAR Kids MDCP members only): Includes services that assess the need for, arrange for and provide home modifications and/or improvements to an individual's residence to enable them to reside in the community and to ensure safety, security and accessibility within their home.
- **Personal Care Services:** Provide assistance with Activities of Daily Living (ADL), Instrumental Activities of Daily Living (IADL) and health-related tasks through hands-on assistance, supervision or cueing, including nurse-delegated tasks.
- **Private Duty Nursing:** Services include nursing and caregiver training and education, and must be available to all members determined eligible through the STAR Kids Screening and Assessment Instrument (SK-SAI).
- **Respite Care** (STAR Kids MDCP members only): Available on an emergency or short term basis to relieve those persons normally providing unpaid care for a STAR+PLUS waiver member unable to care for themselves. In-home respite care services are subject to EVV. See Section 20 for details on EVV.
- **Supported Employment** (STAR Kids MDCP members only): Service available to members who earn at least minimum wage that provides employment adaptations, supervision and additional training to sustain employment.
- **Transition Assistance Services** (STAR Kids MDCP members only): Assists individuals who are discharging from a nursing facility to the community and set up their household. A maximum of \$2,500 is available on a one-time basis to help offset the costs associated with setting up their household. Some examples of what TAS money provides payment for are security deposits, moving expenses, essential furnishings, and set-up fees for utilities.

Screening and Assessment Instrument

The Star Kids Screening and Assessment Instrument (SAI) is a comprehensive tool developed specifically for STAR Kids. The SAI is designed to look at the totality of a member's care and health status, along with any psycho-social issues that may need to be addressed.

Upon receipt of the member's eligibility, Superior's Service Coordination team will perform a brief telephonic screening to determine, as closely as possible, what immediate needs and level of Service Coordination the member may require. Upon the completion of the initial screening, the SAI will be scheduled.

SAI will be administered by a trained member of the Service Coordination team, including Licensed Clinical Social Workers (LCSW). For members requiring specialized medical care, the assessment must be performed by a qualified Registered Nurse (RN). This assessment may require up to three (3) hours to perform and should be performed in the member's home.

Service Coordination

All STAR Kids members are assigned a named Service Coordinator (3 levels based on complexity). Members are identified through various ways including, but not limited to, the STAR Kids SAI, clinical rounds, referrals from Superior staff, claims, hospital census and direct from providers or self-referral. The Service Coordinator facilitates the obtaining of capitated and non-capitated services needed by the member.

Refer a member by contacting the Service Coordination Department at 1-844-433-2074.

The Role of the Service Coordinator

The service coordinator will provide:

- Clinical and Non-Clinical Support
 - Identification of member's needs.
 - Referrals/pre-authorizations/certifications.
 - Communicate with doctor and other providers to develop an Individual Service Plan (ISP) to address the unique needs of the client.
 - Conduct mandatory telephonic and/or face to face contacts.
 - Coordinate services with other entities to ensure integration of care (ECI, WIC, DME, Medical Transportation Program, etc.).
- Direct Support
 - Coordinate Care for members with special health-care needs.
 - Conduct asthma and diabetes disease management.
 - Conduct complex case management.
 - Assist with coordination into any specialty programs.
 - Conduct intellectual and developmental disabilities management.
 - Follow-up and document reported results.
 - Monitor adherence to treatment plan to promote optimum health status
 - Follow-up and document reported results.
 - Coordinate Discharge Planning
 - Collaborates in concurrent review when a member is in the hospital and coordinates services and equipment required at discharge.
 - Assist with Transition Plan
 - Ensures consistent, non-duplicated care without disruption for all new members receiving care at the time of enrollment from in-network and out-of-network providers.
 - Promote best practice/evidence-based services
 - Includes compliance with Psychometric Medications on utilization standards.
 - Identify and report potential abuse/neglect

Levels of Service Coordination

For each STAR Kids member, the Service Coordination team identifies the appropriate level assignment using the following criteria:

Level 1

- MDCP STAR Kids members.
- Members with complex needs or a history of developmental or behavioral health issues (multiple outpatient visits, hospitalization, or institutionalization within the past year).
- Members with severe emotional disturbance (SED) or severe and persistent mental illness (SPMI).
 - SED is defined as psychiatric disorders in children and adolescents which cause severe disturbances in behavior, thinking and feeling.
 - SPMI is defined as a diagnosis of bipolar disorder, major clinical depression, schizophrenia, or another behavioral health disorder as defined by the Diagnostic and Statistical Manual of Mental Disorders, 5th Edition (DSM-5) accompanied by:

- Impaired functioning or limitations of daily living (including personal grooming, housework, basic home maintenance, managing medications, shopping, or employment) due to the disorder, or
- Impaired emotional or behavioral functioning that interferes substantially with the member's capacity to remain in the community without supportive treatment or services.
- Members at risk for institutionalization.

All Level 1 members must receive a minimum of four face-to-face Service Coordination contacts annually, in addition to monthly phone calls, unless otherwise requested by the member or member's LAR.

Level 2

Members who do not meet the requirements for Level 1 classification, but receive Personal Care Services (PCS), Community First Choice (CFC), or Nursing Services.

- Members that Superior believes would benefit from a higher level of Service Coordination based on results from the STAR Kids Screening and Assessment Instrument (SAI) and additional Superior findings.
- Members with a history of substance use disorder (multiple outpatient visits, hospitalization, or institutionalization within the past year).
- Members without SED or SPMI, but who have another behavioral health condition that significantly impairs function.

All Level 2 members must receive a minimum of two (2) face-to-face and six (6) telephonic Service Coordination contacts annually, unless otherwise requested by the member or member's LAR.

Level 3

Level 3 members include those who do not qualify as Level 1 or Level 2. All Level 3 members must receive a minimum of one (1) face-to-face visit annually and make at least three telephonic Service Coordination outreach contacts yearly.

Both members will be assigned the same Service Coordinator at the highest level of complexity.

How a Provider Can Access a STAR Kids Member's Service Coordinator

Service Coordination provides the members with initial and ongoing assistance with identifying, selecting, obtaining, coordinating and using covered services and other supports to enhance the member's well-being, independence, integration in the community and potential for productivity. STAR Kids providers can access Service Coordination by calling 1-844-433-2074.

Adult Transition Planning

Superior must help to assure that STAR Kids members receive early and comprehensive transition planning to help prepare them for service and benefit changes that will occur following their 21st birthday. Superior is responsible for conducting ongoing transition planning starting when the member turns 15 years old. Superior must provide transition planning services as a team approach through the named Service Coordinator, if applicable, and with a Transition Specialist within the Member Services Division. Transition Specialists must be an employee of Superior and wholly dedicated to counseling and educating members and others in their support network about considerations and resources for transitioning out of STAR Kids. Transition Specialists must be trained on the STAR+PLUS system and maintain current information on local and state resources to assist the member in the transition process. Transition planning must include the following activities:

1. Development of a continuity of care plan for transitioning Medicaid services and benefits from STAR Kids to the STAR+PLUS Medicaid managed care model without a break in service.
2. Prior to the age of 10, the MCO must inform the member and the member's LAR regarding LTSS programs offered through the Department of Aging and Disability Services (DADS) and, if applicable, provide assistance in completing the information needed to apply. DADS LTSS programs include CLASS, DBMD, TxHmL and HCS.
3. Beginning at age 15, the MCO must regularly update the ISP with transition goals.
4. Coordination with DARS to help identify future employment and employment training opportunities.
5. If desired by the member or the member's LAR, coordination with the member's school and Individual Education Plan (IEP) to ensure consistency of goals.
6. Health and wellness education to assist the member with self-management.
7. Identification of other resources to assist the member, the member's LAR, and others in the member's support system to anticipate barriers and opportunities that will impact the member's transition to adulthood.
8. Assistance applying for community services and other supports under the STAR+PLUS program after the member's 21st birthday.
9. Assistance identifying adult healthcare providers.

Member's Right to Designate an OB/GYN

(Excludes STAR Kids dual eligible Members):

Option 1: Superior DOES NOT LIMIT TO NETWORK.

Superior allows the member to pick any OB/GYN, whether that doctor is in the same network as the member's Primary Care Provider or not.

For Female Members

Members have the right to pick an OB/GYN without a referral from their Primary Care Provider. An OB/GYN can give the member:

- One well-woman checkup each year.
- Care for any female medical condition.
- Care related to pregnancy.
- A referral to a specialist doctor within the network.

Option 2: Superior LIMITS TO NETWORK.

Superior allows the member to pick an OB/GYN but this doctor must be in the same network as the member's Primary Care Provider.

For Female Members

Members have the right to pick an OB/GYN without a referral from their Primary Care Provider. An OB/GYN can give the member:

- One well-woman checkup each year.
- Care for any female medical condition.
- Care related to pregnancy.
- A referral to a specialist doctor within the network.

Additional Benefits

Prescriptions

All STAR Kids members (who are not covered by Medicare) receive unlimited prescriptions as part of the Medicaid Managed Care program.

Span of Coverage (Hospital) - Responsibility during a Continuous Inpatient Stay ¹

If a member is disenrolled from a STAR Kids MCO and enrolled in another STAR Kids MCO during an inpatient stay, then the former STAR Kids MCO will pay all facility charges until the member is discharged from the hospital, residential substance use disorder treatment facility, or residential detoxification for substance use disorder treatment facility, or until the member loses Medicaid eligibility. The new STAR Kids MCO will be responsible for all other Covered Services on the Effective Date of Coverage with the STAR Kids MCO.

| | Scenario | Hospital Facility Charge | All Other Covered Services |
|---|---|--------------------------|----------------------------|
| 1 | Member moves from FFS to STAR Kids | FFS | New MCO |
| 2 | Member moves from STAR, STAR Health or STAR+PLUS to STAR Kids | Former MCO | New MCO |
| 3 | Member Moves from CHIP to STAR Kids | New MCO | New MCO |
| 4 | Adult member moves from STAR Kids to STAR or STAR+PLUS | Former STAR Kids MCO | New STAR or STAR+PLUS MCO |
| 5 | Member moves from STAR Kids to STAR Health | Former STAR Kids MCO | New STAR Health MCO |
| 6 | Member retroactively enrolled in STAR Kids | New MCO | New MCO |
| 7 | Member moves between STAR Kids MCOs | Former MCO | New MCO |

¹ This document is not intended to supersede any HHSC Contract. This is a reference tool determining the span of coverage limitation. For up to date references, please see the STAR Kids contract.

Value-added Services

Superior STAR Kids members also have access to other services in addition to Medicaid-covered benefits and services, depending on their health needs. Collectively, this additional coverage is referred to as Value-added Services (VAS). Some of those extra services include:

- CentAccount®, a rewards program for members ages 18 through 20. Rewards include:
 - \$25 for completing a Texas Health Steps checkup (up to age 20).
 - \$20 for getting a well-woman exam.
 - \$10 for getting a flu shot.
 - \$10 for getting a specified screening.
- \$30 every three (3) months for commonly-used over-the-counter medications through a mail-order program.
- Up to \$150 each year for members to enroll in camps or receive respite care services.
- Community-based specialty services each month, including music therapy, art therapy, garden therapy and pet therapy.

- Up to 2 hours of respite care services every three (3) months for members not in the Medically Dependent Children Program (MDCP).
- \$10 gift card and stuffed bear for members who complete a follow-up appointment within 7 days of leaving an inpatient psychiatric facility.

These services are effective November 1, 2016. For an up-to-date list of these services, go to www.SuperiorHealthPlan.com. For more information about these or other extra services, please call 1-877-391-5921.

STAR Health

The STAR Health program is a statewide managed care program that provides services to Texas foster care children in the Department of Family and Protective Services (DFPS) conservatorship. Superior is contracted with HHSC to provide managed care services for all STAR Health members statewide.

Benefits Overview

Medicaid members participating in the STAR Health program receive all the benefits of the traditional Texas Medicaid program, as listed on page 41 of this manual.

Court-Ordered Services

Providers are encouraged to contact Superior at the onset of administering Court-Ordered Services. The following process will be followed in regard to Court-Ordered Services.

- Service Management/Service Coordination staff will obtain a copy of the court order from either the provider or DFPS and will scan it into the case management system.
- Superior, in conjunction with HHSC and DFPS, will then determine who is financially responsible for payment of the Court-Ordered Services.
 - If it is determined that Superior is responsible, an authorization will be created in the case management system and a letter will be sent to the provider and DFPS notifying them of the approval.
 - If it is found that Superior is not financially responsible for the Court-Ordered Services (for example if the Court-Ordered Services are not a Medicaid covered service), then the Superior Service Management Teams will assist in coordinating receipt of the services.

Please fax court orders to Superior's Medical Management Department at 1-866-702-4837.

3 in 30

3 in 30 combines three separate, yet critical, tools for assessing the medical, behavioral, and developmental strengths and needs of children and youth entering the Texas Department of Family and Protective Services (DFPS) conservatorship. Each assessment is a requirement set forth by Senate Bill 11. Together, the three assessments chart the path for ensuring STAR Health members get the care and services they need at the time they enter foster care.

Under current law, STAR Health members must receive a Texas Health Steps Medical Checkup (Texas Health Steps) and the Child and Adolescent Needs and Strengths (CANS) Assessment within the first 30 days of removal. Beginning April 1, 2018 STAR Health members will also be required to undergo an initial medical assessment within three business days of entering DFPS care.*

By combining the statewide implementation of the newly required initial 3-Day Medical Exam with strengthening compliance for obtaining the previously mandated Texas Health Steps medical checkup and the CANS Assessment, DFPS will gain a greater understanding of the needs and strengths of STAR Health members.

**Note: Implementation of the 3 in 30 program will begin in specific STAR Health Service Delivery Areas (SDAs) in April and will be phased into the other SDAs throughout 2018.*

3 in 30 Components

3-Day Medical Exam

Senate Bill (SB) 11 (85R) set forth requirements for certain children and youth to receive an initial medical exam within the first three business days of entering DFPS conservatorship under certain circumstances. However, Texas Health and Human Services Commission (HHSC) and DFPS has chosen to broadly implement the 3-Day Medical Exam so that all children entering substitute care receive the exam unless they already received urgent or emergent care upon entering the conservatorship of DFPS.

3-Day Medical Exam components include:

- Vital Signs:
 - Growth parameters include obtaining weight and height/length for all children and youth and head circumference for children under 3 years of age.
 - For children older than 2 years of age, consider calculation of Body Mass Index (BMI) to assess nutritional needs.
- History:
 - Not only do you, as the provider, conduct the 3-Day Medical Exam with the context of the reasons for removal with specific mention of presence or absence of sexual abuse, physical abuse, physical neglect, nutritional neglect, exposure to violence or environmental hazards, but you also obtain a good medical history for the child. Obtain any known past medical history and current concerns, medications, allergies. Specifically, look for signs and symptoms of:
 - Health conditions related to risks reported/documented by DFPS
 - Physical and intellectual disabilities
 - Vision, hearing, communication deficits
 - Mental illness, suicidality, aggression or emotional distress
 - Pregnancy, sexually transmitted infections, substance abuse
- Physical Exam
 - Complete exam, including all body surfaces, with respect to the child or youth's level of distress.
 - Consider child abuse specialist consultation if guidance/assistance is needed, for example, when history or physical indicates concerns for sexual abuse, physical abuse, or failure to thrive. Evaluation of suspected/alleged physical or sexual abuse should follow established protocols.
- Tests:
 - Any laboratory or other tests will be done at your discretion
 - Formal hearing, vision and TB surveillance skin testing in children over 1 year of age is not required with the initial 3-Day Medical Exam but may be done at the medical professional's discretion.
- Treatment:
 - Medically necessary medications, equipment, patient education, consults/referrals, and/or transfer to higher level of care.
 - **If child is a newborn, consider completing Texas Health Steps 3-day newborn visit.**

- Follow-up
 - Provide written communication of follow-up expectations based on medical necessity.
 - Provide written communication of medically necessary equipment or referrals; particularly important if exam is conducted outside of medical home setting.
 - **If examiner is a Texas Health Steps provider, schedule follow-up and Texas Health Steps 30-day visit.**

Texas Health Steps Checkup

All children entering DFPS conservatorship must receive a preventive health care visit, known as a Texas Health Steps medical checkup, within 30 days of entering DFPS conservatorship. These medical checkups are periodic preventive health care services for children enrolled in Medicaid, from birth through 20 years of age.

Texas Health Steps medical checkups include:

- Comprehensive health and developmental history
- Comprehensive unclothed physical exam
- Appropriate immunizations (according to the Advisory Committee on Immunization Practices)
- Laboratory tests (including lead toxicity screening)
- Health education (anticipatory guidance including child development, healthy lifestyles, and accident and disease prevention)

Providers must adhere to Superior's billing guidelines as outlined in Section 10 of this manual. In addition, in order for the Texas Health Steps exam to be considered timely, proper procedure codes and Texas Health Steps modifiers must be used when billing. Refer to the Texas Health Steps Quick Reference Guide for the most up to date instructions on billing:

http://www.tmhp.com/TMHP_File_Library/Provider_Manuals/THStepsQRG/THSteps_QRG.pdf

Child and Adolescent Needs and Strengths (CANS) Assessment

In accordance with SB 125 (84R), children entering foster care began receiving a developmentally-appropriate, comprehensive assessment called the CANS Assessment. This assessment is for children 3 years of age and older, and includes a trauma evaluation and interviews with individuals who knew the child's needs on September 1, 2016.

Timing of Components

The 3-Day Medical Exam provides for immediate understanding of the child's medical and mental health status. This exam enables DFPS to obtain the needed medical care and treatment each child or youth. Together, the Texas Health Steps Checkup and CANS Assessment contribute information within the first 30 days of care, specifying medical, behavioral, and developmental strengths and challenges. This information can be used to develop the unique service plan for a STAR Health member, which must be presented to the court with jurisdiction over the child's legal case within the first 45 days of care.

| Requirement | Timeline |
|---|--|
| Three-Day Medical Exam | Within 3 business days of removal |
| Texas Health Steps Medical Check-Up | Within 30 days of removal |
| Child and Adolescent Strengths and Needs Assessment | Within 30 days of removal and then annually thereafter |

For more information or questions on 3 in 30, providers may contact their Superior Account Manager.

Early Childhood Intervention (ECI)

Early Childhood Intervention (ECI) is a statewide program for families with children, birth to three (3) years of age, with disabilities and developmental delays. ECI supports families to help their children reach their potential through developmental services. Services are provided by a variety of local agencies and organizations across Texas.

If an member has a developmental delay or disability, required identification and referral is needed for the ECI program, or members may need to meet other ECI criteria specific to the program. Members may have self-referrals to any network ECI provider.

Personal Care Services

Personal Care Services (PCS) are support services provided to members who require assistance with activities of daily living, instrumental activities of daily living and health-related functions because of a disability or chronic health condition. Providers will identify and refer members for personal care services, which will enable the member to live independently in the community rather than in an institutional setting.

To obtain authorization for PCS for STAR Health members, contact Superior at 1-866-912-6283 or call Service Management/Service Coordination.

PCS is subject to Electronic Visit Verification (EVV). For more information, see Section 20.

Contraceptive Services

Any member in the STAR Health program may request and receive any contraceptive service except sterilization without the consent of the child's parents, caregivers or managing conservator.

Exceptions to Medical Consent Policy

For children under age 18 years who are under the managing conservatorship of DFPS, there are exceptions to the Medical Consent Policy. This includes:

- Withholding or withdrawing life sustaining treatment.
- Abortion.
- Organ donation/anatomical gifts.
- Admission to mental health facility.
- Early Childhood Intervention (ECI) or Independent School District (ISD).
- Drug research program.
- Electroconvulsive Therapy (ECT).
- Aversive conditioning.

Routine, Urgent and Emergent Services

Residential Placement for Children

DFPS often requires medical and/or behavioral health assessments for children in foster care in order to determine an appropriate residential placement for the child. These assessments must be provided within required timeframes to minimize the disruption that children in foster care experience when placed in an inappropriate residential setting. Superior is contractually required to assist DFPS with scheduling appointments for these assessments within either three (3) or five (5) days of request, depending on the severity of the child's needs.

Providers must assist Superior by prioritizing the scheduling of these appointments so that required timeframes are met. Providers must also coordinate with Superior to provide the results of the assessments, including diagnosis and recommendations, to DFPS within two (2) business days.

CANS (Child and Adolescent Needs and Strengths) Assessment

Beginning September 1, 2016, Superior HealthPlan will begin scheduling the Texas Child and Adolescent Needs and Strengths (CANS) Comprehensive 2.0 (child welfare) assessment for children placed in foster care within thirty (30) days of them entering DFPS conservatorship. The CANS Comprehensive 2.0 (child welfare) assessment means the comprehensive and developmentally appropriate child welfare assessment required by Texas Family Code § 266.012. This assessment is not the same as the CANS assessment facilitated by Local Mental Health Authorities for utilization of Mental Health Rehabilitative Services and Mental Health Targeted Case Management Services. The 2.0 (child welfare) assessment must include a trauma screening and interviews with individuals having knowledge of the child's needs.

Superior requires PCPs have screening and evaluation procedures for the detection and treatment of, or referral for, any known or suspected BH problems and disorders. Superior will provide training to Network PCPs on:

1. Using the results and recommendations of the Texas CANS Comprehensive 2.0 (child welfare) assessment tool to guide treatment decisions;
2. The MCO's referral process for BH Services and clinical coordination requirements for such services; and
3. Coordination and quality of care such as BH screening techniques for PCPs and new models of BH interventions.

For more information, please contact your assigned Superior Account Manager.

Department of Family and Protective Services Reporting

BH providers and/or physical health providers who treat a BH condition are responsible for appropriate referrals to the DFPS for suspected or confirmed cases of abuse.

To report concerns of abuse, neglect or exploitation of children or people with disabilities contact the Texas Abuse/Neglect hotline at 1-800-252-5400, or www.txabusehotline.org.

Court-Ordered Commitment of Members

A member who has been ordered to receive treatment under the provisions of Chapter 573 or 574 of the Texas Health and Safety Code must receive the services ordered by that court of competent jurisdiction.

Any modification or termination of services must be presented to the court with jurisdiction over the matter for determination. Superior cannot deny, reduce or controvert the medical necessity of inpatient psychiatric services provided pursuant to a Court-Ordered Commitment for members. The member can only appeal the commitment through the court system.

To ensure services are not inadvertently denied, providers must contact Superior and provide telephonic or written clinical information as well as a copy of the court order.

Process

The following process will be followed in regard to Court-Ordered Services:

- Service Management/Service Coordination staff will obtain a copy of the court order from either the provider or DFPS and will scan it into the Case Management System.
- Superior, in conjunction with HHSC and DFPS, will then determine who is financially responsible for payment of the Court-Ordered Services.
 - If it is determined that Superior is responsible, an authorization will be created in the Case Management System and a letter will be sent to the provider and DFPS notifying them of the approval.

- If it is found that Superior is not financially responsible for the Court-Ordered Services (for example the Court-Ordered Services are not a Medicaid covered service), then the Superior service management team will assist in coordinating receipt of the services.

Superior will make best efforts to authorize services from the court order once provided. To ensure accurate claims payment, the provider should call 1-866-218-8263 to verify services are authorized.

Any professional service provided that is part of a court order must be billed with an E9 modifier as described in the Texas Medicaid Provider Procedures Manual. Court-Ordered Services that require authorization or notification per the Superior Prior Authorization list must also have an authorization. Facilities providing court-ordered services should bill using the appropriate code (8 or 08) in the Source of Admission field of the UB-92 claim form.

In the event that prior authorization is not secured and a court ordered service is denied, the claim can be resubmitted through the reconsideration process and will be reprocessed accordingly with the written clinical or court documentation.

Member's Right to Designate an OB/GYN

Option 1: Superior DOES NOT LIMIT TO NETWORK.

Superior allows the member to pick any OB/GYN, whether that doctor is in the same network as the member's Primary Care Provider or not.

For Female Members

Members have the right to pick an OB/GYN without a referral from their Primary Care Provider. An OB/GYN can give the member:

- One well-woman checkup each year.
- Care for any female medical condition.
- Care related to pregnancy.
- A referral to a specialist doctor within the network.

Option 2: Superior LIMITS TO NETWORK.

Superior allows the member to pick an OB/GYN but this doctor must be in the same network as the member's Primary Care Provider.

For Female Members

Members have the right to pick an OB/GYN without a referral from their Primary Care Provider. An OB/GYN can give the member:

- One well-woman checkup each year.
- Care for any female medical condition.
- Care related to pregnancy.
- A referral to a specialist doctor within the network.

Additional Benefits

Prescriptions

All STAR Health Medicaid members receive unlimited prescriptions as part of the Medicaid Managed Care program.

Community First Choice (CFC)

Community First Choice (CFC) is a Medicaid benefit that provides services for people with Intellectual and Developmental Disabilities (IDD) and/or physical disabilities and/or individuals who meet the institutional level of care for an Institution for Mental Disease (IMD). The services available under CFC are:

- **Personal assistance services (PAS/PCS):** Help with daily living activities and health-related tasks.
- **Habilitation:** Services to help members learn new skills and care for themselves.
- **Emergency Response Services (ERS):** Help members who live alone or are alone for most of the day.
- **Support Management:** Training to help members learn how to select, manage and dismiss attendants.

Who Can Receive CFC Services?

To be eligible for Community First Choice services through Superior HealthPlan, an individual must:

- Be eligible for Medicaid and enrolled in either STAR+PLUS or STAR Health.
- Need an institutional level of care such as a hospital, an Intermediate Care Facility (ICF) for Individuals with an Intellectual Disability (IID), nursing facility (NF) or Institution for Mental Disease (IMD).
- Need services provided in the CFC program.

Assessments

- For STAR+PLUS and STAR Health members with physical disabilities, Superior will complete the Medical Necessity Level of Care assessment (MN/LOC) and CFC Assessment. MN/LOC assessments will be transmitted to TMHP who makes the determinations on the NF LOC.
- For STAR+PLUS and STAR Health members with IDD, the Local Intellectual and Developmental Disability Authority (LIDDA) will complete the Intellectual Disability/Related Condition (ID/RC) assessment and the CFC assessment for members 21 and over. Superior will complete the CFC Assessments for all members under 21. The LIDDA will transmit the ID/RC to DADS who makes the determinations on the ICF LOC.
- All CFC assessments will be person-centered and will result in a plan of care reflecting the needs and goals of the member.
- Assessments will be conducted initially and at least annually.

Authorizations

- Upon completed and approved assessments, a plan of care will be created and presented to the member.
- The member and/or their LAR and/or medical consentor will accept the plan of care and select their providers/provider agencies for their approved CFC services.
- Superior will create and issue authorizations that will be valid for a one-year time period from the date of the initial/annual assessment.
- If a member already receiving PAS becomes eligible for habilitation services, the member may desire to switch to a habilitation-contracted provider if necessary, or decline habilitation services.
- PAS Only:
 - Members with no identified habilitation service need will select a Superior contracted PAS provider.
 - Authorization will utilize the CFC PAS-only codes/modifiers and rate.
- PAS with HAB:
 - Members with any identified habilitation service need will select a Superior contracted HAB/PAS Provider.
 - Must use a single provider for HAB and PAS services.
 - Single Authorization will utilize the habilitation codes/ modifiers and rate.
- HAB Only:
 - Members with a habilitation service need but no PAS need will select a Superior contracted HAB provider.
 - Authorization will utilized the habilitation codes/modifiers and rate.

- Non-CFC PAS and ERS:
 - Continue to use existing LTSS codes/modifiers and rates.

CFC Standards

- CFC services must be provided in accordance with HHSC rule 1 TAC, Part 15, Chapter 354, Subchapter A, Division 27 and includes the following:
 - CFC PAS/HAB assistance with activities of daily living (ADLs) and instrumental activities of daily living (IADLs) through hands-on assistance, supervision, and/or cueing and acquisition, maintenance and enhancement of skills necessary for the member to accomplish ADLs, IADLs and health-related tasks;
 - CFC ERS: Electronic devices to ensure continuity of services and supports; and
 - Support Management: Voluntary training on how to select, manage and dismiss attendants.
- The CFC services must be delivered in accordance with the member's service plan.
- Provider must have current documentation, which includes the member's service plan, ID/RC when applicable, staff training documentation, service delivery logs (documentation showing the delivery of the CFC services), medication administration record (if applicable), and nursing assessment (if applicable).
- Provider must ensure that the rights of the members are protected (e.g., privacy during visitation, to send and receive sealed and uncensored mail, to make and receive telephone calls, etc.).
- Provider must ensure, through initial and periodic training, the continuous availability of qualified service providers who are trained on the current needs and characteristics of the member being served. This includes the delegation of nursing tasks, dietary needs, behavioral needs, mobility needs, allergies and any other needs specific to the member which are required to ensure the member's health, safety and welfare. The provider must maintain documentation of this training in the member's record.
- Provider must ensure that the staff members have been trained on recognizing and reporting acts or suspected acts of abuse, neglect and exploitation. The program provider must also show documentation regarding required actions that must be taken when from the time they are notified that a DFPS investigation has begun through the completion of the investigation (e.g., providing medical and psychological services as needed, restricting access by the alleged perpetrator, cooperating with the investigation, etc). The program provider must also provide the member/LAR with information on how to report acts or suspected acts of abuse, neglect and exploitation and the DFPS hotline (1-800-647-7418).
- Provider must address any complaints received from a member/LAR and have documentation showing the attempt(s) at resolution of the complaint. The program provider must provide the member/LAR with the appropriate contact information for filing a complaint.
- Provider must not retaliate against a staff member, service provider, member (or someone on behalf of a member), or other person who files a complaint, presents a grievance, or otherwise provides good faith information related to the misuse of restraint, use of seclusion, or possible abuse, neglect or exploitation.
- Provider must ensure that the service providers meet all of the personnel requirements (age, high school diploma/ GED OR competency exam and three references from non-relatives, current Texas driver's license and insurance if transporting, criminal history check, employee misconduct registry check, nurse aide registry check, OIG checks). For CFC ERS, the program provider must ensure that the provider of ERS has the appropriate licensure.
- Per the CFR §441.565 for CFC, the provider must ensure that any additional training requested by the member/LAR of CFC PAS/HAB service providers is procured.

- The use of seclusion is prohibited. Documentation regarding the appropriate use of restrictive intervention practices, including restraints must be maintained, including any necessary behavior support plans.
- The provider must adhere to Superior's billing guidelines as outlined in Chapter 10 of this manual. In addition, proper procedure codes and CFC modifiers must be used when billing. In addition, all attendant services and habilitation providers/provider agencies must use an HHSC-approved electronic visit verification (EVV) vendor to submit their timesheets. Additional details about EVV can be found in Section 20 of this manual.
- Provider must prevent conflicts of interest between themselves, a staff member, or a service provider and a member, such as the acceptance of payment for goods or services from which the program provider, staff member, or service provider could financially benefit.
- The provider must prevent financial impropriety toward a member including unauthorized disclosure of information related to a member's finances and the purchase of goods that a member cannot use with the member's funds.

Intellectual Disabilities (IDD)

Members with Intellectual and Developmental Disabilities (IDD) or Related Conditions (RC) who do not qualify for Medicare, and receive services through the Intermediate Care Facilities for Individuals with an Intellectual Disability (ICF-IID) Program or an IDD Waiver can receive Acute Care Services through Superior STAR+PLUS or STAR Health. Authorization will be required for applicable medically necessary acute care services managed through Superior as well as for any behavioral health services managed through Superior.

Service Coordination and Service Management

STAR Health physical and behavioral health service coordinators and managers support health treatment providers by facilitating communication between all members of a child/youth's treatment team.

Since children in foster care have diverse and unique needs, STAR Health developed specialized Service Management programs to address those needs. Specialized programs include:

- Physical health programs focusing on members with diabetes, asthma and/or obesity as well as those with complex medical needs.
- Behavioral health programs targeting members with intellectual and developmental disabilities, youth transitioning out of foster care and members with behavioral complex needs.

Physical and behavioral health service coordinators/managers coordinate to ensure that all needs of each child are addressed. This collaboration is supported through co-location of the physical and behavioral STAR Health teams. This allows for joint service planning to occur with greater ease to better support children, youth and caregivers in foster care.

The managing physician maintains responsibility for the member's ongoing care needs. Superior's service coordinator/manager supports the physician by tracking compliance with the Care Management Plan, and facilitating communication between the PCP and other members of the care management team. The service coordinator also facilitates referrals and linkages to available community resources and providers, such as specialty services, local health departments and school-based clinics.

A service coordinator/manager determines whether coordination of services will result in more appropriate and cost-effective care through Care Management Plan intervention. During this assessment, member information is obtained from the member or medical consentor, attending physician and other health care providers.

The service coordinator/manager develops a proposed Health Care Service Plan. This proposed service plan is based on:

- Medical and treatment history;
- Current services and providers;
- Current goals/progress on goal achievement;
- DME/medical supplies;
- Member/family/support systems to assist the member in the home setting;
- Community resources/services available; and
- Member compliance with the prescribed treatment plan.

When the attending physician, member or medical consentor agree, the Health Care Service Plan is implemented. Check points are put into place to evaluate the effectiveness of the plan and the quality of care provided. Care coordination and collaboration with physician or specialty services will be facilitated as applicable to ensure delivery of adequate and appropriate preventive health services and follow up on existing medical issues identified through the assessment process.

When necessary, the service coordinator will assist the member with any discharge planning and/or transitioning to other care providers when benefits end.

Value-added Services

Superior STAR Health members also have access to other services in addition to Medicaid-covered benefits and services, depending on their health needs. Collectively, this additional coverage is referred to as Value-added Services (VAS).

Some of those extra services include:

- Expanded vision benefits.
- Sports/camp physicals.
- Over-the-counter items.

For an up-to-date list of these services, go to www.SuperiorHealthPlan.com. For more information about these or other extra services, please call 1-877-391-5921.

CHIP

Superior is required to provide specific medically necessary services to its CHIP members, as designated in the CHIP member handbook that is provided to every CHIP member. These medically necessary health services must be:

- Furnished in the most appropriate and least restrictive setting in which services can be safely provided.
- Provided at the most appropriate level or supply of service which can be safely provided, and could not be omitted without adversely affecting the member's physical health or quality of life.

There is no lifetime maximum on benefits; however, enrollment period (a 12-month period) or limitations apply to certain services, as specified in the listings on the following pages. Superior will not impose any pre-existing condition limitations or exclusions, or require evidence of insurability to provide coverage to any CHIP-eligible member.

Some members may have copayments and in this case, copayments apply until the member reaches their annual cost-sharing maximum. Some CHIP members might have additional group or individual coverage available to them. When this occurs, Superior will coordinate benefits as the secondary insurance payer.

CHIP members are eligible to receive an unlimited number of prescriptions per month, and may receive up to a 90-day supply of drugs.

Member's Right to Designate an OB/GYN

Option 1: Superior DOES NOT LIMIT TO NETWORK

Superior allows the member to pick any OB/GYN, whether that doctor is in the same network as the member's Primary Care Provider or not.

For Female Members

Members have the right to pick an OB/GYN without a referral from their Primary Care Provider. An OB/GYN can give the Member:

- One well-woman checkup each year.
- Care for any female medical condition.
- Care related to pregnancy.
- A referral to a specialist doctor within the network.

Option 2: Superior LIMITS TO NETWORK

Superior allows the Member to pick an OB/GYN but this doctor must be in the same network as the member's Primary Care Provider.

For Female Members

Members have the right to pick an OB/GYN without a referral from their Primary Care Provider. An OB/GYN can give the member:

- One well-woman checkup each year.
- Care for any female medical condition.
- Care related to pregnancy.
- A referral to a specialist doctor within the network.

Benefits Overview

CHIP and CHIP Perinate Newborn Schedule of Benefits and Cost Sharing

The following information is the benefits table for CHIP and CHIP Perinate newborn members.

| Type of Benefit | Description of Benefit | Limitations | Copay |
|--|--|---|--|
| Inpatient General Acute and Inpatient Rehabilitation | <p>Services include, but are not limited to, the following:</p> <ul style="list-style-type: none"> • Hospital-provided Physician or provider services • Semi-private room and board (or private if medically necessary as certified by attending) • General nursing care • Special duty nursing when medically necessary • ICU and services • Patient meals and special diets • Operating, recovery and other treatment rooms • Anesthesia and administration (facility technical component) • Surgical dressings, trays, casts, splints • Drugs, medications and biologicals • Blood or blood products that are not provided free-of charge to the patient and their administration • X-rays, imaging and other radiological tests (facility technical component) • Laboratory and pathology services (facility technical component) • Machine diagnostic tests (EEGs, EKGs, etc.) • Oxygen services and inhalation therapy • Radiation and chemotherapy • Access to DSHS-designated Level III perinatal centers or Hospitals meeting equivalent levels of care In-network or out-of-network facility and Physician services for a mother and her newborn(s) for a minimum of 48 hours following an uncomplicated vaginal delivery and 96 hours following an uncomplicated delivery by caesarian section. • Hospital, physician and related medical services, such as anesthesia, associated with dental care • Inpatient services associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero). Inpatient services associated with miscarriage or non-viable pregnancy include, but are not limited to: <ul style="list-style-type: none"> - dilation and curettage (D&C) procedures; - appropriate provider-administered medications; - ultrasounds, and - histological examination of tissue samples. • Surgical implants • Other artificial aids including surgical implants • Inpatient services for a mastectomy and breast reconstruction include: <ul style="list-style-type: none"> - all stages of reconstruction on the affected breast; - external breast prosthesis for the breast(s) on which medically necessary mastectomy procedure(s) have been performed - surgery and reconstruction on the other breast to produce symmetrical appearance; and - treatment of physical complications from the mastectomy and treatment of lymphedemas. • Implantable devices are covered under Inpatient and Outpatient services and do not count towards the DME 12-month period limit • Pre-surgical or post-surgical orthodontic services for medically necessary treatment of craniofacial anomalies requiring surgical intervention and delivered as part of a proposed and clearly outlined treatment plan to treat: <ul style="list-style-type: none"> - cleft lip and/or palate; or - severe traumatic skeletal and/or congenital craniofacial deviations; or - severe facial asymmetry secondary to skeletal defects, congenital syndromal conditions and/or tumor growth or its treatment. | <ul style="list-style-type: none"> • Requires authorization for non-emergency care and care following stabilization of an emergency condition. • Requires authorization for in-network or out-of-network facility and physician services for a mother and her newborn(s) after 48 hours following an uncomplicated vaginal delivery and after 96 hours following an uncomplicated delivery by cesarean section. | Applicable level of inpatient copay applies. |

CHIP and CHIP Perinate Newborn Schedule of Benefits and Cost Sharing

| Type of Benefit | Description of Benefit | Limitations | Copay |
|---|--|--|--|
| Skilled Nursing Facilities (Includes Rehabilitation Hospitals) | Services include, but are not limited to, the following: <ul style="list-style-type: none"> • Semi-private room and board • Regular nursing services • Rehabilitation services • Medical supplies and use of appliances and equipment furnished by the facility | <ul style="list-style-type: none"> • Requires authorization and physician prescription. • 60 days per 12-month period limit. | Copays do not apply. |
| Outpatient Hospital, Comprehensive Outpatient Rehabilitation Hospital, Clinic (including health center) and Ambulatory Health Care Center | Services include, but are not limited to, the following services provided in a hospital clinic or emergency room, a clinic or health center, hospital-based emergency department or an ambulatory health care setting: <ul style="list-style-type: none"> • X-ray, imaging and radiological tests (technical component) • Laboratory and pathology services (technical component) • Machine diagnostic tests • Ambulatory surgical facility services • Drugs, medications and biologicals • Casts, splints, dressings • Preventive health services • Physical, occupational and speech therapy • Renal dialysis • Respiratory services <ul style="list-style-type: none"> - Radiation and chemotherapy • Blood or blood products that are not provided free-of charge to the patient and the administration of these products. • Outpatient services associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero). Outpatient services associated with miscarriage or non-viable pregnancy include, but are not limited to: <ul style="list-style-type: none"> - dilation and curettage (D&C) procedures; - appropriate provider-administered medications; - ultrasounds, and - histological examination of tissue samples. • Facility and related medical services, such as anesthesia, associated with dental care, when provided in a licensed ambulatory surgical facility. • Surgical implants • Other artificial aids including surgical implants • Outpatient services provided at an outpatient hospital and ambulatory health care center for a mastectomy and breast reconstruction as clinically appropriate, include: <ul style="list-style-type: none"> - all stages of reconstruction on the affected breast; - external breast prosthesis for the breast(s) on which medically necessary mastectomy procedure(s) have been performed - surgery and reconstruction on the other breast to produce symmetrical appearance; and - treatment of physical complications from the mastectomy and treatment of lymphedemas. • Implantable devices are covered under Inpatient and outpatient services and do not count towards the DME 12-month period limit • Pre-surgical or post-surgical orthodontic services for medically necessary treatment of craniofacial anomalies requiring surgical intervention and delivered as part of a proposed and clearly outlined treatment plan to treat: <ul style="list-style-type: none"> - cleft lip and/or palate; or - severe traumatic skeletal and/or congenital - craniofacial deviations; or - severe facial asymmetry secondary to skeletal defects, congenital syndromal conditions and/or tumor growth or its treatment. | May require prior authorization and physician prescription. | <ul style="list-style-type: none"> • Applicable level of co-pay applies to prescription drug services. • Co-pays do not apply to preventive services or outpatient services. |

CHIP and CHIP Perinate Newborn Schedule of Benefits and Cost Sharing

| Type of Benefit | Description of Benefit | Limitations | Copay |
|--|---|--|--|
| Physician/ Physician Extender Professional Services (continued) | <ul style="list-style-type: none"> Physician and professional services for a mastectomy and breast reconstruction include: <ul style="list-style-type: none"> all stages of reconstruction on the affected breast; external breast prosthesis for the breast(s) on which medically necessary mastectomy procedure(s) have been performed surgery and reconstruction on the other breast to produce symmetrical appearance; and treatment of physical complications from the mastectomy and treatment of lymphedemas. In-network and out-of-network Physician services for a mother and her newborn(s) for a minimum of 48 hours following an uncomplicated vaginal delivery and 96 hours following an uncomplicated delivery by caesarian section. Physician services associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero). Physician services associated with miscarriage or non-viable pregnancy include, but are not limited to: <ul style="list-style-type: none"> dilation and curettage (D&C) procedures; appropriate provider-administered medications; ultrasounds, and histological examination of tissue samples. Physician services medically necessary to support a dentist providing dental services to a CHIP member such as general anesthesia or intravenous (IV) sedation. Pre-surgical or post-surgical orthodontic services for medically necessary treatment of craniofacial anomalies requiring surgical intervention and delivered as part of a proposed and clearly outlined treatment plan to treat: <ul style="list-style-type: none"> cleft lip and/or palate; or severe traumatic skeletal and/or congenital craniofacial deviations; or severe facial asymmetry secondary to skeletal defects, congenital syndromal conditions and/or tumor growth or its treatment. | May require authorization for specialty services. | <ul style="list-style-type: none"> Applicable level of copay applies to office visits. Copays do not apply to preventive services. |
| Prenatal care and pre-pregnancy family services and supplies | <ul style="list-style-type: none"> Covered, unlimited prenatal care and medically necessary care related to diseases, illness or abnormalities related to the reproductive system, and limitations and exclusions to these services are described under inpatient, outpatient and physician services. | Primary and preventive health benefits do not include pre-pregnancy family reproductive services and supplies, or prescription medications prescribed only for the purpose of primary and preventive reproductive health care. | Copays do not apply. |
| Birthing Center Services | Covers birthing services provided by a licensed birthing center. | <ul style="list-style-type: none"> Limited to facility services (e.g., labor and delivery). Applies only to CHIP members. | None |
| Services rendered by a Certified Nurse Midwife or physician in a licensed birthing center. | <ul style="list-style-type: none"> Covers prenatal services and birthing services rendered in a licensed birthing center. CHIP perinate newborn members: Covers services rendered to a newborn immediately following delivery. | <ul style="list-style-type: none"> Limited to facility services (e.g., labor and delivery). Applies only to CHIP members. | None |

CHIP and CHIP Perinate Newborn Schedule of Benefits and Cost Sharing

| Type of Benefit | Description of Benefit | Limitations | Copay |
|---|--|---|--|
| Durable Medical Equipment (DME), Prosthetic Devices and Disposable Medical Supplies | <p>\$20,000 12-month period limit for DME, prosthetics, devices and disposable medical supplies (diabetic supplies and equipment are not counted against this cap). Services include DME (equipment which can withstand repeated use and is primarily and customarily used to serve a medical purpose, generally is not useful to a person in the absence of illness, injury, or disability, and is appropriate for use in the home), including devices and supplies that are medically necessary and necessary for one or more activities of daily living and appropriate to assist in the treatment of a medical condition, including:</p> <ul style="list-style-type: none"> • Orthotic braces and orthotics • Dental devices • Prosthetic devices such as artificial eyes, limbs, braces and external breast prostheses • Prosthetic eyeglasses and contact lenses for the management of severe ophthalmologic disease • Hearing aids • Diagnosis-specific disposable medical supplies, including diagnosis-specific prescribed specialty formula and dietary supplements. | <ul style="list-style-type: none"> • Requires prior authorization and physician prescription. • \$20,000 per 12-month period limit for DME, prosthetics, devices and disposable medical supplies (implantable devices, diabetic supplies and equipment are not counted against this cap). | Copays do not apply. |
| Home and Community Health Services | <p>Services that are provided in the home and community, including, but not limited to:</p> <ul style="list-style-type: none"> • Home infusion • Respiratory therapy • Visits for private duty nursing (R.N., L.V.N.) • Skilled nursing visits as defined for home health purposes (may include R.N. or L.V.N.). • Home health aide when included as part of a plan of care during a period that skilled visits have been approved. • Speech, physical and occupational therapies. • Services are not intended to replace the CHILD'S caretaker or to provide relief for the caretaker • Skilled nursing visits are provided on intermittent level and not intended to provide 24-hour skilled nursing services • Services are not intended to replace 24-hour inpatient or skilled nursing facility services | <ul style="list-style-type: none"> • Requires prior authorization and physician prescription. • Services are not intended to replace the child's caretaker or to provide relief for the caretaker. • Skilled nursing visits are provided on intermittent level and not intended to provide 24-hour skilled nursing services. • Services are not intended to replace 24-hour inpatient or skilled nursing facility services. | Copays do not apply. |
| Inpatient Mental Health Services | <p>Mental health services, including for serious mental illness, furnished in a free-standing psychiatric hospital, psychiatric units of general acute care hospitals and state-operated facilities, including, but not limited to:</p> <ul style="list-style-type: none"> • Neuropsychological and psychological testing. • When inpatient psychiatric services are ordered by a court of competent jurisdiction under the provisions of Chapters 573 and 574 of the Texas Health and Safety Code, relating to court ordered commitments to psychiatric facilities, the court order serves as binding determination of medical necessity. Any modification or termination of services must be presented to the court with jurisdiction over the matter for determination • Does not require PCP referral | <ul style="list-style-type: none"> • Requires prior authorization for non-emergency services. • Does not require Primary Care Provider referral. • When inpatient psychiatric services are ordered by a court of competent jurisdiction under the provisions of Chapters 573 and 574 of the Texas Health and Safety Code, relating to court ordered commitments to psychiatric facilities, the court order serves as binding determination of medical necessity. Any modification or termination of services must be presented to the court with jurisdiction over the matter for determination. | Applicable level of inpatient copay applies. |

CHIP and CHIP Perinate Newborn Schedule of Benefits and Cost Sharing

| Type of Benefit | Description of Benefit | Limitations | Copay |
|---|---|---|---|
| Outpatient Mental Health Services | <p>Mental health services, including for serious mental illness, provided on an outpatient basis, including, but not limited to:</p> <ul style="list-style-type: none"> Neuropsychological and psychological testing (visits can be furnished in a variety of community-based settings [including school and home-based] or in a state-operated facility) Medication management Rehabilitative day treatments Residential treatment services Sub-acute outpatient services (partial ospitalization or rehabilitative day treatment) Skills training (psycho-educational skill development) When outpatient psychiatric services are ordered by a court of competent jurisdiction under the provisions of Chapters 573 and 574 of the Texas Health and Safety Code, relating to court ordered commitments to psychiatric facilities, the court order serves as binding determination of medical necessity. Any modification or termination of services must be presented to the court with jurisdiction over the matter for determination A Qualified Mental Health Provider – Community Services (QMHP-CS), is defined by the Texas Department of State Health Services (DSHS) in Title 25 T.A.C., Part I, Chapter 412, Subchapter G, Division 1, §412.303(48). QMHP-CSs shall be providers working through a DSHS-contracted Local Mental Health Authority or a separate DSHS-contracted entity. QMHP-CSs shall be supervised by a licensed mental health professional or physician and provide services in accordance with DSHS standards. Those services include individual and group skills training (which can be components of interventions such as day treatment and in-home services), patient and family education and crisis services Does not require PCP referral | <ul style="list-style-type: none"> May require prior authorization. Does not require Primary Care Provider referral. The visits can be furnished in a variety of community-based settings (including school and home-based) or in a state-operated facility. When outpatient psychiatric services are ordered by a court of competent jurisdiction under the provisions of chapters 573 and 574 of the Texas Health and Safety Code, relating to court ordered commitments to psychiatric facilities, the court order serves as binding determination of medical necessity. Any modification or termination of services must be presented to the court with jurisdiction over the matter for determination. A Qualified Mental Health Professional Provider – Community Services (QMHP-CS), as is defined and credentialed by the Texas Department of State Health Services (DSHS) in standards (T.A.C.Title 25 T.A.C., Part I, Chapter 412, Subchapter G, Division 1), §412.303(31). QMHP-CSs are providers working through a DSHS-contracted Local Mental Health Authority or a separate DSHS-contracted entity. QMHP-CSs are a Local Mental Health Authorities Provider. A QMHP must be working under the authority of a DSHS entity, be supervised by a licensed mental health professional or physician and provide services in accordance with DSHS standards. QMHPs are acceptable providers as long as the services are within the scope of the services that are typically provided by OMHPs. Those services include individual and group skills training (that can be components of interventions such as day treatment and in-home services), patient and family education and crisis services. | Applicable level of copay applies to office visits. |
| Inpatient Substance Use Disorder Treatment Services | <p>Services include, but are not limited to:</p> <ul style="list-style-type: none"> Inpatient and residential substance use disorder treatment services including detoxification and crisis stabilization, and 24-hour residential rehabilitation programs Does not require PCP referral | <ul style="list-style-type: none"> Requires prior-authorization for non-emergency services. Does not require Primary Care Provider referral. | Applicable level of copay applies to office visits. |

CHIP and CHIP Perinate Newborn Schedule of Benefits and Cost Sharing

| Type of Benefit | Description of Benefit | Limitations | Copay |
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| Outpatient Substance Use Disorder Treatment Services | <p>Services include, but are not limited to, the following:</p> <ul style="list-style-type: none"> • Prevention and intervention services that are provided by physician and non-physician providers, such as screening, assessment and referral for chemical dependency disorders. • Intensive outpatient services • Partial hospitalization • Intensive outpatient services is defined as an organized non-residential service providing structured group and individual therapy, educational services and life skills training which consists of at least 10 hours per week for four to 12 weeks, but less than 24 hours per day • Outpatient treatment service is defined as consisting of at least one to two hours per week providing structured group and individual therapy, educational services and life skills training • Does not require PCP referral | <ul style="list-style-type: none"> • May require prior authorization. • Does not require Primary Care Provider referral. | Applicable level of copay applies to office visits. |
| Rehabilitation Services | <p>Services include, but are not limited to, the following:</p> <ul style="list-style-type: none"> • Habilitation (the process of supplying a child with the means to reach age-appropriate developmental milestones through therapy or treatment) and rehabilitation services include, but are not limited to the following: <ul style="list-style-type: none"> - Physical, occupational and speech therapy - Developmental assessment | Requires prior authorization and physician prescription. | Copays do not apply. |
| Hospice Care Services | <p>Services include, but are not limited to:</p> <ul style="list-style-type: none"> • Palliative care, including medical and support services, for those children who have six (6) months or less to live, to keep patients comfortable during the last weeks and months before death • Treatment services, including treatment related to the terminal illness • Up to a maximum of 120 days with a 6 month life Expectancy • Patients electing hospice services may cancel this election at anytime • Services apply to the hospice diagnosis | <ul style="list-style-type: none"> • Requires authorization and physician prescription. • Services apply to the hospice diagnosis • Up to a maximum of 120 days with a 6-month life expectancy. • Patients electing hospice services may cancel this election at anytime. | Copays do not apply. |
| Emergency Services, including Emergency Hospitals, Physicians and Ambulance Services | <p>Superior does not require authorization as a condition for payment for emergency conditions or labor and delivery. Covered services include, but are not limited to, the following:</p> <ul style="list-style-type: none"> • Emergency services based on prudent lay person definition of emergency health condition • Hospital emergency department room and ancillary services and physician services 24 hours a day, seven (7) days a week, both by in-network and out-of-network providers • Medical screening examination • Stabilization services • Access to DSHS designated Level 1 and Level II trauma centers or hospitals meeting equivalent levels of care for emergency services • Emergency ground, air and water transportation • Emergency dental services, limited to fractured or dislocated jaw, traumatic damage to teeth, removal of cysts, and treatment relating to oral abscess of tooth or gum origin. | Requires authorization for post-stabilization services. | Applicable copays apply to emergency room visits (facility only). |
| Transplants | <p>Services include, but are not limited to, the following:</p> <ul style="list-style-type: none"> • Using up-to-date FDA guidelines, all non-experimental human organ and tissue transplants and all forms of non-experimental corneal, bone marrow and peripheral stem cell transplants, including donor medical expenses. | Requires authorization. | Copays do not apply. |

| CHIP and CHIP Perinate Newborn Schedule of Benefits and Cost Sharing | | | |
|---|---|--|---|
| Type of Benefit | Description of Benefit | Limitations | Copay |
| Vision Benefit | Superior may reasonably limit the cost of the frames/lenses. Services include: <ul style="list-style-type: none"> One (1) examination of the eyes to determine the need for and prescription for corrective lenses per 12-month period, without authorization One (1) pair of non-prosthetic eyewear per 12-month period | <ul style="list-style-type: none"> Superior may reasonably limit the cost of the frames/lenses Does not require authorization for protective and polycarbonate lenses when medically necessary as part of a treatment plan for covered diseases of the eye | Applicable levels of copay applies to office visits billed for refractive exam. |
| Chiropractic Services | Services do not require physician prescription and are limited to spinal subluxation. | <ul style="list-style-type: none"> Requires authorization for twelve (12) visits per 12-month period limit (regardless of number of services or modalities provided in one visit) Requires authorization for additional visits | Applicable level of copay applies to chiropractic office visits. |
| Tobacco cessation | Covered up to \$100 for a 12-month period limit for a plan-approved program <ul style="list-style-type: none"> Superior defines plan-approved program. May be subject to formulary requirements. | <ul style="list-style-type: none"> Does not require authorization Health plan defines plan-approved program May be subject to formulary requirements | Copays do not apply. |
| Case management and care coordination services | These services include outreach, case management, care coordination and community referral. | | |
| Drug Benefits | Services include, but are not limited to, the following: <ul style="list-style-type: none"> Outpatient drugs and biologicals; including pharmacy dispensed and provider-administered outpatient drugs and biologicals; and Drugs and biologicals provided in an inpatient setting. | Some drug benefits require prior authorization. | Applicable level of copay applies for pharmacy dispensed drug benefits. |
| Value-added Services | <ul style="list-style-type: none"> 24-hour nurse advice line Extra vision services School/sports physical | Does not require authorization | Copays do not apply. |

CHIP and CHIP Perinate Newborn Exclusions from Covered Services

- Inpatient and outpatient infertility treatments or reproductive services other than prenatal care, labor and delivery, and care related to disease, illnesses, or abnormalities related to the reproductive system.
- Contraceptive medications prescribed only for the purpose of primary and preventive reproductive health care (i.e., cannot be prescribed for family planning).
- Personal comfort items including, but not limited to, personal care kits provided on inpatient admission, telephone, television, newborn infant photographs, meals for guests of patient and other articles which are not required for the specific treatment of sickness or injury.
- Experimental and/or investigational medical, surgical or other health care procedures or services which are not generally employed or recognized within the medical community.
- Treatment or evaluations required by third parties including, but not limited to, those for schools, employment, flight clearance, camps, insurance or court.
- Private duty nursing services when performed on an inpatient basis or in a skilled nursing facility.
- Mechanical organ replacement devices including, but not limited to artificial heart.
- Hospital services and supplies when confinement is solely for diagnostic testing purposes, unless otherwise pre-authorized by Superior.

- Prostate and mammography screening.
- Elective surgery to correct vision.
- Gastric procedures for weight loss.
- Cosmetic surgery/services solely for cosmetic purposes.
- Dental devices solely for cosmetic purposes.
- Out-of-network services not authorized by Superior except for emergency care and physician services for a mother and her newborn(s) for a minimum of 48 hours following an uncomplicated vaginal delivery and 96 hours following an uncomplicated delivery by caesarian section.
- Services, supplies, meal replacements or supplements provided for weight control or the treatment of obesity, except for the services associated with the treatment for morbid obesity as part of a treatment plan approved by Superior.
- Medications prescribed for weight loss or gain.
- Acupuncture services, naturopathy and hypnotherapy.
- Immunizations solely for foreign travel.
- Routine foot care such as hygienic care.
- Diagnosis and treatment of weak, strained, or flat feet and the cutting or removal of corns, calluses and toenails (this does not apply to the removal of nail roots or surgical treatment of conditions underlying corns, calluses or ingrown toenails).
- Replacement or repair of prosthetic devices and durable medical equipment due to misuse, abuse or loss when confirmed by the member or the vendor.
- Corrective orthopedic shoes.
- Convenience items.
- Over-the-counter medications.
- Orthotics primarily used for athletic or recreational purposes.
- Custodial care (care that assists a child with the activities of daily living, such as assistance in walking, getting in and out of bed, bathing, dressing, feeding, toileting, special diet preparation and medication supervision that is usually self-administered or provided by a parent. This care does not require the continuing attention of trained medical or paramedical personnel.) This exclusion does not apply to hospice services.
- Housekeeping.
- Public facility services and care for conditions that federal, state or local law requires be provided in a public facility or care provided while in the custody of legal authorities.
- Services or supplies received from a nurse, which do not require the skill and training of a nurse.
- Vision training and vision therapy.
- Reimbursement for school-based physical therapy, occupational therapy or speech therapy services are not covered except when ordered by a physician/PCP.
- Donor non-medical expenses.
- Charges incurred as a donor of an organ when the recipient is not covered under Superior.
- Coverage while traveling outside of the United States and U.S. Territories (including Puerto Rico, U.S. Virgin Islands, Commonwealth of Northern Mariana Islands, Guam and American Samoa).

CHIP DME Supplies

Note: DME supplies are not a covered benefit for CHIP Perinate members (unborn child), with the exception of a limited set of disposable medical supplies, published at <https://www.txvendordrug.com/formulary/home-health-supplies>, when they are obtained from an authorized pharmacy provider.

| CHIP and CHIP Perinate Newborn DME and Supplies | | | |
|---|---------|----------|---|
| Supplies | Covered | Excluded | Comments/Member Contract Provisions |
| Ace Bandages | | X | Exception: If provided by and billed through the clinic or home care agency, it is covered as an incidental supply. |
| Alcohol, Rubbing | | X | Over-the-counter supply. |
| Alcohol, Swabs (diabetic) | X | | Over-the-counter supply not covered, unless RX provided at time of dispensing. |
| Alcohol, swabs | X | | Covered only when received with IV therapy or central line kits/supplies. |
| Ana Kit Epinephrine | X | | A self-injection kit used by patients highly allergic to bee stings. |
| Arm Sling | X | | Dispensed as part of office visit. |
| Attends (Diapers) | X | | Coverage limited to children age 4 or over, and only when prescribed by a physician and used to provide care for a covered diagnosis as outlined in a treatment care plan. |
| Bandages | | X | |
| Basal Thermometer | | X | Over-the-counter supply. |
| Batteries – initial | X | | For covered DME items. |
| Batteries – replacement | X | | For covered DME when replacement is necessary due to normal use. |
| Betadine | | X | See IV therapy supplies. |
| Books | | X | |
| Clinitest | X | | For monitoring of diabetes. |
| Colostomy Bags | | | See Ostomy Supplies. |
| Communication Devices | | X | |
| Contraceptive Jelly | | X | Over-the-counter supply. Contraceptives are not covered under the plan. |
| Cranial Head Mold | | X | |
| Dental Devices | X | | Coverage limited to dental devices used for the treatment of craniofacial anomalies, requiring surgical intervention. |
| Diabetic Supplies | X | | Monitor calibrating solution, insulin syringes, needles, lancets, lancet device and glucose strips. |
| Diapers/Incontinent Briefs/Chux | X | | Coverage limited to children age 4 or over, and only when prescribed by a physician and used to provide care for a covered diagnosis as outlined in a treatment care plan. |
| Diaphragm | | X | Contraceptives are not covered under the plan. |
| Diastix | X | | For monitoring diabetes. |
| Diet, Special | | X | |
| Distilled Water | | X | |
| Dressing Supplies/Central Line | X | | Syringes, needles, Tegaderm, alcohol swabs, Betadine swabs or ointment and tape. Many times these items are dispensed in a kit which includes all necessary items for one dressing site change. |
| Dressing Supplies/Decubitus | X | | Eligible for coverage only if receiving covered home care for wound care. |

CHIP and CHIP Perinate Newborn DME and Supplies

| Supplies | Covered | Excluded | Comments/Member Contract Provisions |
|---|---------|----------|---|
| Dressing Supplies/ Peripheral IV Therapy | X | | Eligible for coverage only if receiving home IV therapy. |
| Dressing Supplies/Other | | X | |
| Dust Mask | | X | |
| Ear Molds | X | | Custom made, post inner or middle ear surgery. |
| Electrodes | X | | Eligible for coverage when used with a covered DME. |
| Enema Supplies | | X | Over-the-counter supply. |
| Enteral Nutrition Supplies | X | | Necessary supplies (e.g., bags, tubing, connectors, catheters, etc.) are eligible for coverage. Enteral nutrition products are not covered except for those prescribed for hereditary metabolic disorders, a non-function or disease of the structures that normally permit food to reach the small bowel, or malabsorption due to disease. |
| Eye Patches | X | | Covered for patients with amblyopia. |
| Formula | | X | <p>Exception: Eligible for coverage only for chronic hereditary metabolic disorders, a non-function or disease of the structures that normally permit food to reach the small bowel, or malabsorption due to disease (expected to last longer than 60 days when prescribed by the physician and authorized by Superior.) Physician documentation to justify prescription of formula must include:</p> <ul style="list-style-type: none"> • Identification of a metabolic disorder • Dysphagia that results in a medical need for a liquid diet • Presence of a gastrostomy, or • Disease resulting in malabsorption that requires a medically necessary nutritional product <p>Does not include formula:</p> <ul style="list-style-type: none"> • For members who could be sustained on an age-appropriate diet • Traditionally used for infant feeding • In pudding form (except for clients with documented oropharyngeal motor dysfunction who receive greater than 50 percent of their daily caloric intake from this product) • For the primary diagnosis of failure to thrive, failure to gain weight, or lack of growth or for infants less than twelve months of age unless medical necessity is documented and other criteria, listed above, are met <p>Food thickeners, baby food, or other regular grocery products that can be blenderized and used with an enteral system that are not medically necessary, are not covered, regardless of whether these regular food products are taken orally or parenterally.</p> |
| Gloves | | X | Exception: Central line dressings or wound care provided by home care agency. |
| Hydrogen Peroxide | | X | Over-the-counter supply. |
| Hygiene Items | | X | |
| Incontinent Pads | X | | Coverage limited to children age 4 or over only when prescribed by a physician and used to provide care for a covered diagnosis as outlined in a treatment care plan. |
| Insulin Pump (External) Supplies | X | | Supplies (e.g., infusion sets, syringe reservoir and dressing, etc.) are eligible for coverage if the pump is a covered item. |
| Irrigation Sets, Wound Care | X | | Eligible for coverage when used during covered home care for wound care. |
| Irrigation Sets, Urinary | X | | Eligible for coverage for individual with an indwelling urinary catheter. |

CHIP and CHIP Perinate Newborn DME and Supplies

| Supplies | Covered | Excluded | Comments/Member Contract Provisions |
|--|---------|----------|--|
| IV Therapy Supplies | X | | Tubing, filter, cassettes, IV pole, alcohol swabs, needles, syringes and any other related supplies necessary for home IV therapy. |
| K-Y Jelly | | X | Over-the-counter supply. |
| Lancet Device | X | | Limited to one device only. |
| Lancets | X | | Eligible for individuals with diabetes. |
| Med Ejector | X | | |
| Needles and Syringes/ Diabetic | | | See Diabetic Supplies. |
| Needles and Syringes/IV and Central Line | | | See IV Therapy and Dressing Supplies/Central Line. |
| Needles and Syringes/ Other | X | | Eligible for coverage if a covered IM or SubQ medication is being administered at home. |
| Normal Saline | | | See Saline, Normal. |
| Novopen | X | | |
| Ostomy Supplies | X | | Items eligible for coverage include: belt, pouch, bags, wafer, face plate, insert, barrier, filter, gasket, plug, irrigation kit/sleeve, tape, skin prep, adhesives, drain sets, adhesive remover and pouch deodorant. Items not eligible for coverage include: scissors, room deodorants, cleaners, rubber gloves, gauze, pouch covers, soaps and lotions. |
| Parenteral Nutrition/ Supplies | X | | Necessary supplies (e.g., tubing, filters, connectors, etc.) are eligible for coverage when Superior has authorized the parenteral nutrition. |
| Saline, Normal | X | | Eligible for coverage: <ul style="list-style-type: none"> • when used to dilute medications for nebulizer treatments • as part of covered home care for wound care • for indwelling urinary catheter irrigation |
| Stump Sleeve | X | | |
| Stump Socks | X | | |
| Suction Catheters | X | | |
| Syringes | | | See Needles/Syringes. |
| Tape | | | See Dressing Supplies, Ostomy Supplies, IV Therapy Supplies. |
| Tracheostomy Supplies | X | | Cannulas, tubes, ties, holders, cleaning kits, etc. are eligible for coverage. |
| Under Pads | | | See Diapers/Incontinent Briefs/Chux. |
| Unna Boot | X | | Eligible for coverage when part of wound care in the home setting. Incidental charge when applied during office visit. |
| Urinary, External Catheter and Supplies | | X | Exception: Covered when used by incontinent male where injury to the urethra prohibits use of an indwelling catheter ordered by the Primary Care Provider and approved by Superior. |
| Urinary, Indwelling Catheter and Supplies | X | | Covers catheter, drainage bag with tubing, insertion tray, irrigation set and normal saline if needed. |
| Urinary, Intermittent | X | | Covers supplies needed for intermittent or straight catheterization. |
| Urine Test Kit | X | | When determined to be medically necessary. |
| Urostomy supplies | | | See Ostomy Supplies. |

CHIP Perinatal

Covered CHIP Perinatal services must meet the definition of medically necessary covered services. There is no lifetime maximum on benefits; however, 12-month enrollment period or lifetime limitations do apply to certain services, as specified in the following chart. Copays do not apply to CHIP Perinatal members. CHIP Perinate newborn members are eligible for 12-months continuous coverage following enrollment in the program.

A newborn born to a perinatal mother whose financial status is at or below the Medicaid eligibility threshold (Category A on ID card) may be enrolled in Medicaid if he or she qualifies.

Babies born to perinatal members who are above the Medicaid eligibility threshold (Category B on ID card) will be enrolled with Superior as a CHIP Perinate newborn. The newborn's CHIP Perinate newborn continues for 12 months from the date of the mother's initial CHIP Perinatal enrollment.

The following information is the benefits table for CHIP Perinatal members.

| CHIP Perinatal Schedule of Benefits | |
|--|--|
| Type of Benefit | Description of Benefit |
| Inpatient General Acute and Inpatient Rehabilitation | <p>For CHIP Perinates in families with income at or below the Medicaid eligibility threshold (Perinates who qualify for Medicaid once born), the facility charges are not a covered benefit; however, professional services charges associated with labor with delivery are a covered benefit.</p> <p>For CHIP Perinates in families with income above the Medicaid eligibility threshold (Perinates who do not qualify for Medicaid once born), benefits are limited to professional service charges and facility charges associated with labor with delivery until birth, and services related to miscarriage or a non-viable pregnancy.</p> <p>Services include:</p> <ul style="list-style-type: none"> • Operating, recovery and other treatment rooms • Anesthesia and administration (facility technical component) <p>Medically necessary surgical services are limited to services that directly relate to the delivery of the unborn child, and services related to miscarriage or non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero).</p> <p>Inpatient services associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero) are a covered benefit. Inpatient services associated with miscarriage or non-viable pregnancy include, but are not limited to:</p> <ul style="list-style-type: none"> • dilation and curettage (D&C) procedures; • appropriate provider-administered medications; • ultrasounds, and • histological examination of tissue samples. |
| Skilled Nursing Facilities (Includes Rehabilitation Hospitals) | <ul style="list-style-type: none"> • Not a covered benefit |
| Birthing Center Services | <ul style="list-style-type: none"> • Applies only to CHIP Perinate Members (unborn child) with income above the Medicaid eligibility threshold (who will not qualify for Medicaid once born). • Covers birthing services provided by a licensed birthing center. • Limited to facility services related to labor with delivery. |

CHIP Perinatal Schedule of Benefits

| Type of Benefit | Description of Benefit |
|---|--|
| Services Rendered by a Certified Nurse Midwife or physician in a licensed birthing center | <p>Covers prenatal services, birthing services, and services rendered to a newborn immediately following delivery in a licensed birthing center.</p> <p>Prenatal services subject to the following limitations:</p> <ul style="list-style-type: none"> Services are limited to an initial visit and subsequent prenatal (ante partum) care visits that include: <ol style="list-style-type: none"> One (1) visit every four (4) weeks for the first 28 weeks or pregnancy; One (1) visit every (2) to three (3) weeks from 28 to 36 weeks of pregnancy; and One (1) visit per week from 36 weeks to delivery. <p>More frequent visits are allowed as medically necessary. Benefits are limited to:</p> <ul style="list-style-type: none"> Limit of 20 prenatal visits and two (2) postpartum visits (maximum within 60 days) without documentation of a complication of pregnancy. More frequent visits may be necessary for high-risk pregnancies. High-risk prenatal visits are not limited to 20 visits per pregnancy. Documentation supporting medical necessity must be maintained and is subject to retrospective review. <p>Visits after the initial visit must include:</p> <ul style="list-style-type: none"> Interim history (problems, marital status, fetal status); Physical examination (weight, blood pressure, fundalheight, fetal position and size, fetal heart rate, extremities) and Laboratory tests (urinalysis for protein and glucose every visit; hematocrit or hemoglobin repeated once a trimester and at 32-36 weeks of pregnancy, multiple marker screen for fetal abnormalities offered at 16-20 weeks of pregnancy; repeat antibody screen for Rh negative women at 28 weeks followed by Rho immune globulin administration if indicated; screen for gestational diabetes at 24-28 weeks of pregnancy; and other lab tests as indicated by medical condition of client). |
| Outpatient Hospital, Comprehensive Outpatient Rehabilitation Hospital, Clinic (including health center) and Ambulatory Health Care Center | <p>Services include, the following services provided in a hospital clinic or emergency room, a clinic or health center, hospital-based emergency department or an ambulatory health care setting:</p> <ul style="list-style-type: none"> X-ray, imaging and radiological tests (technical component) Laboratory and pathology services (technical component) Machine diagnostic tests Drugs, medications and biologicals that are medically necessary prescription and injection drugs. Outpatient services associated with (a) miscarriage or (b) a nonviable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero). Outpatient services associated with miscarriage or non-viable pregnancy include, but are not limited to: <ul style="list-style-type: none"> dilation and curettage (D&C) procedures; appropriate provider administered medications; ultrasounds, and histological examination of tissue samples. <p>(1) Laboratory and radiological services are limited to services that directly relate to ante partum care and/or the delivery of the covered CHIP Perinate until birth.</p> <p>(2) Ultrasound of the pregnant uterus is a covered benefit when medically indicated. Ultrasound may be indicated for suspected genetic defects, high-risk pregnancy, fetal growth retardation, gestational age confirmation or miscarriage or nonviable pregnancy.</p> <p>(3) Amniocentesis, Cordocentesis, Fetal Intrauterine Transfusion (FIUT) and Ultrasonic Guidance for Cordocentesis, FIUT are covered benefits with an appropriate diagnosis.</p> <p>(4) Laboratory tests are limited to:</p> <p>nonstress testing, contraction, stress testing, hemoglobin or hematocrit repeated once a trimester and at 32-36 weeks of pregnancy; or complete blood count (CBC), urinalysis for protein and glucose every visit, blood type and RH antibody screen; repeat antibody screen for Rh negative women at 28 weeks followed by RHO immune globulin administration if indicated; rubella antibody titer, serology for syphilis, hepatitis B</p> <p>surface antigen, cervical cytology, pregnancy test, gonorrhea test, urine culture, sickle cell test, tuberculosis (TB) test, human immunodeficiency virus (HIV) antibody screen, Chlamydia test, other laboratory tests not specified but deemed medically necessary, and multiple marker screens for neural tube defects (if the client initiates care between 16 and 20 weeks); screen for gestational diabetes at 24-28 weeks of pregnancy; other lab tests as indicated by medical condition of client.</p> <p>(5) Surgical services associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero) are a covered benefit.</p> |

CHIP Perinatal Schedule of Benefits

| Type of Benefit | Description of Benefit |
|--|---|
| Physician/Physician Extender Professional Services | <p>Services include, but are not limited to the following:</p> <ul style="list-style-type: none"> • Medically necessary physician services are limited to prenatal and postpartum care and/or the delivery of the covered unborn child until birth • Physician office visits, inpatient and outpatient services • Laboratory, x-rays, imaging and pathology services including technical component and /or professional interpretation • Medically necessary medications, biologicals and materials administered in Physician's office • Professional component (in/outpatient) of surgical services, including: <ul style="list-style-type: none"> - Surgeons and assistant surgeons for surgical procedures directly related to the labor with delivery of the covered unborn child until birth. - Administration of anesthesia by Physician (other than surgeon) or CRNA - Invasive diagnostic procedures directly related to the labor with delivery of the unborn child. - Surgical services associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero.) • Hospital-based Physician services (including Physician performed technical and interpretive components) • Professional component of the ultrasound of the pregnant uterus when medically indicated for suspected genetic defects, high risk pregnancy, fetal growth retardation, or gestational age confirmation. • Professional component of Amniocentesis, Cordocentesis, Fetal Intrauterine Transfusion (FIUT) and Ultrasonic Guidance for Amniocentesis, Cordocentesis and FIUT. • Professional component associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero). • Professional services associated with miscarriage or non-viable pregnancy include, but are not limited to: <ul style="list-style-type: none"> - dilation and curettage (D&C) procedures; - appropriate provider administered medications; - ultrasounds, and - histological examination of tissue samples |
| Prenatal care and pre-pregnancy family services and supplies | <p>Services are limited to an initial visit and subsequent prenatal (ante partum) care visits that include:</p> <p>(1) One (1) visit every four (4) weeks for the first 28 weeks of pregnancy;</p> <p>(2) one (1) visit every two (2) to three (3) weeks from 28 to 36 weeks of pregnancy; and</p> <p>(3) one (1) visit per week from 36 weeks to delivery.</p> <p>More frequent visits are allowed as Medically Necessary. Benefits are limited to:</p> <p>Limit of 20 prenatal visits and two (2) postpartum visits (maximum within 60 days) without documentation of a complication of pregnancy. More frequent visits may be necessary for high-risk pregnancies. High-risk prenatal visits are not limited to 20 visits per pregnancy. Documentation supporting medical necessity must be maintained in the physician's files and is subject to retrospective review.</p> <p>Visits after the initial visit must include:</p> <ul style="list-style-type: none"> • interim history (problems, marital status, fetal status); • physical examination (weight, blood pressure, fundalheight, fetal position and size, fetal heart rate, extremities) and • laboratory tests (urinalysis for protein and glucose every visit; hematocrit or hemoglobin repeated once a trimester and at 32-36 weeks of pregnancy; multiple marker screen for fetal abnormalities offered at 16-20 weeks of pregnancy; repeat antibody screen for Rh negative women at 28 weeks followed by Rho immune globulin administration if indicated; screen for gestational diabetes at 24-28 weeks of pregnancy; and other lab tests as indicated by medical condition of client). |
| Rehabilitation services | Not a covered benefit |
| Hospice care services | Not a covered benefit |
| Emergency services, including emergency hospitals, physicians and ambulance services | <p>Superior does not require authorization as a condition for payment for emergency conditions related to labor with delivery. Covered services are limited to those emergency services that are directly related to the delivery of the unborn child until birth.</p> <ul style="list-style-type: none"> • Emergency services based on prudent lay person definition of emergency health condition • Medical screening examination to determine emergency when directly related to the delivery of the covered unborn child. • Stabilization services related to the labor with delivery of the covered unborn child. • Emergency ground, air and water transportation for labor and threatened labor is a covered benefit • Emergency ground, air and water transportation for an emergency associated with (a) miscarriage or (b) a non-viable pregnancy (molar pregnancy, ectopic pregnancy, or a fetus that expired in utero) is a covered benefit. • Benefit limits: Post-delivery services or complications resulting in the need for emergency services for the mother of the CHIP Perinate are not a covered benefit. |
| Transplants | Not a covered benefit |
| Vision benefit | Not a covered benefit |
| Chiropractic services | Not a covered benefit |

CHIP Perinatal Schedule of Benefits

| Type of Benefit | Description of Benefit |
|---|--|
| Tobacco cessation | Not a covered benefit |
| Case management and care coordination services | Covered benefit - these services include outreach, case management, care coordination and community referral. |
| Drug benefits | Services include, but are not limited to, the following: <ul style="list-style-type: none"> • Outpatient drugs and biologicals; including pharmacy-dispensed and provider-administered outpatient drugs and biologicals; and • Drugs and biologicals provided in an inpatient setting Services must be medically necessary for the unborn child. |
| Value-added services | <ul style="list-style-type: none"> • 24-hour nurse advice line. • Start Smart for Your Baby, a special program that includes birthing classes, case management and baby showers. |
| Home and Community Health Services | Not a covered benefit |
| Inpatient Substance Use Disorder Treatment Services | Not a covered benefit |
| Durable Medical Equipment (DME), Prosthetic Devices and Disposable Medical Supplies | Not a covered benefit (With the exception of a limited set of disposable medical supplies, published at http://www.txvendordrug.com/formulary/limited-hhs.shtml and only when they are obtained from a CHIP-enrolled pharmacy provider.) |
| Outpatient Mental Health Services | Not a covered benefit |
| Inpatient Mental Health Services | Not a covered benefit |

CHIP Perinate Exclusions from Covered Services

- For CHIP Perinates in families with income at or below the Medicaid eligibility threshold (Perinates who qualify for Medicaid once born), inpatient facility charges are not a covered benefit if associated with the initial Perinatal Newborn admission. “Initial Perinatal Newborn admission” means the hospitalization associated with the birth.
- Contraceptive medications prescribed only for the purpose of primary and preventive reproductive health care (i.e. cannot be prescribed for family planning).
- Inpatient and outpatient treatments other than prenatal care, labor with delivery, services related to (a) miscarriage and (b) a non-viable pregnancy, and postpartum care related to the covered unborn child until birth.
- Inpatient mental health services.
- Outpatient mental health services.
- Durable medical equipment or other medically related remedial devices.
- Disposable medical supplies, with the exception of a limited set of disposable medical supplies, published at <https://www.txvendordrug.com/formulary/home-health-supplies>, when they are obtained from an authorized pharmacy provider.
- Home and Community-based health care services.
- Nursing care services.
- Dental services.
- Inpatient substance use disorder treatment services and residential substance abuse treatment services.
- Outpatient substance use disorder treatment services.
- Physical therapy, occupational therapy and services for individuals with speech, hearing and language disorders.
- Hospice care.
- Skilled nursing facility and rehabilitation hospital services.

- Emergency services other than those directly related to the labor with delivery of the covered unborn child.
- Transplant services.
- Tobacco Cessation Programs.
- Chiropractic services.
- Medical transportation not directly related to labor or threatened labor, miscarriage or nonviable pregnancy and/or delivery of the covered unborn child.
- Personal comfort items including, but not limited to, personal care kits provided on inpatient admission, telephone, television, newborn infant photographs, meals for guests of patient and other articles which are not required for the specific treatment related to labor with delivery or post partum care.
- Experimental and/or investigational medical, surgical or other health care procedures or services which are not generally employed or recognized within the medical community.
- Treatment or evaluations required by third parties including, but not limited to, those for schools, employment, flight clearance, camps, insurance or court.
- Private duty nursing services when performed on an inpatient basis or in a skilled nursing facility.
- Coverage while traveling outside of the United States and U.S. Territories (including Puerto Rico, U.S. Virgin Islands, Commonwealth of Northern Mariana Islands, Guam and American Samoa).
- Mechanical organ replacement devices including, but not limited to artificial heart.
- Hospital services and supplies when confinement is solely for diagnostic testing purposes and not a part of labor with delivery.
- Prostate and mammography screening.
- Elective surgery to correct vision.
- Gastric procedures for weight loss.
- Cosmetic surgery/services solely for cosmetic purposes.
- Out-of-network services not authorized by Superior except for emergency care related to the labor with delivery of the covered unborn child.
- Services, supplies, meal replacements or supplements provided for weight control or the treatment of obesity.
- Medications prescribed for weight loss or gain.
- Acupuncture services, naturopathy and hypnotherapy.
- Immunizations solely for foreign travel.
- Routine foot care such as hygienic care.
- Diagnosis and treatment of weak, strained, or flat feet and the cutting or removal of corns, calluses and toenails (this does not apply to the removal of nail roots or surgical treatment of conditions underlying corns, calluses or ingrown toenails).
- Corrective orthopedic shoes.
- Convenience items.
- Over-the-counter medications.
- Orthotics primarily used for athletic or recreational purposes.
- Custodial care (care that assists with the activities of daily living, such as assistance in walking, getting in and out of bed, bathing, dressing, feeding, toileting, special diet preparation and medication supervision that is usually self-administered or provided by a caregiver. This care does not require the continuing attention of trained medical or paramedical personnel).
- Housekeeping.
- Public facility services and care for conditions that federal, state or local law requires be provided in a public facility or care provided while in the custody of legal authorities
- Services or supplies received from a nurse, which do not require the skill and training of a nurse.
- Vision training, vision therapy or vision services.
- Reimbursement for school-based physical therapy, occupational therapy, or speech therapy services.
- Donor non-medical expenses.
- Charges incurred as a donor of an organ.

CHIP Copay Requirements

| Federal Poverty Level (FPL) | Office Visits (Non-preventative) | Non-Emergency ER | Generic Drug | Brand Drug | Facility Co-pay, Inpatient (Per Admission) | Cost-sharing Cap |
|-------------------------------------|----------------------------------|------------------|--------------|------------|--|----------------------|
| Native Americans | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| At or below 151% | \$5 | \$5 | \$0 | \$5 | \$35 | 5% of family income* |
| Above 151% up to and including 186% | \$20 | \$75 | \$10 | \$35 | \$75 | 5% of family income* |
| Above 186% up to and including 201% | \$25 | \$75 | \$10 | \$35 | \$125 | 5% of family income* |

*Per 12-month term of coverage.

CHIP Perinatal (unborn child) and CHIP Perinate Newborn members do not have any copay requirements. There are no cost-sharing benefits for well-baby and well-child services, preventative services or pregnancy-related assistance.

Additional Benefits

Spell of Illness Limitation

The Spell of Illness Limitation is defined as thirty (30) days of inpatient hospital care, which may accrue intermittently or consecutively. After thirty (30) days of an inpatient care admission, reimbursement for additional inpatient care is not considered until the patient has been out of an acute facility for sixty (60) consecutive days.

There is no Spell of Illness Limitation for CHIP and CHIP Perinatal members.

Value-added Services

Superior CHIP members also have access to other services in addition to Medicaid-covered benefits and services, depending on their health needs. Collectively, this additional coverage is referred to as Value-added Services (VAS).

For an up-to-date list of these services, go to www.SuperiorHealthPlan.com. For more information about these or other extra services, please call 1-877-391-5921.

SECTION 5

TEXAS HEALTH STEPS

Texas Health Steps is a comprehensive preventive care program that combines diagnostic screenings, communication and outreach, and medically necessary follow up care including dental, vision and hearing examinations for Medicaid-eligible children, adolescents and young adults under the age of twenty one (21). Superior is committed to the health and wellness of each member and encourages providers to follow the steps outlined in this section when providing preventive health services to Superior members.

Superior's goal is to have a preventive health visit with a Texas Health Steps enrolled provider within ninety days (90) of a new member's enrollment in the plan, and for every existing Superior member to have a preventive health visit in accordance with the periodicity guidelines.

STAR Health (foster care) members are subject to the following requirements:

- All children newly enrolled in the STAR Health program need a Texas Health Steps checkup within thirty (30) days of enrollment.
- An annual medical checkup for existing members age thirty-six (36) months and older are due on the child's birthday.
- New members who are age six (6) months and over must have a dental checkup within sixty (60) days of enrolling in the STAR Health program.

If you need additional information or have questions about STAR Health requirements, please call Superior's STAR Health Member Services department at 1-800-912-6283.

Becoming a Texas Health Steps Provider

Providers performing medical, dental and case management services can become Texas Health Steps providers. You must be an enrolled Texas Health Steps provider in order to be reimbursed for Texas Health Steps services. Enrollment must be completed through Texas Medicaid and Healthcare Partnership (TMHP) at www.tmhp.com.

More About Texas Health Steps

Additional details regarding the Texas Health Steps and Comprehensive Care program services, including private duty nursing, prescribed pediatric extended care centers and therapies can be found in the Texas Medicaid Provider Procedures Manual, Volume 2: Children's Services Handbook and in subsequent Medicaid bulletins at www.tmhp.com.

Medical checkups must be performed in accordance with the Texas Health Steps medical checkups periodicity schedule that is based in part on the American Academy of Pediatrics (AAP) recommendations. Providers can find an updated Texas Health Steps periodicity schedule at <https://www.dshs.state.tx.us/>.

Superior is responsible for facilitating all covered services as described in the Texas Medicaid Provider Procedures Manual, per terms of Superior's contract with the HHSC.

Medical Checkups and Screenings

Superior encourages PCPs to perform the Texas Health Steps checkups. However, Superior will allow any network provider to perform the Texas Health Steps medical checkup and screening, as long as the individual is also recognized as a Texas Health Steps provider by HHSC. It is the responsibility of the PCP to ensure that these checkups are provided in their entirety and at the required intervals. Immunizations must be provided as part of the examination. Members may not be referred to local health departments to obtain immunizations.

If the PCP is not the provider performing the Texas Health Steps checkup, the performing provider must provide the PCP with a report regarding the screening. In addition, if the performing provider diagnoses a medical condition that requires additional treatment, the patient must be referred back to their PCP or a referral for further treatment must be obtained from the PCP. Superior will not issue retroactive prior authorizations for follow up treatment.

Medical Checkup

All initial screenings are to be performed by the member's PCP or other network Texas Health Steps provider and include, at a minimum:

- Comprehensive health and developmental history that includes:
 - Nutritional assessment.
 - Developmental assessment, including use of standardized screening tools.
 - Autism screening.
 - Mental health assessment.
 - Tuberculosis screening with skin test based on risk.
- Comprehensive unclothed physical examination that includes:
 - Oral assessment.
 - Measurements (height/length, weight, BMI and infant head circumference).
 - Sensory screening (vision and hearing).
- Laboratory tests (including blood lead level assessments and other tests appropriate for age and risk),
- Immunizations according to the Advisory Committee on Immunization Practices (ACIP) immunization schedule.
- Health education/anticipatory guidance.
- Referral services (e.g., CCP services, WIC, family planning and dental services).

Sports physical exams do not qualify as Texas Health Steps checkups and they are not covered Medicaid benefits.

Performing Newborn Screenings

Inpatient newborn examinations billed with newborn procedure codes 99460, 99461 and 99463 are counted as Texas Health Steps medical checkups and include, at a minimum:

- Family and neonatal history.
- Physical exam (including length, weight and head circumference).
- Vision and hearing screening.
- Health education/anticipatory guidance.
- State-required newborn hereditary/metabolic test.
- Hepatitis B immunizations.

The first regular checkup should still be scheduled between discharge and five (5) days. The second regular checkup should be scheduled at two (2) weeks and should include the second metabolic screen.

Newborn Testing

Any provider attending the birth of a baby must require testing for PKU, galactosemia, hypothyroidism, sickle hemoglobin and congenital adrenal hyperplasia on all newborns as required by Texas law. All infants must be tested a second time at one to two weeks of age. These tests must be submitted to the DSHS Laboratory Services Section. For complete information, instructions and newborn screening forms contact:

DSHS – Laboratory Services Section
1100 West 49th Street
Austin, Texas 78756-3199
1-888-963-7111, ext. 7318
<https://www.dshs.state.tx.us/>

Performing Adolescent Screenings

Adolescent preventive screenings are covered under the Texas Health Steps medical program. An “adolescent preventive visit” is not considered an exception to periodicity. The adolescent screening visits are performed in addition to regular Texas Health Steps periodic checkups.

The protocol for performing these screens includes:

- Comprehensive/anticipatory health guidance for adolescents and their parents.
- Screening for specific conditions common to adolescents.
- Immunizations to prevent selected infectious diseases.

Exceptions to Periodicity Allowed

On occasion, a child may require a Texas Health Steps checkup that is outside of the recommended schedule. Such reasons for an exception to periodicity include:

- Medical necessity (developmental delay, suspected abuse).
- Environmental high risk (for example, sibling of child with elevated lead blood level).
- Required to meet state or federal exam requirements for Head Start, day care, foster care or pre-adoption.
- Required for dental services provided under general anesthesia.

Exceptions to periodicity must be billed on the CMS 1500 and should comply with the standard billing requirements as discussed in the Section 10, Claims and Encounters Administration.

If a provider other than the PCP performs the exception to periodicity exam, the PCP must be provided with medical record information. In addition, all necessary follow up care and treatment must be referred to the PCP.

Environmental Lead Investigation (ELI)

Lead Screening and Testing

In accordance with current federal regulations, Texas Health Steps requires blood lead screening at ages notated on the Texas Health Steps Periodicity Schedule and must be performed during the medical checkup.

Environmental lead risk assessments, as part of anticipatory guidance, should be completed at all check-ups through age 6 when testing is not mandated, and may be performed using the Lead Risk Questionnaire, Form Pb-110, which is provided in both English and Spanish at <http://www.dshs.state.tx.us/THsteps/forms.shtm>. Providers may also opt to use an equivalent form of their choice.

The initial lead testing may be performed using a venous or capillary specimen, and must either be sent to the DSHS Laboratory or performed in the provider's office using point-of-care testing. If the client has an elevated blood lead level of 5 mcg/dL or greater, the provider must perform a confirmatory test using a venous specimen. The confirmatory specimen may be sent to the DSHS Laboratory, or the client or specimen may be sent to a laboratory of the provider's choice.

All blood lead levels in clients who are 14 years of age or younger must be reported to DSHS. Reports should include all information as required on the Child Blood Lead Reporting, Form F09-11709 or the Point-of-Care Blood Lead Testing report Form Pb-111, which can be found at <http://www.dshs.state.tx.us/lead/providers.shtm> or by calling 1-800-588-1248.

Information related to blood lead screening and reporting for clients who are 15 years of age or older is available on the DSHS Blood Lead Surveillance Group's website at <http://www.dshs.state.tx.us/lead/providers.shtm>.

Initial blood lead testing using point-of-care testing (procedure code 83655 with modifier QW) may be reimbursed to Texas Health Steps medical providers when performed in the provider's office. Providers must have a Clinical Laboratory Improvement Amendments of 1988 (CLIA) certificate of waiver.

Blood lead testing is part of the encounter rates for FQHCs and RHCs and is not reimbursed separately. Providers may obtain more information about the medical and environmental management of lead poisoned children from the DSHS Childhood Lead Poisoning Prevention Program by calling 1-800-588-1248 or visiting the web page at <http://www.dshs.state.tx.us/lead>.

Laboratory Testing

Laboratory specimen collection testing materials and necessary forms and supplies are made available free of charge to all Texas Health Steps providers. For forms and supplies, providers should contact the Laboratory Services Section at the phone number or website below:

DSHS – Laboratory Services Section
1100 West 49th Street
Austin, Texas 78756-3199
1-888-963-7111, ext. 7318
www.dshs.state.tx.us/lab/default.shtm

Providers may not bill for supplies and services provided by the DSHS laboratory. Tests for hemoglobin/hematocrit, chlamydia, gonorrhea and lead must be sent to the DSHS lab, with the exception of point-of-care testing in the provider's office for the initial lead specimen. All other tests may be sent to the lab of the provider's choice.

Immunizations

Children, adolescents and young adults must be immunized during medical checkups and, according to the Advisory Committee on Immunization Practices (ACIP) schedule, by age and immunizing agent. Superior requires the immunizations be done unless medically contraindicated or against parental beliefs.

Providers are required to submit immunization information to the Texas Immunization Registry (ImmTrac) when an immunization is given. Written consent must be obtained by provider from parent or guardian before any information is included in the registry. The consent is valid until member becomes 18 years of age (those 18 and older may now consent for their records to be maintained in ImmTrac as well). Provider must verify consent before information is included in ImmTrac. If provider is unable to verify consent, the provider will be notified by ImmTrac and given instructions for obtaining the consent and resubmitting the immunization to the registry. For more information, please see the ImmTrac website at www.immtrac.tdh.state.tx.us/.

Screenings

Screenings included but not limited to:

The Texas Health Steps Medical Checkups Periodicity Schedule. On November 1, 2015, this schedule was updated with changes to the following laboratory requirements:

- **Anemia screening:** Removal of the mandatory screenings for all clients who are 18 months of age and for females who are 12 years of age. The only mandatory screening will be for clients who are 12 months of age.
- **Human Immunodeficiency Virus (HIV) screening:** Addition of one mandatory screening for clients who are 16 through 18 years of age, regardless of risk. This is in addition to the current risk-based screening for clients who are 11 through 20 years of age.
- **Dyslipidemia Screening (previously hyperlipidemia screening):** Addition of one mandatory screening for clients who are nine through 11 years of age, and once again for clients who are 18 through 20 years of age, regardless of risk. These are in addition to the current risk-based screening for clients who are 24 months through 20 years of age.

Providers must refer to the current version of the Texas Health Steps Medical Checkups Periodicity Schedule available on the Department of State Health Services (DSHS) website at <https://www.dshs.state.tx.us/>.

Vaccines for Children

The Department of State Health Services (DSHS) uses the Center for Disease Control and Prevention (CDC) federal contracts to purchase vaccines at federal contract prices for provision to providers enrolled in Medicaid. Vaccines not available on a federal contract will be purchased using a state contract price or using state purchasing procedures for vaccines not on a state contract. The vaccines purchased will be based on the most current recommended childhood immunization schedule of the ACIP.

DSHS will purchase, store and distribute vaccines purchased using the Texas Vaccines for Children program (TVFC). DSHS will monitor vaccine reports and track vaccine distribution to Medicaid providers to assure an adequate inventory of vaccines for Medicaid providers. Vaccines are ordered through regional and local health departments. A TVFC provider may not charge for the vaccine itself, but is permitted to charge an administration fee.

If you are not enrolled in the TVFC program, contact the DSHS TVFC division at 1-800-252-9152. To enroll, a provider must:

- Fill out the Provider Enrollment and Provider Profile forms.
- Agree to screen for eligibility.
- Agree to maintain screening records.

More information is also available at <https://www.dshs.state.tx.us/>. Providers will not be reimbursed for a vaccine that is available through TVFC.

Dental Checkups

Patients are required to enroll in a Medicaid dental plan. Members must select a dental plan and main dentist. Patients should be encouraged to visit a Texas Health Steps dental provider from within their dental plan's network for routine dental checkups. Routine dental checkups do not require a referral.

Dental checkups are required once every six (6) months from the last date of dental service for Medicaid clients age six (6) months through twenty (20) years of age. *Please note: STAR Health members must receive dental checkups within 60 days of eligibility.*

If dental checkups result in treatment requiring a facility or anesthesia charge, the dentist must contact Superior's Medical Management department to request authorization for facility services and dental procedures at 1-800-218-7508.

First Dental Home

First Dental Home (FDH) is a package of services aimed at improving the oral health of children six (6) through thirty-five (35) months of age. FDH is provided by enrolled Texas Health Steps pediatric and general dentists. In addition to a standard set of services, FDH provides simple, consistent messages to parents or caregivers of very young children about proper oral health.

Oral Evaluation and Fluoride Varnish

Oral Evaluation and Fluoride Varnish (OEFV) in the medical home offers limited oral health services provided by Texas Health Steps enrolled physicians, physician assistants and advance practice registered nurses. The service is provided in conjunction with the Texas Health Steps medical checkup and includes immediate oral evaluation, fluoride varnish application, dental anticipatory guidance and referral to a dental home.

Providers must attend the FDH training or OEFV training offered by the Department of State Health Services Oral Health program to be certified to bill for these services. For more information on both programs, go to <https://www.dshs.state.tx.us/>.

An OEFV visit is billed utilizing CPT code 99429 with U5 modifier. The service must be billed with one of the following medical checkup codes: 99381, 99382, 99391 or 99392. The provider must document all components of the OEFV on the appropriate documentation form and maintain record of the referral to a dental home. Federally Qualified Health Centers and Rural Health Centers do not receive additional reimbursement for these services.

Medicaid Non-Emergency Dental Services

Superior is not responsible for paying for routine dental services provided to Medicaid members. The services are paid through Dental Managed Care Organizations.

Superior is responsible for paying for treatment and devices for craniofacial anomalies, and for Oral Evaluation and Fluoride Varnish Benefits (OEFV) provided as part of a Texas Health Steps medical checkup for members age six (6) through thirty-five (35) months. Providers must attend the first dental home training or OEFV training offered by the Department of State Health Services Oral Health program to be certified to bill for these services. For more information on both programs, go to <https://www.dshs.state.tx.us/>.

When providing OEFV benefits, please use the following guidelines:

- OEFV benefits include (during a visit) intermediate oral evaluation, fluoride varnish application, dental anticipatory guidance and assistance with a main dental home choice.
- OEFV is billed by Texas Health Steps providers on the same day as the Texas Health Steps medical checkup.
- OEFV must be billed concurrently with a Texas Health Steps medical checkup utilizing CPT code 99429 with U5 modifier.
- Documentation must include all components of the OEFV.
- Texas Health Steps providers must assist members with establishing a main dental home and document member's main dental home choice in the member's file.

Superior will pay for devices for craniofacial anomalies, hospital, physician and related medical services (e.g., anesthesia and drugs) for:

- Treatment of a dislocated jaw, traumatic damage to teeth and removal of cysts.
- Treatment of oral abscess of tooth or gum origin.
- Treatment craniofacial anomalies.

Comprehensive Care Program: Referrals for Necessary Services

The Comprehensive Care program (CCP) is an expansion of the Texas Health Steps program. CCP services are designed to treat and improve specific physical and mental health problems of STAR, STAR+PLUS, STAR Kids and STAR Health children discovered during the Texas Health Steps checkup. These services may include:

- Psychiatric hospitals.
- Private duty nurses.
- Occupational therapy.
- Speech therapy.
- Durable medical equipment.
- Medical supplies.
- Licensed professional counselors.
- Licensed social workers with at least a masters degree.
- Advanced clinical practitioners.
- Dieticians.

Providers should follow the referral and prior authorization procedures as outlined in Section 9 - Prior Authorization, Notification and Referrals.

Documentation of Completed Texas Health Steps Components and Elements

Each of the six components and their individual elements according to the recommendations established by the Texas Health Steps periodicity schedule for children as described in the Texas Medicaid Provider Procedures Manual must be completed and documented in the medical record. Any component or element not completed must be noted in the medical record, along with the reason it was not completed and the plan to complete the

component or element. The medical record must contain documentation on all screening tools used for TB, growth and development, autism, and mental health screenings. The results of these screenings and any necessary referrals must be documented in the medical record. THSteps checkups are subject to retrospective review and recoupment if the medical record does not include all required documentation.

THSteps checkups are made up of six primary components. Many of the primary components include individual elements. These are outlined on the Texas Health Steps Periodicity Schedule based on age and include:

1. **Comprehensive health and developmental history** which includes nutrition screening, developmental and mental health screening and TB screening.
 - A complete history includes family and personal medical history along with developmental surveillance and screening, and behavioral, social and emotional screening. The Texas Health Steps Tuberculosis Questionnaire is required annually beginning at 12 months of age, with a skin test required if screening indicates a risk of possible exposure.
2. **Comprehensive unclothed physical examination** which includes measurements: height or length, weight, fronto-occipital circumference, BMI, blood pressure, and vision and hearing screening.
 - A complete exam includes the recording of measurements and percentiles to document growth and development including fronto-occipital circumference (0-2 years) and blood pressure (3-20 years). Vision and hearing screenings are also required components of the physical exam. It is important to document any referrals based on findings from the vision and hearing screenings.
3. **Immunizations**, as established by the Advisory Committee on Immunization Practices, according to age and health history, including influenza, pneumococcal and HPV.
 - Immunization status must be screened at each medical checkup and necessary vaccines such as pneumococcal, influenza and HPV must be administered at the time of the checkup and according to the current ACIP “Recommended Childhood and Adolescent Immunization Schedule-United States,” unless medically contraindicated or because of parental reasons of conscience including religious beliefs.
 - The screening provider is responsible for administration of the immunization and are not to refer children to other immunizers, including Local Health Departments, to receive immunizations.
 - Providers are to include parental consent on the Vaccine Information Statement, in compliance with the requirements of Chapter 161, Health and Safety Code, relating to the Texas Immunization Registry (ImmTrac).
 - Providers may enroll, as applicable, as Texas Vaccines for Children providers. For information, please visit <https://www.dshs.texas.gov/immunize/tvfc/>.
4. **Laboratory tests**, as appropriate, which include newborn screening, blood lead level assessment appropriate for age and risk factors, and anemia.
 - Newborn Screening: Send all Texas Health Steps newborn screens to the DSHS Laboratory Services Section in Austin. Providers must include detailed identifying information for all screened newborn Members and the Member’s mother to allow DSHS to link the screens performed at the Hospital with screens performed at the newborn follow up Texas Health Steps medical checkup.
 - Anemia screening at 12 months.

- Dyslipidemia Screening at 9 to 12 years of age and again 18-20 years of age
 - HIV screening at 16-18 years
 - Risk-based screenings include:
 - dyslipidemia, diabetes, and sexually transmitted infections including HIV, syphilis and gonorrhea/chlamydia.
5. **Health education** (including anticipatory guidance), is a federally mandated component of the medical checkup and is required in order to assist parents, caregivers and clients in understanding what to expect in terms of growth and development. Health education and counseling includes healthy lifestyle practices as well as prevention of lead poisoning, accidents and disease.
6. **Dental referral** every 6 months until the parent or caregiver reports a dental home is established.
- Clients must be referred to establish a dental home beginning at 6 months of age or earlier if needed. Subsequent referrals must be made until the parent or caregiver confirms that a dental home has been established. The parent or caregiver may self-refer for dental care at any age.

Use of the THSteps Child Health Record Forms can assist with performing and documenting checkups completely, including laboratory screening and immunization components. Their use is optional, and recommended. Each checkup form includes all checkup components, screenings that are required at the checkup and suggested age appropriate anticipatory guidance topics. They are available online in the resources section at www.txhealthsteps.com.

Children of Traveling Farm Workers

Families who travel for farm work encounter numerous barriers obtaining health care services for their children on a daily basis. High mobility, lack of transportation, language and cultural barriers, inaccessibility to health care services, socioeconomic status and lack of health insurance coverage are only a few obstacles faced by this population in accessing care. Superior providers should cooperate with the state, outreach programs, Texas Health Steps regional program staff and Superior staff to identify children of traveling farm workers and provide accelerated services to them.

Children of traveling farm workers due for a Texas Health Steps medical checkup can receive their periodic checkup on an accelerated basis prior to leaving the area. A checkup performed under this circumstance is an accelerated service, but should be billed as a checkup. Performing a make-up exam for a late Texas Health Steps medical checkup previously missed under the periodicity schedule is not considered an exception to periodicity nor an accelerated service. It is considered a late checkup. The flexibility of the “due” period for members over the age of three (3) years (extending to 364 days from their birthday) allows for children of traveling workers to be scheduled for checkups at their convenience.

If you become aware of a Superior member who is a traveling farm worker or the child of a traveling farm worker, notify Superior by calling 1-800-783-5386. Refer the member to the same number.

This will allow Superior to complete an assessment to better coordinate and accelerate services for that member.

SECTION 6

ROUTINE, URGENT AND EMERGENCY SERVICES

Routine, Urgent and Emergency Services Defined

Medically necessary health services must be furnished in the most appropriate and least restrictive setting in which services can be safely provided. Medically necessary health services must also be provided at the most appropriate level or supply of service which can safely be provided and could not be omitted without adversely affecting the member's physical health or the quality of life.

Except for emergency care in a true emergency, members are encouraged to contact the PCP prior to seeking care. In the case of a true emergency, members are encouraged to visit their nearest emergency department.

The following are definitions for routine, urgent and emergency care:

- Routine care is health care for covered preventive and medically necessary health care services that are non-emergent or non-urgent, designed to prevent disease altogether, to detect and treat it early or to manage its course most effectively. Examples of routine care include immunizations and regular screenings like pap smears or cholesterol checks.
- An urgent condition is defined as a health condition, including an urgent behavioral health situation, which is not an emergency but is severe or painful enough to cause a prudent layperson, possessing the average knowledge of medicine, to believe that his or her condition requires medical treatment evaluation or treatment within 24 hours by the member's PCP or PCP designee to prevent serious deterioration of the member's condition or health.
- An emergency medical condition is defined as a medical condition manifesting itself by acute symptoms of recent onset and sufficient severity (including severe pain), such that a prudent layperson, possessing an average knowledge of health and medicine, could reasonably expect the absence of immediate medical care could result in:
 - Placing the member's health in serious jeopardy.
 - Serious impairment of bodily functions.
 - Serious dysfunction of any bodily organ or part.
 - Serious disfigurement.
 - With respect to a pregnant woman, serious jeopardy to the health of the woman or her unborn child.

Access to Routine, Urgent and Emergent Care

Members must have access to covered services within the timelines specified by the Health and Human Services Commission (HHSC) and Texas Department of Insurance (TDI). "Day" is defined as a calendar day, and the standards are measured from the date of presentation or request, whichever occurs first. In coordination with the definitions above, this includes the following:

- Routine primary care must be provided within 14 calendar days (unless requested earlier by DFPS).
- Routine specialty care referrals must be provided within 21 calendar days.
- Initial outpatient behavioral health visits must be provided within 10 business days/14 calendar days or within 7 calendar days upon discharge from an inpatient psychiatric setting.
- Urgent care, including urgent specialty care, must be provided within twenty four (24) hours.
- Emergency services must be provided upon member presentation at the service delivery site, including at non-network and out-of-area facilities.

Non-Emergency Services

Non-emergency primary care services are not covered benefits for members of Medicaid managed care health plans when those services are delivered in the hospital-based emergency department (ED). A PCP and/or specialist physician in a physician office and/or clinic setting primarily provides these services. When a member seeks services that are not considered a covered benefit in the hospital-based ED, the provider of those services can bill a member if the member has been properly informed in advance of his or her potential financial liability. The determination of an emergency condition is based on the prudent layperson definition as described above under emergency medical condition.

Below are examples of non-emergency situations:

- Routine follow up care.
- Removal of sutures.
- Well child checkups/adult checkups.
- Immunizations, including tuberculosis.
- Other non-emergency primary care services.

Hospital Emergency Department Claims

Hospital emergency department claims are paid in accordance to the rate schedule included in the contract agreement between Superior and the hospital. For out-of-network providers, hospital emergency department claims are paid in accordance with state guidelines.

Emergency Service Claims Appeals

Providers may appeal determinations made during this emergency department claims adjudication process. Emergency department denials are based on a prudent lay person's determination, and are therefore not adverse determinations. Emergency department claims denied as not meeting the prudent layperson definition of emergency care are considered non-covered benefits, and the member can be held financially responsible for the denied services, if the appropriate financial responsibility documents have been signed by the member.

Superior recognizes that it is not in the member's best interest to receive routine (non-emergency) episodic care in the emergency department and members are best served by receiving care from their PCP. Superior has an education process for its members and providers through several modes of communication. The goal is to form a clear understanding of what constitutes covered emergency benefits, what access standards are contractually required for all PCPs and how improved access to appropriate levels of care will result in improved health outcomes.

Urgent/Emergent Hospital-to-Hospital Transportation

Facilities and/or providers should utilize a participating transportation provider for all emergency transports. Emergency ground transportation does not require prior authorization. All air transportation requires prior authorization. Emergency air transportation providers must notify Superior within one (1) business day of providing emergency air transportation (hospital-to-hospital) if prior authorization was not obtained.

Non-Emergent Ambulance Transportation

Superior is required to cover emergency and medically necessary non-emergency ambulance services. Non-emergency ambulance transport is defined as ambulance transport provided for a Medicaid client to or from a scheduled medical appointment, to or from a licensed facility for treatment, or to the client's home after discharge when the client has a medical condition such that the use of an ambulance is the only appropriate means of transportation. Facility-to-facility transports are considered emergencies if the required treatment for the emergency medical condition, as defined in 1 TAC §353.2 (relating to Definitions), is not available at the first facility and Superior has not included payment for such transports in the hospital reimbursement.

All ambulance transports which do not meet the definition of an emergency medical condition as per 1 TAC §353.2 require prior authorization, including:

- All facility-to-facility transports.
- All out of state transports.
- All air, ground and water transport.

Prior authorization may be obtained by:

- Calling the Medical Management department at 1-800-218-7508.
- Faxing a request for prior authorization.
- Faxing clinical information establishing medical necessity to 1-800-690-7030.
- Submitting the request and clinical information through our secure Provider Portal at www.SuperiorHealthPlan.com.

Authorization Tips:

1. Authorizations are only accepted from a Medicaid-enrolled physician, nursing facility, health care provider, or other responsible party in accordance with Human Resources Code (HRC) §32.024 (t). Other responsible parties include staff working with a health care service provider submitting prior authorizations on behalf of the provider or facility.
2. If the request is submitted by administrative staff, the request will still be required to include the physician's or physician extender's orders with the prior authorization unless the physician or physician extender sign the prior authorization form.
3. An ambulance provider may not request a prior authorization for non-emergent ambulance transports. Ambulance providers may assist in providing necessary information such as NPI number, fax and business address to the requesting physician but the prior-authorization request must be signed and submitted by the Medicaid-enrolled physician, health-care provider or other responsible party.

Approvals/Denials

Superior utilizes approved utilization management criteria to review request for medical necessity. Superior will provide an approval or denial letter for the prior authorization to the requesting entity, as well as the ambulance provider. The ambulance provider is ultimately responsible for ensuring that a prior authorization has been obtained prior to transport; non-payment may result for services provided without a prior authorization or when the authorization request is denied. Appeals for denials of medical necessity follow the standard provider appeal process, refer to the Appeals section (Section 11: Adverse Determinations/Actions and Appeals) of this manual.

SECTION 7

BEHAVIORAL HEALTH SERVICES

Superior provides behavioral health services (mental health and substance use disorder) for Superior members. Superior is responsible for the provision of medically necessary behavioral health services and maintains a robust network of behavioral health and substance use disorder providers including psychiatrists, nurse practitioners, psychologists, social workers, licensed professional counselors, hospitals and LMHA facilities.

The availability of specific behavioral health services is determined by the scope of Medicaid and CHIP benefits offered through the HHSC programs. Please refer to Section 4 - Benefits and Value-added Services.

Please note, inpatient hospital services require prior authorization. This includes services provided in freestanding psychiatric facilities for children and adults enrolled in the Medicaid and CHIP programs.

Some members are eligible for Value-added Services. Value-added Services are behavioral health-care services, benefits or positive incentives that HHSC determines will promote healthy lifestyles and improve health outcomes among members. For a complete listing of Superior’s current Value-added Services, refer to the Superior member handbook.

To access behavioral health benefits, please contact Member Services at:

| | |
|-------------------|----------------|
| STAR, CHIP | 1-800-783-5386 |
| STAR+PLUS..... | 1-866-516-4501 |
| STAR Kids..... | 1-844-590-4883 |
| STAR Health | 1-866-912-6283 |

Or visit the Superior website at www.SuperiorHealthPlan.com.

Behavioral Health Services Explained

Behavioral health services are covered services for the treatment of mental or emotional disorders and for the treatment of substance use disorders. Superior has defined “behavioral health” as encompassing both acute and chronic psychiatric and substance use disorders as referenced in the most recent ICD-10-CM/PCS. Superior reviews, authorizes and pays medically necessary claims for behavioral health providers when the primary diagnosis is for behavioral health services.

Superior will authorize, review and pay claims for medically necessary procedures when the primary diagnosis code is not a behavioral health diagnosis code and/or when the treatment is being provided by a provider other than a behavioral health or addiction specialist. Superior is responsible for authorized inpatient hospital services. Superior’s clinical program focuses on individualized treatment strategies that promote resiliency and recovery using evidence-based practices. The goal of this program is to support the provision and maintenance of a quality-oriented patient care environment, and to provide easy access to quality mental health and substance use disorder treatment services. Providers may reach out to Superior for available trainings on these programs, including Trauma Focused-Cognitive Behavioral Therapy (TF-CBT), Trauma Informed Care (TIC), Parent-Child Interaction Therapy (PCIT), Trust Based Relational Intervention (TBRI) and Child Parent Psychotherapy (CPP).

Primary Care Provider's Role in Behavioral Health

PCPs are responsible for coordinating the member's physical and behavioral health care, including making referrals to behavioral health practitioners when necessary. However, the member does not need a referral to access mental health or substance use disorder treatment with a participating Superior provider. The PCP serves as the "medical home" for the member.

In addition, PCPs (excluding STAR Kids dual eligible) must adhere to screening and evaluation procedures for the detection and treatment of, or referral for, any known or suspected behavioral health problems or disorders. Practitioners should follow generally-accepted clinical practice guidelines for screening and evaluation procedures, as published through appropriate professional societies and governmental agencies, such as the National Institute of Health. PCPs can also reference Superior's behavioral health assessment tool online at www.SuperiorHealthPlan.com to assist in making appropriate referrals.

PCPs may provide behavioral health related services within the scope of their practice. (excluding STAR Kids dual eligible members).

Attention Deficit Hyperactivity Disorder (ADHD)

Attention Deficit Hyperactivity Disorder (ADHD) is one of the most common childhood psychiatric conditions. ADHD is a valid neurobiological condition that causes significant impairment in those whom it afflicts.

Superior makes available evidence-based guidelines for the effective diagnosis and treatment of ADHD on the public website (<http://www.SuperiorHealthPlan.com/for-providers/qapi-program/practice-guidelines/>).

The table below includes the diagnosis codes that identify Attention Deficit Disorder. Superior encourages providers to apply the diagnosis code to the highest specificity to assist with optimal coding.

| Diagnosis Code | Attention Deficit Disorder |
|----------------------|---|
| ICD-10 code F90.9 | Attention Deficit Disorder, predominantly inattentive type (if only sufficient symptoms for inattention have been met) |
| ICD-10 code F90.9 | Attention Deficit Disorder, predominantly hyperactive-impulsive type (if only sufficient symptoms of hyperactivity-impulsivity have been met) or Attention Deficit Disorder, Combined type (if sufficient symptoms of both inattention and hyperactivity-impulsivity have been met) |
| ICD-10 code F90.8 | Attention Deficit Disorder, residual type |
| ICD-10 code F90.9 | Attention Deficit Disorder Not Otherwise Specified (for individuals with prominent symptoms of inattention or hyperactivity-impulsivity who do not meet the full criteria) |

Follow-up Care for Children Prescribed ADHD Medication

Members who are newly prescribed ADHD medications should have at least one follow-up visit within thirty (30) days of the prescription. Members who remain on the medication should have at least two additional visits within nine (9) months after the initial thirty (30) day visit.

Reimbursement

Claims billed by a physical health provider will be considered for reimbursement by Superior when billed with an ADHD diagnosis code. Reimbursement will be based on the prevailing Texas Medicaid fee schedule and the contracted reimbursement agreement with Superior.

Intensive and/or Complex Case Management

Superior operates a behavioral health Intensive Case Management (ICM) program staffed with licensed behavioral professionals and led by the Superior medical director. PCPs can refer members into this program by contacting Superior. Members demonstrating a high level of risk or high needs, or that have unmet psychosocial needs, may be included in this program. The program components include:

- A screening assessment tool.
- A comprehensive assessment once admitted to the program.
- The development of a care plan in conjunction with the member, the member's family, social support system and the managing practitioner.
- A referral to the appropriate providers, as necessary.
- Regular monitoring of the member's progress in the care plan.
- Focus studies and utilization management reporting requirements (specified by individual mental health service type).

Superior's Intensive Case Management staff collaborate on members with both medical and behavioral health diagnoses. With permission from the member, efforts are made to collaborate and share information with both medical and behavioral health providers treating the member. Coordination with other agencies and service providers that enhance the ability of members to receive appropriate and necessary services, such as transportation or community service organizations, are also considered an integral part of the program.

Emergency Behavioral Health Services

An emergency behavioral health condition means any condition, without regard to the nature or cause of the condition, that in the opinion of a prudent layperson possessing an average knowledge of health and medicine requires immediate intervention and/or medical attention. In an emergency, without immediate intervention and/or medical attention, the member would present an immediate danger to himself/herself or others, or would be rendered incapable of controlling, knowing or understanding the consequences of his or her actions.

In the event of a behavioral health emergency, the safety of the member and others is paramount. The member should be instructed to seek immediate attention at an emergency room or other behavioral health crisis service. An emergency dispatch service or 911 should be contacted if the member is a danger to self or others and is unable to go to an emergency care facility.

A behavioral health emergency occurs when the member is:

- Suicidal.
- Homicidal.
- Violent towards others.
- Suffering a precipitous decline in functional impairment and is unable to take care of activities of daily living.
- Alcohol or drug dependent with signs of severe withdrawal.

There is no required pre-certification or notification of emergency services, including emergency room and ambulance services. For questions regarding emergency behavioral health services, please contact 1-844-842-2537.

Mental Health Targeted Case Management

STAR, STAR+PLUS, STAR Health and STAR Kids members may qualify for Targeted Case Management. Targeted Case Management is designed to assist members with gaining access to needed medical, social, educational and other services and supports. Members are eligible to receive these based on a standardized assessment (the Child and Adolescent Needs and Strengths [CANS] or Adult Needs and Strengths Assessment [ANSA]) and other diagnostic criteria used to establish medical necessity. Targeted Case Management requires prior authorization through Superior.

Mental Health Rehabilitative Services

STAR, STAR+PLUS, STAR Health and STAR Kids members may qualify to receive Mental Health Rehabilitative Services. Mental Health Rehabilitation Services are defined as age-appropriate services determined by HHSC and federally-approved protocol as medically necessary to reduce a member’s disability resulting from severe mental illness for adults, or serious emotional, behavioral or mental disorders for children, and to restore the member to his or her best possible functioning level in the community.

Services that provide assistance in maintaining functioning may be considered rehabilitative when necessary to help a member achieve a rehabilitation goal as defined in the member’s rehabilitation plan. Mental Health Rehabilitation Services require prior authorization through Superior.

Member Access to Behavioral Health Services

Superior members may access behavioral health services through several mechanisms. These include:

- A referral from their PCP (a referral from the PCP is not required to access behavioral health services).
- Member self-referral to any Superior network behavioral health provider.
- Contacting Superior directly at:

| | |
|-------------------|----------------|
| STAR, CHIP | 1-800-783-5386 |
| STAR+PLUS..... | 1-866-516-4501 |
| STAR Kids..... | 1-844-590-4883 |
| STAR Health | 1-866-912-6283 |

Coordination Between Behavioral Health and Physical Health Services

Superior recognizes that communication is the link that unites all the service components and is a key element in any program’s success. To advance this objective, providers are required to obtain a consent for disclosure of information from the member permitting exchange of clinical information between the behavioral health provider and the member’s physical health provider.

If the member refuses to release the information, they should indicate their refusal on the release form. In addition, the provider will document the reasons for declination in the medical record. Superior monitors compliance of the behavioral health providers to ensure a consent and an authorization to disclose information form has been signed by the member. Superior also ensures that regular reports are sent to the PCP, for members agreeing to

the disclosure. For participants in the STAR Health program, behavioral health providers document updates in the Health Passport system.

Primary Care Provider Requirements

Primary Care Providers are required to:

- Send the behavioral health provider initial and quarterly (or more frequently if clinically indicated or court ordered) summary reports of the member's physical and behavioral health status. The report must include, at a minimum:
 - Behavioral health medications prescribed.
 - Behavioral health medication effects reported during PCP visits and information about physical health conditions and treatments that may affect behavioral health conditions and treatments.
- Administer a screening tool at intake, and at least annually thereafter, to identify members who need behavioral health referrals. Behavioral health assessment tools, if available, may be utilized by the PCP.
- Send a copy of the physical health consultation record and the behavioral health screening tool results to the behavioral health provider who referred the member. Make referrals to behavioral health providers when the required Texas Health Steps screen reveals the need for a mental health, substance use disorder and/or developmental disability assessment.

Behavioral Health Provider Requirements

Behavioral health providers agree to:

- Refer members with known or suspected physical health problems or disorders to the PCP for examination and treatment, with the member's or the member's legal guardian's consent.
- Only provide physical health services if such services are within the scope of the network practitioner's clinical licensure.
- Send initial and quarterly (or more frequently if clinically indicated) summary reports of a member's behavioral health status to the PCP, with the member's or the member's legal guardian's consent.
- Contact members who have missed appointments within twenty-four (24) hours to reschedule appointments.
- For STAR Health members, complete initial and monthly summaries of member's behavioral services to be posted on the Health Passport and made available to the PCP Targeted Case Management & Psychosocial Rehab providers.
- Network Facilities and Community Mental Health Centers must ensure members who are discharging from inpatient care are scheduled for outpatient follow-up and/or continuing treatment prior to the member's discharge. The outpatient treatment must occur within seven (7) days from the date of discharge.
- Coordinate with state psychiatric facilities and Local Mental Health Authorities (Please note, effective January 1, 2017, STAR+PLUS Dallas members should contact Superior for behavioral health services).
- Provide an attestation to MCO that organization has the ability to provide, either directly or through sub-contract, the members with the full array of Mental Health Rehabilitative (MHR) and Targeted Case Management (TCM) services as outlined in the Department of State Health Services Resiliency and Recovery Utilization Management Guidelines (RRUMG) (as part of Credentialing process).

- Complete training and become certified to administer Adult Needs and Strengths Assessment (ANSA) and Child and Adolescent Needs and Strengths (CANS) assessment tools if providing MHR and TCM.
- Use RRUMG as the medical necessity criteria for MHR and TCM services.
- Qualified Mental Health Professionals for Community Services (QMHP-CS) requirement minimums are as follows:
 - Demonstrated competency in the work to be performed; and
 - Bachelor's degree from an accredited college or university with a minimum number of hours that is equivalent to a major in psychology, social work, medicine, nursing, rehabilitation, counseling, sociology, human growth and development, physician assistant, gerontology, special education, educational psychology, early childhood education or early childhood intervention; or be a Registered Nurse (RN);
 - An LPHA is automatically certified as a QMHP-CS. A CSSP, a Peer Provider, and a Family Partner can be a QMHP-CS if acting under the supervision of an LPHA. If a QMHP-CS is clinically supervised by another QMHP-CS, the supervising QMHP-CS must be clinically supervised by an LPHA. A Peer Provider must be a certified peer specialist, and a Family Partner must be a certified Family Partner.
 - The name of a performing provider is not required on claims submitted to Superior, if that provider is not a type that enrolls in Medicaid (such as CSSPs, PPs, FPs, non-LPHA QMHPs and Targeted Case Managers).
- A qualified provider of Mental Health Rehabilitative and Targeted Case Management services must:
 - Demonstrate competency in the work performed; and
 - Possess a bachelor's degree from an accredited college or university with a minimum number of hours that is equivalent to a major in psychology, social work, medicine, nursing, rehabilitation, counseling, sociology, human growth and development, physician assistant, gerontology, special education, educational psychology, early childhood education or early childhood intervention;
 - Be a Registered Nurse (RN); or
 - Follow HHSC established qualification and supervisory protocols.
- Superior is prohibited from establishing additional supervisory protocols with respect to the providers of TCM or MHR.

ICD-10 Diagnostic Codes for Behavioral Health Claims

Medical record documentation and referral information must be documented using the ICD-10 classifications, as well as the most current the most current Diagnostic and Statistical Manual of Mental Disorders (DSM) classifications.

Laboratory Services

Behavioral health providers should facilitate the provision of in-office laboratory services for behavioral health patients whenever possible or at a location that is within close proximity to the behavioral health provider's office. Providers may refer Superior members to any in-network independent laboratory as needed for laboratory services.

Assisting Behavioral Health Providers

Superior works to educate and assist physical health and behavioral health providers in the appropriate exchange of medical information. Behavioral health utilization reporting is completely integrated into the Superior's quality improvement process. Benchmarks for performance are measured, and non-compliance with the required performance standards prompts a corrective action plan to address and/or resolve any deficiencies.

Department of Family and Protective Services

Behavioral health providers and/or physical health providers who are treating a behavioral health condition are responsible for appropriate referrals to the Department of Family and Protective Services (DFPS) for suspected or confirmed cases of abuse.

To report concerns of abuse, neglect or exploitation of children, the elderly or people with disabilities, contact the Texas Abuse/Neglect Hotline at 1-800-252-5400 or www.txabusehotline.org.

Behavioral health providers and/or physical health providers must coordinate with DFPS and foster parents for the care of a child who is receiving services from, or has been placed in, conservatorship of DFPS and must respond to request from DFPS by providing medical records.

Court-Ordered Commitments and Claims

A member who has been ordered to receive treatment under the provisions of Chapter 574 of the Texas Health and Safety Code must receive the services ordered by that court of competent jurisdiction. Any modification or termination of services must be presented to the court with jurisdiction over the matter for determination. Superior cannot deny, reduce or controvert the medical necessity of inpatient psychiatric services provided pursuant to a court-ordered commitment for members, birth through age 20. The member can only appeal the commitment through the court system.

To ensure services are not inadvertently denied, providers must contact Superior at the numbers listed in this section and provide telephonic or written clinical information as well as a copy of the court order.

Any professional services provided that are part of a court order must be billed with an H9 modifier as described in the Texas Medicaid Provider Procedures Manual. Court-Ordered Services that require authorization or notification per Superior's prior authorization list must also have an authorization.

Facilities providing Court-Ordered Services should bill using the appropriate code (8 or 08 per the Texas Medicaid Provider Procedures Manual) in the Source of Admission field of the UB-04 claim form.

Superior will make best efforts to authorize services from the court order once provided. To ensure accurate claims payment, the provider should call 1-877-391-5921.

SECTION 8

MEDICAL MANAGEMENT

Superior's Medical Management department works with its network providers to facilitate quality care through its refined Medical Management program. This program includes utilization management, case management/complex case management and disease management components, as well as other features such as 24-hour nurse triage, referrals, second opinions prior authorization/pre-certification, concurrent review, retrospective review, and discharge planning. This section focuses on utilization management, case management/complex case management and disease management. See Section 9 for information on prior authorization, notifications and referrals.

A special certification for Utilization Review Agents (URA) is issued through the Texas Department of Insurance (TDI). Superior's management company, Centene Company of Texas, LP, holds this certification and performs utilization management for Superior members.

Utilization Management Criteria

Utilization management decisions are made in accordance with currently accepted medical or health-care practices, taking into account the special circumstances of each case that may require an exception to the standard, as stated in the screening criteria. Criteria are used for the review of medical necessity, as well as provider peer-to-peer review. The medical director reviews all potential adverse determinations for medical necessity. At least annually, the vice president of medical management or a designee assesses the consistency with which reviewers apply the criteria. Providers can contact Provider Services at 1-877-391-5921 to request a copy of the criteria used to make a specific decision. Utilization review decision making is based on appropriateness of care and service and the existence of coverage. Superior does not reward providers or other individuals for issuing medically necessary denials. Financial incentives for UM decision makers do not encourage decisions that result in underutilization.

Superior uses the following guidelines to make medical necessity decisions, on a case-by-case basis, based on the information provided on the member's health status: Federal and/or State law/guidelines, where applicable; proprietary clinical guidelines and/or InterQual® criteria. InterQual was developed by generalist and specialist physicians representing a national panel from academic as well as community-based practices, both within and outside the managed care industry. These criteria provide a clear and consistent platform for care decisions to appropriately balance resources. Superior also utilizes the Texas Medicaid Provider Manual to assist with medical necessity reviews.

Superior's Case Management Services

Case management is a collaborative process which assesses, plans, implements, coordinates, monitors and evaluates options and services to meet an individual's health care needs, using communication and available resources to promote quality, cost effective outcomes. Case management is a member-centered, goal-oriented and culturally relevant process. This helps to ensure a member receives needed services in a supportive, effective, efficient, timely and cost-effective manner.

Case Management Process

All Superior members with identified needs are assessed for case management enrollment. Members with needs may be identified through a variety of means to include, but not limited to, clinical rounds, referrals from Superior staff, claims, hospital census and direct referral from providers or self-referral.

Superior's Case Management program contains the following key elements:

- Screen and identify members who potentially meet the criteria for case management.
- Assess the member's risk factors to determine the need for case management.
- Notify the member of their enrollment in Superior's case management program.
- Develop and implement a case management care plan that accommodates the specific cultural and linguistic needs of the member.
- Establish care plan objectives and monitor outcomes.
- Refer and assist the member in ensuring timely access to providers.
- Coordinate medical, residential, social and other support services.
- Monitor care and services.
- Revise the care plan as necessary.
- Assess the member's satisfaction with complex case management services.
- Measure the program's effectiveness.

In order to refer a member for enrollment in Superior's Case Management program, contact the Case Management department at 1-855-757-6567.

Case Management as Provider Support

Superior's case management teams support providers by tracking compliance with the case management plan and facilitating communication between the PCP, member, managing physician and the case management team. The case manager also facilitates referrals and links to community providers, such as local health departments and school-based clinics. The managing physician maintains responsibility for the member's ongoing care needs. The Superior case manager will collaborate with the PCP and/or managing physician if the member is not following the plan of care or requires additional services.

Superior will provide complex case management services for members who have high risk, high cost, complex or catastrophic conditions. The Superior case manager will work with all involved providers to coordinate care, provide referral assistance and other care coordination as required. The Superior case manager may also assist with a member's transition to other care, as indicated, when the member's benefits end.

Specialized Types of Case Management

Superior's case managers work with the member to create a customizable plan of care in order to promote appropriate cost effective care as well as adherence to case management plans. Superior offers case management programs for, but not limited to, the following conditions:

- Asthma.
- Complex and chronic illness and injury.
- Members with special health care needs.
- Diabetes.

- Sickle Cell.
- Transplant.
- Chronic Obstructive Pulmonary Disease (COPD).
- Congenital Heart Failure (CHF).
- Obesity.
- Transitional Care.
- ER Diversion.
- Complex Case Management (CCM)/Superutilizer.
- Integrated Case Management.

In addition, Superior members have access to the following specialized case management programs:

Start Smart for Your Baby® Program

Start Smart for Your Baby® (Start Smart) is an award-winning case management program available to women who are pregnant or just had a baby. Start Smart is a comprehensive program that covers all phases of the pregnancy, postpartum and newborn periods. The program includes mailed educational materials for newly identified pregnant members and for new mothers after delivery.

Start Smart members are also encouraged to participate in educational seminars. Seminar topics include information related to plan benefits, pregnancy, breast feeding, postpartum and newborn health topics. These events are conducted with the assistance of community resource specialists and licensed clinicians. Home based visits are also available to members, as needed.

Puff Free Pregnancy® Program

Puff Free Pregnancy® is a program aimed at eliminating tobacco use during pregnancy. The program provides telephonic outreach, education and support services to reduce the health risks associated with smoking during pregnancy, such as low birth weight and perinatal mortality, by reducing the use of tobacco products. Internal clinical guidelines for the program are developed from nationally recognized evidenced based guidelines published by the American College of Obstetricians and Gynecologists and the U.S. Public Health Services. Members are identified for the program by a provider, case manager or through self-referral. A lifestyle coach works with the member to develop an individualized quit plan. Program length is from the date of enrollment until delivery with post-delivery abstinence status documented by telephone.

In addition to case management, Superior also offers health education classes through Superior's health education program in some of the communities in which our members reside. Classes are offered on specific health-related topics such as hypertension, diabetes, asthma and nutrition. Contact Superior's Case Management department to determine what is available in your area.

Disease Management

Disease management is defined as a system of coordinated health care interventions and communications for populations with conditions in which patient self-care efforts are significant. Superior utilizes the expertise of Envolve PeopleCare, an NCQA-accredited behavior change company that is dedicated to helping individuals improve their health and well being. Envolve PeopleCare health coaches coordinate with both the member and their providers to focus on disease-specific conditions as listed below. To refer a member for disease management services, contact Envolve PeopleCare at 1-800-293-0056.

Types of Disease Management

Available disease management programs vary by product in which the member is enrolled. Superior's available disease management programs available include:

- Asthma (STAR, STAR+PLUS, STAR Kids, STAR Health, CHIP)
- Diabetes (STAR, STAR+PLUS, STAR Kids, STAR Health, CHIP)
- Heart Disease (STAR+PLUS)
- Heart Failure (STAR+PLUS)
- Chronic Obstructive Pulmonary Disease (COPD) (STAR+PLUS)
- Coronary Artery (STAR+PLUS)

Disease Management Process

Superior uses medical and pharmacy claims, utilization and health screening data and referrals to identify potentially eligible members with qualifying conditions for disease management. Once identified, members are sent an introductory mailing. Outreach calls to the member are made by a health coach to introduce the disease management program, assess their willingness to participate, enroll them in the program and complete an initial assessment. Members are assigned a health coach with expertise in the member's primary condition. The health coach will coordinate with providers, members of the service coordination or case management teams (if applicable), and assist with special needs such as nutrition, exercise and social services. Coaching may include a series of pre-scheduled outbound phone and/or in-home coaching sessions.

Utilization Monitoring of Psychotropic Drugs

Superior's practice parameter for the utilization of psychotropic drugs is consistent with the DSHS practice parameter. This practice parameter can be found at <https://www.dshs.state.tx.us/>.

These parameters must be adhered to by all practitioners providing services to Medicaid members.

Medications prescribed to children in various Medicaid programs such as but not limited to Foster Care or Star Kids, and are monitored using a Psychotropic Medication Utilization Review (PMUR) process by reviewing pharmacy claims data and medication reviews conducted by Envolve Pharmacy Solutions and/or Superior's Service Management team. When medications prescribed fall outside of the parameters, a more comprehensive review is conducted. This includes review of the most recent psychiatry notes and peer to peer consultation with the prescribing psychiatrist if necessary. When the need to modify the medication regimen is indicated, behavioral health service managers follow up at regular intervals to ensure changes are made in compliance with the practice parameters.

In the event that a prescribing provider continues to practice inappropriate prescribing practices or a case is identified where there is a quality of care concern, the foster care medical director will coordinate specific follow up efforts with our Quality department.

SECTION 9

PRIOR AUTHORIZATION, NOTIFICATION AND REFERRALS

A prior authorization is a formal medical necessity determination request submitted to Superior by a provider prior to a service being rendered. Upon receipt, the prior authorization request is screened for eligibility and benefit coverage and assessed for medical necessity and appropriateness of the health services proposed, including the setting in which the proposed care will take place.

Prior Authorization Requirements

Superior has adopted a prior authorization process for specific procedures and/or services. These procedures and/or services are listed on Superior’s prior authorization list. The prior authorization list can be found by visiting Superior’s website at www.SuperiorHealthPlan.com or in Attachment A.

Failure to obtain prior authorization for services that require prior authorization will result in an administrative denial. Incomplete prior authorization requests will be returned as incomplete and not processed (incomplete requests for STAR members under 21 years of age will follow the Incomplete Information Process).

Authorization Process

When calling in to request an authorization or to notify of a patient admission, please have available the Tax Identification Number (TIN) and National Provider Identifier (NPI) or LTSS ID Number (Atypical ID) that you will use to bill your claim. The representative handling your call will be requesting the numbers from you. If you do not have your identifiers available, your request will not be processed and you will be asked to call back with the necessary information. It will be very important that the numbers you use to request your authorization match the numbers you will use to bill your claim or your claim will deny.

If you have any questions about this requirement, you can call the Provider Services hotline, Monday through Friday, 8:00 a.m. to 5:00 p.m. local time.

- STAR, STAR+PLUS, STAR Kids and CHIP..... 1-877-391-5921
- STAR Health (foster care)..... 1-866-439-2042
- Behavioral Health 1-844-744-5315

Timelines for Initiating a Prior Authorization

Requesting providers must initiate a prior authorization of non-emergency services (e.g., elective inpatient admissions, elective/outpatient services) prior to providing the requested service. It is recommended that requests be submitted five (5) business days prior to the desired start date in order to allow time for processing. Submit requests by contacting Superior's Prior Authorization department at:

Phone: 1-800-218-7508
Fax: 1-800-690-7030
1-844-310-5517 (Outpatient CHIP Requests Only)
1-844-495-2361 (Discharge Planning)

Website: www.SuperiorHealthPlan.com

Please note, any prior authorization form returned with the language "PA Not Required" should verify if the service is a covered benefit and requires authorization using the prior authorization tool located on the Superior Health Plan website <https://www.SuperiorHealthPlan.com/providers/preauth-check.html>. If you have an urgent request that requires immediate attention after normal business hours, or on the weekend, please contact Superior's 24/7 Nurse Advice Line at 1-800-783-5386.

Prior Authorization Turn Around Timelines

Superior will respond to prior authorization requests within two (2) business days for CHIP products, and within three (3) business days for non-CHIP products, after receipt of the complete request for authorization of services. This excludes LTSS authorizations.

Urgent requests for services to be rendered within twenty-four (24) hours may be submitted with a signed acknowledgement of the requesting physician. These requests will be completed by the close of the next business day after receipt.

Superior's Prior Authorization form (see Attachment H) and Inpatient Notification form (see Attachment G) include requirements for a physician's signature. In order to eliminate any delays, all clinical information required must be submitted along with the authorization request signed by the requesting physician.

Authorization TAT Requirements

| Program | Auth type | TAT |
|--|--------------------|-----------------|
| STAR (Medicaid), STAR MRSA, STAR+PLUS, STAR Kids and STAR Health | Outpatient | 3 business days |
| CHIP | Outpatient | 2 business days |
| CHIP and Medicaid | Urgent, outpatient | 1 business day |
| CHIP and Medicaid | Inpatient | 1 business day |

Second Opinions

A second opinion may be requested when there is a question concerning diagnosis, options for surgery, other treatment of a health condition, or when requested by any member of the member's health care team, including the member, parent and/or guardian or a social worker exercising a custodial responsibility.

Authorization for a second opinion shall be granted to a network provider or an out-of-network provider if there is not an in-network practitioner available. The second opinion will be provided at no cost to the member.

If the provider who will see the member for a second opinion is not in-network, an authorization is required. An authorization can be obtained by:

- Calling the Prior Authorization department at 1-800-218-7508.
- Faxing the request to 1-800-690-7030.
1-844-310-5517 (outpatient CHIP requests only)
- Requesting online at www.SuperiorHealthPlan.com.

Authorizations Not Received Prior to Service

If an authorization for referral to a specialist is required but not obtained prior to the services being rendered, the claim will deny. Retrospective specialist authorizations are not given without documentation explaining why the request was not submitted prior to rendering the service. These denials do not have appeal rights since the decision is not based on a medical review. These denials do have complaint rights. See Section 15 - Complaint Procedures for providers and members, for instructions. The complaint must include information explaining why prior authorization was not obtained.

Discharge Planning

As part of our ongoing mission to ensure better health outcomes for our members, Superior is making improvements to the existing medical necessity criteria for discharge planning services. We want to provide timely and appropriate discharge planning services for a seamless transition from a hospital, emergency room, observation stay or outpatient surgery to the member's home setting. Discharge planning services includes:

- Home Health Services
 - Skilled Nurse Visits
 - Private Duty Nursing
 - Home Health Aides
 - Physical Therapy
 - Occupational Therapy
 - Speech Therapy (except Over 21 STAR+PLUS members without the Waiver package)
- Outpatient Services.
 - Physical Therapy, Occupational Therapy, Speech Therapy, Wound Care
- Durable Medical Equipment (including supplies)
- Outpatient Surgery
- Any other urgent discharge needs for member's transitioning in the home setting

Requests for prior authorization for discharge planning services for all products; except STAR+PLUS Dual and STAR+PLUS Dual Waiver, can be made by phone, fax or web contacting Superior at:

Phone: 1-800-218-7453, ext. 22271 (Medicaid)
and ext. 22295 (Medicare)

Fax: 1-844-495-2361

Web: www.SuperiorHealthPlan.com

Please ensure that prior authorization requests for discharge planning are submitted within 48 hours of outpatient surgery, discharge from a hospital, emergency room or observation stay. If a member is discharged during non-business hours and/or the weekend, providers should submit discharge planning requests the following business day.

Fill out a Superior HealthPlan Prior Authorization form. Visit www.SuperiorHealthPlan.com to download the form.

1. Attach a discharge order from the hospital (signed script, discharge paperwork, electronic or verbal order and Title 19). Provide ICD-10, CPT codes and HCPC codes with frequency, duration and amount of units or visits being requested.
 2. Fax request (form and discharge order) to 1-844-495-2361.
- Please note: On the fax cover sheet and the prior authorization form, be sure to write **URGENT DISCHARGE PLANNING**. This will expedite the processing of the request and **authorization will be received within 24 business hours of submission**.

Outpatient Authorization Information

Notification for Incomplete Information Process for STAR Members Under 21 Years of Age for Therapy, Home Health Services and DME Requests

For any incomplete request for STAR, STAR+PLUS, STAR Health or STAR Kids members under the age of 21 years, Superior will return the request to the Medicaid provider by faxing a letter detailing the information necessary to complete the prior authorization request.

If the documentation/information is not provided with sixteen (16) business hours of Superior's request to the Medicaid provider, Superior will send a letter by mail to the member explaining that the request cannot be acted upon until the documentation/information is provided, along with a copy of the letter sent to the Medicaid provider describing the documentation/information that needs to be submitted.

If the requested prior authorization documentation is not provided within seven (7) calendar days of the letter to the member, Superior will send a notice to the member, ordering physician and requesting provider, informing that the request remains incomplete and a decision cannot be made regarding medical necessity. The provider may resubmit with a new request once they have the necessary documentation that would render the request complete.

Tips for Outpatient Prior Authorization Requests

To request prior authorization, use Superior's Prior Authorization Request form (See Attachment H). In order to ensure the request can be processed promptly, include member information, provider information (NPI, tax ID, fax number, contact number), requested service, date of service (DOS) and objective clinical information to support medical necessity.

Occupational Therapy (OT), Physical Therapy (PT) and/or Speech Therapy (ST) Prior Authorization

Prior authorization is needed for both evaluation and treatment requests. Note: Initial evaluation requests must originate directly from the office of the member's PCP or specialist.

Requests for Initial Evaluation

Initial evaluation requests must be submitted to Superior by the referring provider.

Ages: 0 months – 6 years

- Current Texas Health Steps exam, based on the Department of State Health Services (DSHS) Periodicity Table. If a specialist is requesting, submit the clinic note describing the need for evaluation.
- Current developmental screen, done within the last 90 days, with deficits clearly identified.
- Signed and dated physician order, less than 30 days old, specifying the discipline to be evaluated.
- Documentation of a normal hearing screen is required, within the last six (6) months for children under three (3) years old and within 12 months for children three to six (3 - 6) years old. If the hearing screen was not passed, and hearing loss is identified, a copy of a full audiological exam with plan of treatment must be submitted (if requesting speech therapy). *Please note: An audiological exam is only required if the hearing screen was failed.*

Ages: 6 – 18 years

- Current Texas Health Steps exam, based on the DSHS Periodicity Table. If a specialist is requesting, submit the clinic note describing the need for evaluation.
- Signed and dated physician order, less than 30 days old, specifying the discipline to be evaluated.
- Medical necessity reason for therapy evaluation.

Ages: 21 years and above

- Signed and dated physician order, less than 30 days old, specifying the discipline to be evaluated.
- Medical necessity reason for therapy evaluation.

Note: For all ages, medical necessity criteria for therapy services provided in the home must be based on the supporting documentation of the medical need and the appropriateness of the equipment, service, or supply prescribed by the prescribing provider for the treatment of the individual. The therapy service must be related to the member's medical condition, rather than primarily for the convenience of the member or provider. The referring physician may sign the At Home Attestation Form to substantiate the need of therapy services to occur in the home. This form may be found and downloaded by visiting www.SuperiorHealthPlan.com.

Reevaluation Requests

Reevaluation request may originate from the servicing provider's office and should include:

- A reevaluation order signed within the last thirty (30) days by the PCP or specialist involved in the member's care.
- If the request is made greater than thirty (30) days from the end of the existing treatment authorization documentation from the PCP or specialist involved in the member's care identifying the medical necessity for reevaluation.

Initial Treatment Requests

Initial treatment requests should include:

1. Date of evaluation.
2. Member's age and date of birth.
3. For speech therapy only - all language(s) member is exposed to in their home, community and school.
4. A brief statement of the member's medical history, including onset date of the illness, injury or exacerbation that requires the therapy services and any prior therapy treatment.
5. Relevant review of systems.
6. Pertinent physical assessment, including a description of the member's current deficits and their severity level documented using objective data. This may include current standardized assessment scores, age equivalents, percentage of functional delay, criterion-referenced scores or other objective information as appropriate for the member's condition or impairment.
7. A clear diagnosis and reasonable prognosis, including the member's potential for meaningful and significant progress.
8. A description of the member's functional impairment with a comparison of prior level of function to current level of function.
9. A statement of the prescribed treatment modalities and their recommended frequency/duration.
10. Proposed patient and/or caregiver education.
11. Short and long-term treatment goals which are specific to the member's diagnosed condition or impairment. Short and long-term treatment goals must be written in the S.M.A.R.T. format.
12. Treatment plan may not be more than ninety (90) days old.
13. If the treatment plan is part of a medically necessary program to maintain or prevent a significant functional regression, it must document skilled services to be provided and have goals that address maintenance.

Speech Therapy Evaluation Requirements

Additional evaluation requirements for speech therapy include:

- Language evaluations – should include oral-mechanism examination and objective assessment of hearing, speech production and voice skills.
- Speech – should include objective assessment of language skills, hearing, voice and fluency skills.
- Oral motor/swallowing/feeding – if swallowing/feeding problems and/or signs of aspiration are noted as a concern, then a complete objective, clinical-bedside swallow evaluation is expected, as per ASHA standards for both pediatric and adult dysphagia. The member's language, speech, hearing, voice and fluency skills need to be addressed in the assessment via a screen or objective testing.

Bilingual Assessment and Treatment

1. The member's language knowledge/exposure must be established through a thorough case history and relevant caregiver interview. The documentation must include all of the following that apply:
 - a. home language(s)
 - b. school/daycare/community language(s) of instruction/exposure
2. If child is exposed to more than one language, an appropriate bilingual assessment of speech and language abilities should be performed.

3. If no standardized tool is available, then results should be reported using appropriate objective assessment methods. Examples may include criterion-referenced tests, probes, language samples, dynamic assessment, or MLU, etc. in order to differentiate a language disorder versus a language difference as well as the severity of that disorder, should it be identified.
 - a. If a standardized bilingual language test is utilized as part of the objective assessment, documentation of its type of administration must be stated for either dual language administration or monolingual administration use only.

The language(s) of intervention must be clearly documented and supported by the assessment findings.

Requests for Continued Treatment

Requests for continued treatment should include all of the above elements, in addition to:

1. Number of therapy visits authorized and number of therapy visits attended.
2. A clear diagnosis and reasonable prognosis, including the member's potential for meaningful and significant progress.
3. A description of the member's current deficits and their severity level documented using objective data. This may include current standardized assessment scores, age equivalents, percentage of functional delay, criterion-referenced scores, or other objective information as appropriate for the member's condition or impairment.
4. Objective demonstration of the member's progress towards each prior short and long-term treatment goal. For all unmet short-term and long-term goals, baseline and current function must be submitted so that the member's progress towards goals may be measured. As the treating therapist has set the short and long-term goals for a specified time period, it would be expected that short and long-term goals would be met within the specified time frame. If the short and long-term goals are unmet, it is the treating therapist's responsibility to objectively describe any barriers to progress that were encountered and appropriate modifications made to the treatment plan in order to meet the member's needs.
5. If the member has not met the expected level of progress, the request must be reviewed by the medical director to determine if there is medical necessity to continue treatment.
6. An updated statement of the prescribed treatment modalities and their recommended frequency/duration.
7. A brief prognosis with clearly established discharge criteria.
8. Updated short and long-term treatment goals which are specific to the member's diagnosed condition or impairment. Short and long-term treatment goals must be written in the S.M.A.R.T. format.
9. Updated treatment plan/progress summary may be no older than ninety (90) days old.
10. Treatment plan must be signed and dated by the treating therapist.

Orders for therapy requests for members 21 years of age and over must be signed by a physician. Signatures of an Advanced Practice RN (APRN) or Physician Assistant (PA) are not accepted. If an APRN or PA is designated as the member's PCP then that clinician may sign therapy orders. For members under the age of 21, an APRN or PA signature for therapy orders is acceptable.

Therapy orders signed by doctors of philosophy are not accepted.

Members demonstrating a mild or moderate deficit level will be approved for a maximum duration of three (3) months. If the member continues to require treatment beyond the currently authorized period, please submit a request not more than thirty (30) days in advance of the anticipated start date.

All services that are rendered by a therapy assistant must be billed utilizing a UB modifier.

Medical necessity criteria for therapy services provided in the home must be based on the supporting documentation of the medical need and the appropriateness of the equipment, service or supply prescribed by the prescribing provider for the treatment of the individual. The therapy service must be related to the member's medical condition, rather than primarily for the convenience of the member or provider. The referring physician may sign the At Home Attestation Form to substantiate the need of therapy services to occur in the home. This form may be found and downloaded by visiting www.SuperiorHealthPlan.com.

Guidelines for OT, PT and/or ST evaluation and treatment service can be found online at www.SuperiorHealthPlan.com.

Requests for Durable Medical Equipment

Durable Medical Equipment costing over \$500.00 including Incontinence Supplies, Enteral Nutrition, Hearing Aids, Orthotics/Prosthetics, Diabetic Supplies, Respiratory Supplies, Wheelchairs, Scooters, Wound Care Supplies, Ostomy Supplies and Shower Chairs require:

- Signature of the MD on a prescription or request form (signature must be current, on or before the start date, and no older than 90 days before the actual date of service) and must contain all of the following elements:
 - Member's name
 - Description of the item or items, quantity, price
 - Appropriate HCPC codes
 - Pertinent diagnosis/conditions that relate to the need for the item
 - Objective supporting clinical documentation
 - Title 19 if applicable
 - Length of need
 - The treating physician's name signature
 - The date the treating physician signed the order

Orders for DME requests must be signed by a physician, signatures of an advanced practice RN (APRN) or physician assistant (PA) are not accepted. Requests for diabetic supplies are exempted and may be signed by an advanced practice registered nurse (APRN) or a physician assistant (PA).

DME orders signed by doctors of philosophy are not accepted.

No prior authorization is required for incontinence supplies up to the allowable amount when using a preferred DME supplier. For the list of preferred DME suppliers, go to www.SuperiorHealthPlan.com.

Requests for Home Health Services

Home Health Services requests, including SNV, PDN [except STAR Health and STAR+PLUS members], HHA, Cardiac/Pulmonary Rehab and Home Telemonitoring must include:

- Member's name
- Pertinent diagnosis/conditions that relate to the need for the service
- Appropriate CPT codes
- Plan of care
- Objective supporting clinical documentation.
- Frequency and duration
- Date of service (start and end date)
- Physician order with signature and date
- Private duty nursing must include a twenty-four (24)-Hour Log and Summary Sheet

Orders for Home Health requests for members 21 years of age and over must be signed by a physician. Signatures of an advanced practice RN (APRN) or physician assistant (PA) are not accepted. If an APRN or PA is designated as the member's PCP then that clinician may sign therapy orders. For members under the age of 21, an APRN or PA signature for Home Health orders is acceptable. Home Health orders signed by doctors of philosophy are not accepted.

Requests for Specialized Services

Specialized Services include, but are not limited to, Inpatient electives, Sleep Studies, Chiropractic, Air Transports (non-emergent), Dental Therapy Under General Anesthesia, Nutritional Counseling, Podiatry, Bariatric Surgery, Allergen Immunotherapy Services, Genetic Testing, Quantitative Drug Testing, Pain Management and Non Emergent Transport, Excision Of Lesions.

- Member's name
- Pertinent diagnosis/conditions that relate to the need for the service
- Appropriate CPT codes
- Objective supporting clinical documentation
- Frequency and duration
- Date of service (start and end date)

Non-Emergent Transports

1. Prior authorization is required for non-emergent ambulance transports.
 - A Medicaid-enrolled physician, nursing facility, health care provider, or other responsible party is required to obtain authorization before an ambulance is used to transport a member in circumstances not involving an emergency.
 - Other responsible party is defined as staff working with a health care service provider submitting prior authorizations on behalf of the provider or facility.
2. When a prior authorization is requested, one of the below documents, with a physician or physician-extender signature, is required and must be submitted with the request.
 - Prior authorization form.
 - Physician or physician-extender order for non-emergent ambulance transport.
3. Ambulance providers may not request a prior authorization for non-emergent ambulance transports. However, they may coordinate the prior authorization request between the Medicaid-enrolled physician, health care provider and other responsible party.
 - Ambulance provider may assist in providing necessary information such as NPI number, fax and business address.
 - Ambulance provider is ultimately responsible for ensuring that a prior authorization has been obtained prior to transport.
 - Non-payment may result for services provided without a prior authorization or when the authorization request is denied by CCTX.

Quantitative Testing for Drugs of Abuse and Genetic Diagnostic Testing

Superior is committed to delivering cost effective, quality care to its members. This effort includes prior authorization protocols that include medical necessity review to ensure that certain diagnostic lab tests are medically necessary. Requests for prior authorization will be accepted up to five (5) business days after specimen collection and reviewed for medical necessity.

Quantitative Testing for Drugs of Abuse

Superior requires prior authorization for Quantitative Testing for Drugs of Abuse. Laboratory providers must ensure that any Genetic/Molecular diagnostic testing is prior authorized to facilitate payment. Superior requires laboratory providers to contact ordering providers to verify that a prior authorization number has been obtained for these

services. It is the ordering provider's responsibility to request prior authorization for these tests. Laboratory providers may request a prior authorization for Quantitative Testing if the ordering physician fails to request for these services.

Genetic/Molecular Diagnostic Testing

Superior currently requires prior authorization as a condition of payment for Genetic/Molecular diagnostic testing. Laboratory providers must ensure that any Genetic/Molecular diagnostic testing is prior authorized to facilitate payment. Superior requires laboratory providers to contact ordering providers to verify that a prior authorization number has been obtained for these services. It is the ordering provider's responsibility to request prior authorization for Genetic/Molecular diagnostic testing services. Laboratory providers can request prior authorization for Genetic/Molecular testing if the ordering physician fails to do so.

Immunotherapy Services

Non-allergists, such as PCPs, may apply for credentialing to perform allergy skin testing and to prescribe immunotherapy. PCPs continue to be permitted to administer allergy shots in their offices and clinics. Allergy shots may be given to Superior members who are under the care of an allergist or other credentialed allergy service provider. However, the allergist or other allergy service provider should maintain the responsibility for prescribing and determining the composition and dosing of the allergen serum.

Superior does not require a prior authorization for non-allergists who wish to only administer allergy shots prescribed by a credentialed allergy services provider as long as the non-allergist has submitted a one-time attestation which states that they have been informed of the recommendations for the appropriate equipment and personnel to provide allergy immunotherapy safely.

These include:

- Allergen and venom extract storage (4°C refrigerator with alarm).
- 1 ml (for AIT) and 3 ml (for VIT) disposable (safety) syringes with 27-gauge 5/8 inch needles.
- Epi-pen auto injectors – 0.3 mg for adults and 0.15 mg for children.
- Crash cart, BLS+ level.
- Glucagon.
- Vital signs monitor.
- Oxygen administration equipment.
- Personnel with BLS+ training.
- Personnel trained to give shots and to recognize and treat anaphylaxis.

Attestation forms can be found in the Provider Manual as Attachment S - Allergy Skin Testing and Immunotherapy for Non-Allergists and Attachment T - Allergy Immunotherapy (Allergy Shot Administration ONLY) for Non-Allergists.

Once completed, all attestation requests should be emailed to Credentialing@SuperiorHealthPlan.com. Providers will receive notice of verification, or of denial or requests for additional information within thirty (30) days of submission. Providers must receive verification before administering allergy shots.

Codes 95115 or 95117 should be used when administering these services.

Prior authorization is required for Immunotherapy Services that are above the Medicaid allowable.

STAR Health Pre-Appeals

Superior tries to minimize service denials for children in foster care. When a service might be denied by Superior, we have staff that will contact the provider, caregiver, medical consentor and/or DFPS caseworker to request any additional and/or related information to help the approval of the service or the development of other care options to meet the child's needs. Superior staff will make a decision on a service authorization within three (3) business days. The process can be extended up to fourteen (14) days if more information is needed from the provider or medical consentor.

It is important that, during this time period, medical consentors speak with STAR Health Service Management in order to find out what additional information is needed. This information is crucial in this pre-appeals process as it can assist in avoiding a denial of requested services. Medical consentors can contact STAR Health Service Management by contacting 1-866-912-6283.

Pre-Appeals Process

In order to minimize service denials, Superior is committed to the unique nature of foster children. Superior will contact the provider, caregiver, medical consentor and/or DFPS to request any additional, related information to help the approval of the service or with the development of other care options to meet the member's needs. For more information, please review Section 8: Medical Management, under STAR Health Pre-Appeals.

Physician Verbal Orders

Verbal physician orders may only be given to people authorized to receive them under state and federal law. It must be documented as a verbal order from a physician. They must be written, signed and dated by the RN or qualified therapist responsible for furnishing or supervising the ordered service.

Verbal orders will be considered for the full duration of the request. The provider is responsible for obtaining the physical physician signature within two (2) weeks. The provider must keep this documentation in the member's file. Superior will do a random, monthly audit for compliance. If it is found that a provider is not in compliance, Superior will no longer accept verbal orders for their requested services.

Out-of-Network Authorization Requests

Superior recognizes that there may be instances when an out-of-network referral is justified. The Prior Authorization department will work with Superior's medical director and the referring physician to determine the medical necessity of the out-of-network request, and to reach a decision that is in the best interest of the member. All out-of-network services require an authorization.

Specialty Referrals

A PCP is required to refer a member to a specialist when medically necessary care is needed, beyond the scope of care provided by the PCP. All health care services should be coordinated through the PCP for referrals to an in-network provider, when available. PCPs are required to direct the member's care and must obtain a prior authorization for referral to certain specialty physicians and all non-emergent, out-of-network practitioners, as noted on the prior authorization list. Some services, such as family planning and ECI, are an exception and only require self-referral. An authorization number is provided when a request meets criteria after review. An authorization is not a guarantee of payment and is subject to eligibility criteria.

Specialist Referrals to Another Specialist

Superior does not allow specialty providers to refer directly to another specialist. This request must be coordinated through and submitted by the PCP. The specialist may order diagnostic tests without PCP involvement. For members with disabilities, special health care needs, or chronic and complex conditions, there may be instances where a specialist may choose to act as the PCP for a member and assume all of the responsibilities of a PCP. In these situations, members are allowed direct access to the specialist PCP. If the specialist accepts PCP assignment for this member, the specialist may refer the member to other specialists or admit the member to the hospital.

Inpatient Notification Requirements

Hospitals must notify Superior of all emergent admissions no later than the close of the next business day. Prior authorization is not required for emergency services, urgent care services, and if applicable, some post- stabilization services. All non-emergency, elective inpatient admissions require a prior authorization. Emergent inpatient admissions to any level of acute or sub-acute care, skilled nursing facilities, rehabilitation admission and all other inpatient facility type require notification. Phone notifications may be completed by contacting 1-855-594-6103 for all regions except for Medicaid RSA and CHIP RSA, which can be reached at 1-877-804-7109.

Fax notifications can be sent to:

| | | | |
|----------------------|----------------|---------------------------------|----------------|
| Austin..... | 1-877-650-6939 | Lubbock | 1-866-865-4385 |
| Corpus Christi | 1-877-650-6940 | McAllen (Hidalgo) | 1-877-212-6661 |
| Dallas | 1-855-707-5480 | San Antonio | 1-877-650-6942 |
| El Paso | 1-877-650-6941 | Medicaid RSA and CHIP RSA | 1-877-505-0823 |

Failure to notify Superior of emergent inpatient admission by the next business day will result in a late notification denial, unless otherwise stated within a contract with Superior. Once the timely request for authorization is received, the request is screened for eligibility and benefit coverage and an authorization number is provided to the hospital by Superior. Clinical will be obtained through a request to the hospital Case Management department or through onsite review by a designated Superior utilization review nurse.

Superior must make a determination by the close of the next business day following the date of request for authorization. In order to meet the state requirements, Superior requires receipt of the clinical on the day following the request for authorization unless otherwise stated within a contract with Superior. The Superior utilization management nurse will review the clinical to determine medical necessity and appropriateness of services, including setting of care, are met according to InterQual criteria. If medical necessity is not met through InterQual criteria, a secondary review is completed by a physician (medical director) to make a final determination.

If approved, a letter will be faxed to the hospital, with approved days and the date of the next review. If a denial is issued, a denial letter is sent and a call is made by the utilization management nurse to notify the provider of the denial and provide instructions for peer-to-peer review and/or appeal. For CHIP members, a call will be made to discuss the pending adverse determination prior to sending the denial letter.

Long Term Services and Supports

STAR+PLUS long term services and supports (LTSS) services must obtain prior authorizations. All requests should be faxed to the STAR+PLUS Service Coordination department at 1-866-895-7856.

Private Duty Nursing Authorization Requirements

For STAR Health (foster care) members, Superior's service management team reviews all Private Duty Nursing (PDN) requests for medical necessity and forwards the request to the Superior's foster care medical director for review and final determination. All requests for PDN require prior authorization before start of service.

Initial and recertification requests related to PDN require the following to initiate services:

- Home Health Plan of Care (signed by physician);
- Updated problem list;
- Updated rationale/summary page;
- 24-hour daily care flow sheet; and
- Signed acknowledgement.

Recertification prior authorization requests should be submitted at least two (2) weeks in advance in order for clinical information to be reviewed. If it is initially determined that the request does not meet medical necessity, additional documentation may be requested by our service management team.

Every submitted authorization request for PDN hours is reviewed by Superior's Medical Management department for medical necessity and appropriate member-to-nurse ratio determination. The submitted Plan of Care should include the appropriate PDN hours and member-to-nurse ratio. HHSC's approved PDN ratio criteria and billing methodology is available on the Superior Provider Portal at www.SuperiorHealthPlan.com.

Radiology

For imaging services, Superior uses National Imaging Associates (NIA) to provide prior authorization of services. NIA focuses on radiation awareness designed to assist providers in managing imaging services in the safest and most effective way possible. It is the responsibility of the ordering physician to obtain authorization. Providers rendering the above services should verify that the necessary authorization has been obtained. Failure to do so may result in claim non-payment.

Prior authorization is required for the following outpatient radiology procedures:

- CT/CTA
- MRI/MRA
- PET Scan
- Cardiac imaging modalities: CCTA Stress Echo, Echocardiography (only for STAR+PLUS) and Nuclear Cardiology

Other imaging policies and procedures:

- Emergency room, observation and inpatient imaging procedures do not require authorization.

To reach NIA and obtain authorization, call 1-800-642-7554.

Member Self-Referrals

There are some services to which a member has access without a referral from the PCP. Superior's STAR, STAR Health and STAR+PLUS members do not need a referral from the PCP for the following services:

- Family planning.
- Texas Health Steps medical and dental checkups.
- Case management for children and pregnant women.
- Vision.
- Behavioral health (behavioral health related services may be provided by the PCP if it is within their scope)
- True emergency services.
- Well woman annual examinations.
- OB care.

Superior's CHIP members may self-refer for:

- Well child annual exams.
- Dental.
- Vision.
- Behavioral health (behavioral health related services may be provided by the PCP if it is within their scope).
- True emergency services.
- Well woman annual examinations.
- OB care for those who do not qualify for Medicaid.

SECTION 10

CLAIMS AND ENCOUNTERS

ADMINISTRATION

Depending on your contractual arrangement with Superior, you are required to submit a claim or encounter for each service you render to a Superior member, to the applicable address and/or submission methods referenced in this section. Superior will not accept claims submitted to addresses and/or by submission methods not specified in this section.

Network providers are encouraged to participate in Superior's electronic claims/encounter filing program through Centene Corporation, Superior's parent organization. Centene Corporation has the capability to receive an ANSI X12N 837 professional, institutional and encounter transactions. In addition, Centene Corporation has the capability to generate an ANSI X12N 835 electronic Explanation of Payment (EOP). Superior also has the capability to receive an ANSI X12N 276 health claims status inquiry, and to generate an ANSI X12N 277 health claims status response transaction through Centene Corporation. For more information on electronic claim filing and transactions, contact the Centene EDI Department at 1-800-225-2573 ext. 6075525 or at EDIBA@centene.com.

Providers may elect to submit electronic professional or institutional claims through Superior's Provider Portal at Provider.SuperiorHealthPlan.com. Providers may also use a clearinghouse for electronic claim submissions.

Providers may submit claims on paper, utilizing the standardized CMS-1500 and/or UB-04/CMS-1450 claim forms.

For assistance with accessing the Provider Portal, contact the web applications support desk 1-866-895-8443 or at TX.WebApplications@SuperiorHealthPlan.com.

To file a claim or encounter for behavioral health, vision, dental or pharmacy services, see specific filing information under Submitting Paper Claims and/or Electronic Filing within this section.

Claims Information

A claim is a request for reimbursement, either electronically or by paper, for any health care service provided. A claim must be filed on the approved claim form such as CMS 1500 or UB-04/CMS 1450. Any UB-04/CMS 1450 and CMS 1500 paper claim forms received that do not meet the CMS printing requirements will be rejected back to the provider upon receipt.

A clean claim is a claim submitted on an approved standardized claim format (CMS 1500 or UB-04/CMS 1450) that contains all data fields required by Superior, as specified in this section for adjudication of the claim as a clean claim. The required data fields must be complete and accurate. A clean claim must include all published clean claim requirements including Tax Identification Number (TIN) number, National Provider Identifier (NPI) and taxonomy.

Medicaid and CHIP Processing and Payment Requirements

Superior must administer an effective, accurate and efficient claims payment process in compliance with federal laws and regulations, applicable state laws and rules, and the contract, including Chapter 2 of the Texas Health and Human Services Commission (HHSC) Uniform Managed Care Manual. In addition, Medicaid and CHIP claim requirements are exempt from the Texas Insurance and Administrative Code claims Prompt Pay requirements. Superior must be able to accept and process Medicaid claims in compliance with the Texas Medicaid Provider Procedures Manual.

Superior and its subcontractors cannot directly or indirectly charge or hold a member or provider responsible for **claims adjudication or transaction fees**.

Superior may deny a claim submitted by a provider for **failure to file in a timely manner**, as provided for in the HHSC Uniform Managed Care Manual Chapter 2.

Superior will not pay any claim submitted by a provider:

- Excluded or suspended from the Medicare, Medicaid or CHIP programs for fraud, abuse or waste.
- On payment hold under the authority of HHSC or its authorized agent(s).
- For neonatal services provided on or after September 1, 2017, if submitted by a hospital that does not have a neonatal level of care designation from HHSC.*
- For maternal services provided on or after September 1, 2019, if submitted by a hospital that does not have a maternal level of care designation from HHSC.*

*In accordance with Texas Health and Safety Code § 241.186, the restrictions on payment identified for neonatal and maternal services above do not apply to emergency services that must be provided or reimbursed under state or federal law.

Superior validates the following when adjudicating a claim:

- Institutional claims must contain **Present on Admission** (POA) indicators.
 - Superior utilizes the POA information submitted on claims to reduce and/or deny payment for provider preventable conditions.
 - For per diem hospital payments, Superior utilizes a methodology for reduction and/or denial of payment for services related to a provider preventable condition that was not POA.

Upon receipt of a clean claim, Superior will adjudicate the claim for payment or denial within the thirty (30) day claim processing timeframe. If denied in whole or in part, Superior will notify the provider of why the claim will not be paid.

Superior must receive a provider's appeal of a claim within one hundred twenty (120) days from the date of disposition (date of the EOP). Superior will process the claim appeal within thirty (30) days from the date of receipt of the claim appeal.

The date of a claim payment is the date of issue of a check for payment, or the date of Electronic Funds Transmission (EFT) if payment is made electronically.

The Patient Protection and Affordable Care Act (PPACA) as amended by Section 1202 of the Health Care and Education Reconciliation Act. HHSC will make supplemental payments to Superior for these rate increases, and Superior will pass through the full amount of the supplemental payments to qualified providers no later than thirty (30) calendar days after receipt of HHSC's supplemental payment report, contingent upon receipt of the allocation.

Providers must bill compounded drugs using the drug code and metric decimal quantity for each National Drug Code in the compound. Providers may bill for up to ten (10) ingredients through the on-line system. Payment requests for ingredients exceeding ten (10) must be submitted to the Envolve Pharmacy Solutions help desk.

Questions about Claims

For all questions related to claim filing, claim status and claim appeals, call the Provider Services department at:

STAR, STAR+PLUS, STAR Kids and CHIP..... 1-877-391-5921

STAR Health 1-866-439-2042

Capitated Provider Claims

If you receive monthly capitation for services, you must file a proxy claim on a CMS 1500 for each service provided. This is referred to as an “encounter.”

Capitated services are adjudicated to reflect zero dollar payment amounts. It is mandatory that a capitated provider submit encounter claims to Superior, in order for Superior to utilize the encounter data to evaluate all aspects of quality and utilization management.

Claims and Appeal Submission Timeframes

All claims and encounters must be received by Superior within ninety-five (95) days of the date of service. All claim appeal requests must be received within one hundred and twenty (120) days from the date of the EOP or denial letter.

For retrospective medical necessity claim appeals, please refer to Section 11, regarding Adverse Determinations and Appeals.

Claims Payment Timeliness

Clean claims will be processed within thirty (30) days of receipt.

Each adjudicated claim will be reflected on an EOP, which includes details of the denied or paid claim. See Attachment I for a sample EOP.

Claims Submission Information

All Superior Medicaid and CHIP claims, including special billing (newborns, Value-added Services, supplemental security income, compounded medications, etc.) should be submitted to:

Superior Claims Department
PO Box 3003
Farmington, MO 63640-3803

Hospital inpatient claims for **CHIP Perinatal** members who are 0-185% FPL should be sent to:

TMHP
P.O. Box 200555
Austin, Texas 78720-0555

Special Instructions for CHIP Perinatal Claims:

- All inpatient hospital claims submitted for CHIP Perinatal members who are 0-185% FPL (Category A on ID card) should be submitted to the Texas Medicaid Claims Administrator (TMHP) as these claims are not processed by Superior.
- All inpatient hospital claims submitted for CHIP Perinatal members above the Medicaid threshold (Category B on ID card) should be submitted to Superior.

Claims for **behavioral health** services are submitted to Superior:

Superior HealthPlan
P.O. Box 6300
Farmington, MO 63640-3806

Claims for eye care services (routine eye exams, eyewear and medical eye care services) are submitted to Envolve Benefit Options:

Envolve Benefit Options - Claims
PO Box 7548
Rocky Mount, North Carolina 27804
1-866-897-4785

Dental claims for STAR (Value-added Services only), STAR+PLUS (Value-added and Waiver Services) and STAR Health (foster care) are submitted to DentaQuest:

DentaQuest
TX HHSC Dental Program - Claims
12121 N. Corporate Pkwy.
Mequon, WI 53092
1-888-308-4766

Claims for pharmacy benefits should be submitted electronically through the Envolve Pharmacy Solutions claims adjudication system. Questions surrounding pharmacy claims should be directed to:

Envolve Pharmacy Solutions
2425 W. Shaw Avenue
Fresno, CA 93711
1-800-460-8988

STAR Kids Claim Submission Information

Guidelines for STAR Kids member claims, including MDCP waiver services, is provided in the following:

- a. Daily rate claims for services rendered in a nursing facility or Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IDDs) or other related conditions:
 - Superior is not responsible for daily rate claims rendered in an ICF or IDD. These claims should be submitted to DADS for processing (providers may access information on MDCP claims filing through DADS at <https://www.dads.state.tx.us/providers/MDCP/index.cfm>). Superior will cover acute care services, and these claims should be sent to Superior.
- b. Claims for custom DME or augmentative devices when the member changes MCOs and the authorizing MCO is not the Member's MCO on the date of delivery:

- If the member was eligible with Superior on the date the custom DME was ordered, Superior will cover the service and claims can be sent to Superior for processing. If the claims are not billed with the date the item is ordered, they will deny for lack of eligibility and have to be appealed for payment.
- c. Claims for minor home modifications for a MDCP STAR Kids Waiver member when the member changes MCOs and the authorizing MCO is not the member's MCO on the date of completion of the modifications:
 - If the member was eligible with Superior on the date the minor home mod was started, Superior will cover the service and claims can be sent to Superior for processing. If the claims are not billed with the date the date the Minor Home Mod was started, they will deny for lack of eligibility and have to be appealed for payment.
- d. Claims for LTSS
 - Please refer to the chart below for reference on billing STAR Kids claims.

| | SSI | | MDCP | | DADS | | DADS | | YES | |
|--|-----|--------|------|--------|--------|------|--------|--------|--------|--------|
| | SSI | (dual) | MDCP | (dual) | Waiver | IDD | Waiver | IDD | YES | Waiver |
| | SSI | (dual) | MDCP | (dual) | Waiver | IDD | Waiver | Waiver | (dual) | (dual) |
| Capitated State Plan LTSS | | | | | | | | | | |
| Personal Care Services (PCS) | Y | Y | N** | N** | N*** | N*** | N*** | N** | N** | N** |
| Private Duty Nursing (PDN) services | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y |
| Prescribed pediatric extended care center (PPECC) services | Y | Y* | Y | Y* | Y | Y* | Y* | Y | Y | Y* |
| Day Activity and Health Services (DAHS) | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y |
| Capitated CFC Services for Qualified Members | | | | | | | | | | |
| CFC PAS/HAB | Y | Y | Y | Y | N | N | N | Y | Y | Y |
| ERS | Y | Y | Y | Y | N | N | N | Y | Y | Y |
| Support Management | Y | Y | Y | Y | N | N | N | Y | Y | Y |
| Capitated MDCP services for MDCP members | | | | | | | | | | |
| Respite (in facility / in camp / in home) | N | N | Y | Y | N | N | N | N | N | N |
| Supported Employment | N | N | Y | Y | N | N | N | N | N | N |
| Employment Assistance | N | N | Y | Y | N | N | N | N | N | N |
| Adaptive Aids (NOS, medical equipment, vehicle modification) | N | N | Y | Y | N | N | N | N | N | N |
| Minor home modification | N | N | Y | Y | N | N | N | N | N | N |
| Flexible family support services | N | N | Y | Y | N | N | N | N | N | N |
| Transition assistance services | N | N | Y | Y | N | N | N | N | N | N |
| Financial management services | N | N | Y | Y | N | N | N | N | N | N |

* Wraparound and crossover for dual eligible STAR Kids members is paid FFS.

** MDCP and YES clients meet the level of care for CFC, so they need attendant care, they will always get CFC rather than PCS to obtain the higher match.

*** DADS IDD waiver clients qualify for CFC, which is

non-capitated. Because they qualify for CFC, they do not qualify for PCS.

Claims Status

Claim status can be obtained through the Superior web portal as well as by calling the provider hotline. For your convenience, the hotline provides telephony readback of claims status as well as connecting you to a live agent. The hotlines may be reached at the following:

- STAR, STAR+PLUS, STAR Kids and CHIP..... 1-877-391-5921
- STAR Health (foster care)..... 1-866-439-2042

Reporting Overpayments to Superior HealthPlan

A provider may identify an overpayment as result of multiple reasons, but may include:

- Erroneous billing by a provider using incorrect NPI or taxonomy, or incorrect member identification number.
- Payment to the provider by a primary insurance Payer, previously unknown or unreported to Superior.
- Duplicative billing by a provider for services previously billed or paid.
- Erroneous billing by a provider for services not rendered.

A provider has an obligation to notify Superior in writing immediately upon identification of an overpayment, but no more than 30 days from the date of discovery. Providers must submit the notification of overpayment in writing to Superior. The overpayment can be remediated through refund to Superior, or a provider may request Superior recoup the payment issued in error.

The written notification of overpayment can be submitted to Superior electronically (email/Superior website) or in written form through USPS.

- “Contact Us” Form on the Superior website
- Email: Provider_Operations@centene.com
- Mail to:

Superior HealthPlan
P.O. Box 3003
Farmington, MO 63640-3803

The notification should include details of whether the provider plans to submit a refund as a result of the overpayment, or is requesting Superior recoup the overpayment. The notice of overpayment must include the following details:

- Claim number
- Date of Payment/Explanation of Payment (EOP)
- Provider NPI
- Member identification number
- Date of Service

Recoupment

If a provider requests Superior recoup the overpayment, the prior erroneous payment(s) will be reversed by Superior within 30-60 days of receipt of the request. When the overpayment is recouped, the reversal of the prior payment will be reflected on the provider’s EOP after the claim is adjusted, and the monies prior paid will be deducted from the net amount due for claim payments as documented on the current EOP.

Refund

If a provider wishes to refund the overpayment by issuing a check to Superior, the refund check must be submitted to Superior within 30 days of notification of the overpayment, or 60 days from the date of the discovery of the overpayment, whichever is less. If a refund check is not received within that timeframe, Superior will proceed with recoupment of the overpayment(s).

Each claim overpayment should be accompanied with a copy of the EOP indicating the overpaid claim or claims for which the refund is being submitted, and a brief description of the reason for the overpayment.

Alternatively, a provider may submit the following information with the refund check, if a copy of the EOP is not available:

- Provider Name, Tax ID and NPI; and
- Member Name, date of birth, and Member Medicaid or CHIP identification number; and
- Claim date(s) of service; and
- Brief description/reason for the overpayment.

To submit a refund check, a provider should mail the check and supporting documents to:

Superior HealthPlan
P.O. Box 664007
Dallas, TX 75266-4007

Overpayments Identified by Superior HealthPlan

Superior HealthPlan may also identify overpayments made to a provider, that may occur as result of HHSC's retroactive disenrollment of a member who was eligible with Superior at the time of service/submission and payment of the claim, claims processing errors, retroactive Medicaid or CHIP program or benefit changes, or identification of a primary insurance Payer responsible for payment of a portion or full payment of the claim.

In these circumstances, Superior will typically reverse the prior payment of the claim and recoup the monies paid in error, unless the provider contract requires, or the provider has previously requested that Superior allow the provider the opportunity to refund the overpayment prior to recoupment.

If a provider receives notification of overpayment, and request for refund, the provider should include a copy of the notification of overpayment letter with the refund check, and mail to:

Superior HealthPlan
P.O. Box 664007
Dallas, TX 75266-4007

If the overpayment is recouped, the reversal of the prior payment will be reflected on the provider's EOP after the claim is adjusted, and the monies prior paid will be deducted from the net amount due for claim payments as documented on the current EOP.

If a provider has requested, or the provider's contract requires prior notification and opportunity to submit a refund as result of an overpayment identified by Superior, the provider will receive a letter explaining the reason for the overpayment, and requesting a refund be submitted within the appropriate timeframe as documented in the overpayment notice to the provider. If the refund is not received within that timeframe, Superior will proceed with reversal of the erroneous payment, recouping the payment prior issued.

Third Party Liability

Third party liability is defined as the legal responsibility of another individual or entity to pay for all or part of the services provided to members. Federal and state law require Medicaid (STAR/STAR+PLUS/STAR Kids) be the payer of last resort. STAR, STAR+PLUS and STAR Kids providers must comply with the provisions of 1 TAC §354, relating to third party recovery in the Medicaid program.

Coordination of Benefits

Superior does not actively pursue Coordination of Benefits (COB) for STAR Health members. Any other insurance, including Medicare, is always primary to Medicaid coverage. If a STAR, STAR+PLUS or STAR Kids (including Medicare dual eligible STAR Kids) member has other insurance, you must submit your claim to the primary insurance for consideration. For Superior payment consideration, file the claim with a copy of the EOB, EOP or rejection letter from the other insurance. If this information is not sent with an initial claim filed for a member with other insurance, the claim will pend and/or deny until this information is received. If a member has more than one primary insurance (Medicaid would be the third payer), the claim can be submitted through EDI, the Secure Provider Portal or on paper.

Information Sources for Third Party Recovery

Third Party Recovery (TPR) means the recovery of payments on behalf of a member by Superior from an individual or entity with the legal responsibility to pay for the covered service. Superior providers are requested to provide Superior with any TPR information that they obtain from the member. TPR information should be reported to Superior’s Provider Services Department at:

STAR, STAR+PLUS, STAR Kids and CHIP..... 1-877-391-5921

STAR Health 1-866-439-2042

The Your Texas Benefits Medicaid card (formerly Medicaid Form 3087) also contains a TPR column. The TPR column will indicate if other insurance has been reported by including an “M” (Medicare) and/or a “P” (Other Insurance). See Attachment E for a sample “Your Texas Benefits” Medicaid Card.

Billing Codes

It is important that providers bill with codes applicable to the date of service on the claim. Billing with obsolete codes will result in denial/rejection of the claim and a consequent delay in payment. Claims should be billed using the following coding:

- Submit professional claims with current and valid CPT-4, HCPCS, or ASA codes and ICD-10 codes.
- Submit institutional claims with valid revenue codes and CPT-4 or HCPCS (when applicable), ICD-10 codes and DRG codes (when applicable).

Claims must comply with the requirements of Section 6507 of the Patient Protection and Affordable Care Act of 2010 (P.L. 11-148), regarding mandatory state use of national correct coding initiatives, including all applicable rules, regulations and methodologies implemented as a result of this initiative.

Superior requires the use of valid ICD-10 diagnosis codes to the ultimate specificity, for all claims. See the ICD-10 coding manual for details.

The highest degree of detail can be determined by using the tabular list (volume one) of the ICD-10 coding manual in addition to the alphabetic list (volume two), when locating and designating diagnosis codes. Failure to code diagnoses to the appropriate level of specificity will result in denial of the claim and a consequent delay in payment.

The tabular list gives additional information such as exclusions and subdivisions of codes not found elsewhere in the manual. Any three-digit code that has subdivisions must be billed with the appropriate subdivision code(s) and be carried out to ultimate specificity if appropriate.

Ancillary providers (e.g., labs, radiologists, etc.) and those physicians interpreting diagnostic testing may use appropriate and most current V codes for laboratory exam, radiological exam, NEC and other specified exam as the principal diagnosis on the claim. Please consult your ICD-10 Manual for further instruction.

Failure to code diagnoses to the appropriate level of specificity will result in denial of the claim and a consequent delay in payment.

Delivery and Postpartum Services Billing

Claims for delivery and postpartum services must be billed separately for all products. This helps improve HEDIS scores on quality outcomes for postpartum care. Superior will reimburse for two (2) postpartum visits. Please see the tables below for Reimbursable and Non-Reimbursable Codes:

| Reimbursable Codes | | | | | | |
|------------------------|-------|-------|-----------------------------|--|--|--|
| Procedure Code | | | Code Description | | | |
| 59409 | | 59612 | | Vaginal Delivery Only | | |
| 59514 | | 59620 | | C-Section Delivery Only | | |
| 59430 | | | Postpartum Outpatient Visit | | | |
| Non-Reimbursable Codes | | | | | | |
| Procedure Code | | | Code Description | | | |
| 59400 | | 59410 | | Vaginal Delivery including Postpartum Care | | |
| 59510 | | 59615 | | C-Section Delivery & Postpartum Care | | |
| 59610 | 59614 | 59618 | 59622 | Delivery after C-Section including Postpartum Care | | |

Private Duty Nursing Billing

Home Health agencies must bill Private Duty Nursing (PDN) services to clients, from birth through age 20, who have either had a tracheostomy or are ventilator-dependent. Superior requires providers to bill procedure code C-T1000 with modifiers TD UA (services performed by a RN) or TE UA (services performed by a LVN) or procedure codes C-T1002 and C-T1003 with the modifier UA in addition to one of the diagnosis codes in the grid below for these services for children.

Superior requires providers to bill the modifier or modifier combinations noted above AND the most appropriate higher-tier diagnosis codes from the list below in the first DX position on the claim form.

| Diagnosis Codes | | | | | | |
|-----------------|-------|-------|--------|-------|-------|------|
| J9500 | J9501 | J9502 | J9503 | J9504 | J9509 | Z930 |
| Z990 | Z9911 | Z9912 | J95850 | Z9989 | Z430 | |

National Drug Code

The National Drug Code (NDC) is an 11-digit number on the package or container from which the medication is administered. All providers must submit an NDC for professional or outpatient claims submitted with provider-administered prescription drug procedure. Codes in the “A” code series do not require an NDC. N4 must be entered before the NDC on claims. Units of measurement are required for each NDC code submitted. The codes to be used for all claim forms are:

- F2 – International unit
- GR – Gram
- ME – Milligram
- ML – Milliliter
- UN – Unit

Unit quantities are also required for each NDC code submitted.

Superior will reimburse providers only for clinician-administered drugs and biologicals whose manufacturers participate in the Centers for Medicare & Medicaid Services (CMS) Drug Rebate Program and that show as active on the CMS list for the date of service the drug is administered. CMS maintains a list of participating manufacturers and their rebate-eligible drug products, which is updated quarterly on the CMS website.

When providers submit claims for clinician-administered drug procedure codes, they must include the National Drug Code of the administered drug as indicated on the drug packaging. Superior will deny claims for drug procedure codes under the following circumstances:

- The NDC submitted with the drug procedure code is not on the CMS drug rebate list that was current on the date of service.
- The NDC submitted with the drug procedure code has been terminated.
- The drug procedure code is submitted with a missing or invalid NDC.

To avoid claim denials, providers must speak with the pharmacy or wholesaler with whom they work to ensure the product purchased is on the current CMS list of participating manufacturers and their drugs

Code Auditing

Superior uses code auditing software to assist in improving accuracy and efficiency in claims processing, payment, reporting and to meet HIPAA compliance. The code auditing software will detect, correct and document coding errors on provider claims prior to payment. Superior’s software will analyze CPT-4 codes, HCPCS Level II codes, industry standard modifiers and location to compare against rules that have been established by the American Medical Association (AMA) and CMS.

In order to maintain its high standard of clinical accuracy, credibility and physician acceptance, our code auditing software is updated regularly to keep current with medical practices, coding practices, annual changes to the CPT Manual and other industry standards. Superior conducts regular reviews to focus on the annual changes to the CPT Manual and the specialty sections of the CPT Manual.

When a change is made on your submitted code(s), we will provide a general explanation of the reason for the change on your EOP (or remittance advice). The following list gives examples of conditions where code-auditing software will make a change on submitted codes:

- Unbundling - Submitting a comprehensive procedure code along with multiple incidental procedure codes that are an inherent part of performing the procedure.

- Fragmentation - Billing all incidental codes or itemizing the components of procedures separately when a more comprehensive code is available.
- Age/Gender – Submitting codes inappropriate for the member’s age or gender because of the nature of the procedure.

Superior may request medical records or other documentation to assist in the determination of medical necessity, appropriateness of the coding submitted or review of the procedure billed.

See Attachment J for more specific information on code auditing.

Electronic Filing

Superior encourages all providers to file claims and/or encounters electronically.

Electronic claims have the same filing deadlines as paper claims (please see Claims Information in this section).

Electronic claims submissions are required within ninety-five (95) days of the date of service.

Options for electronic filing:

1. Electronic claims/encounter program through the EDI Department:

Network providers are encouraged to participate in Superior’s electronic claims/encounter filing program through Centene Corporation. Centene Corporation has the capability to receive an ANSI X12N 837 professional, institutional and encounter transaction. In addition, Centene Corporation has the capability to generate an ANSI X12N 835 electronic EOP. For more information on electronic claim filing, contact the EDI Department at 1-800-225-2573 ext. 6075525 or at EDIBA@centene.com.

Submission of a claim to the clearing house does not guarantee that the claim was transmitted or received by Superior. Providers are responsible for monitoring their error reports to ensure all transmitted claims and encounters appear on reports.

2. Website filing through Superior’s Secure Provider Portal:

Providers may also elect to submit claims both CMS-1500 and UB-04/CMS 1450 through Superior’s secure Provider Portal at Provider.SuperiorHealthPlan.com.

This option does not require use of a clearing house. Claims are submitted directly to Superior for consideration of payment. There is no cost for this service. Providers can also use this website to review status of claim submissions. For more information on the Provider Portal and other website features, refer to Section 17 - Provider Portal.

To process your claim or encounter, please remember the following:

- All documentation must be legible.
- Superior utilizes the EDI version 5010 guidelines as mandated by HIPAA rules.
- PCPs and all participating providers must submit claims or encounter data for every patient visit, even though they may receive a monthly capitation payment.
- All claims and encounter data must be submitted on either a CMS 1500 or UB-04/CMS 1450 form, or on electronic media in an approved, HIPAA-compliant format.
- Superior members should not be billed by any provider for covered services. Please refer to your provider contract with Superior.

- Superior STAR, STAR Health (foster care), STAR KIDS and STAR+PLUS members do not have copayments or out-of-pocket expenses for covered benefits.
- Superior CHIP members may have copayments. These cost sharing arrangements are described in Section 4 - Members Benefits.
- CHIP Perinatal members do not have copayments or cost sharing arrangements. CHIP members who are Native Americans or Alaskan natives do not have copayments.
- Medicaid newborns should receive a Medicaid ID number within thirty (30) days of their birth. Until that time, all claims related to the care of the newborn should be filed with the mother's Medicaid ID number followed by the letter "A." For multiple births use the letter "B" or "C" as needed.
- Emergency services claims should follow standard billing procedures outlined herein and as noted in terms of individual contracts.

Behavioral health providers who wish to file claims electronically should contact Account Management at:

STAR, STAR+PLUS, STAR Kids, CHIP 1-877-391-5921

STAR Health 1-866-439-2042

Vision providers should contact the Envolve Benefit Options Provider Relations Department at 1-888-756-8768 for information regarding electronic billing.

Dental providers should contact DentaQuest regarding dental claims at 1-888-308-9345.

Pharmacy claims questions should be directed to Envolve Pharmacy Solutions at 1-877-935-8026 or eftsupport@envolvehealth.com.

Billing the Member

Providers may not bill members directly for STAR, STAR Health (foster care), STAR KIDS, STAR+PLUS or CHIP covered services. Superior reimburses only those services that are medically necessary and a covered benefit in the STAR, STAR Health, STAR Kids, STAR+PLUS or CHIP programs. This information can be found in your provider contract with Superior.

Superior STAR, STAR Health, STAR KIDS, STAR+PLUS and CHIP Perinatal members do not have copayments. Superior CHIP members may share costs. Cost sharing information is included in Section 4 - Covered Benefits and Value-added Services.

Member Acknowledgement Statement

The only occasion when a provider may bill a member is when the member has completed a member acknowledgement statement.

A provider may bill a member for a claim denied as not being medically necessary or not a part of a covered service if both of the following conditions are met:

- A specific service or item is provided at the request of the patient.
- The provider has obtained and kept a written member acknowledgement statement signed by the client. The member acknowledgment statement must read as follows: "I understand that, in the opinion of (provider's name), the services or items that I have requested to be provided to me on (dates of service) may not be covered under the Texas Medicaid Assistance program or the Children's Health Insurance Program as being

reasonable and medically necessary for my care. I understand that Superior, through its contract with HHSC, determines the medical necessity of the services or items that I request and receive. I also understand that I am responsible for payment of the services or items I request and receive if these services or items are determined not to be reasonable and medically necessary for my care.”

Private Pay Form

In STAR, STAR+PLUS, STAR Kids, STAR Health (foster care) and CHIP there are very limited instances when a provider may bill the member, if non-covered Medicaid or Chip services are provided. The provider must inform the member before services are provided that the member will be responsible for paying for all services. It is suggested that the provider use the member acknowledgment statement provided above as the Private Pay form, or use the Private Pay Agreement form found in the Texas Medicaid Provider Procedures Manual. Superior has provided a sample of this form for your reference (see Attachment K). Without written, signed documentation that the member has been properly notified of their private pay status, the provider cannot ask for payment from a member.

Use of Assistant Surgeons

An assistant surgeon is defined as a physician who utilizes professional skills to assist the primary surgeon on a specific procedure. The procedures that are allowed an assistant surgeon are Medicare-approved procedures as indicated in the Texas Medicaid Provider Procedures Manual. An assistant surgeon's presence at the surgeries listed on the Medicare-approved assistant surgeon list are presumed to be medically necessary. All assistant surgeon's procedures, including those on the assistant surgeon's list, are subject to retrospective review for medical necessity by Superior's Medical Management Department. All assistant surgeon's procedures are subject to Superior policies and are not subject to policies established by contracted hospitals.

Hospital medical staff bylaws that require an assistant surgeon be present for a designated procedure are not grounds for reimbursement. Medical staff bylaws alone do not constitute medical necessity, nor is reimbursement guaranteed when the patient or family requests an assistant surgeon be present for the surgery. Coverage and subsequent reimbursement for an assistant surgeon's service is based on the medical necessity of the procedure itself and the assistant surgeon's presence at the procedure.

Claims Tied To Multiple Authorizations

Superior frequently issues authorizations that extend to multiple dates of service. To avoid claim denials, the dates of service billed on a claim must be covered under a single authorization. If the dates of service billed are covered by multiple authorizations, the claim may be split and billed one of the following ways for each authorization:

- On separate lines within a single claim; or
- On separate claim forms as multiple claims.

Ensure that each claim dates of services match the authorization dates of service.

Common Billing Errors

Table 11-1 lists common billing errors. Accessing the information in this table may help you to avoid rejected claims or encounters.

Table 11-1 Common Billing Errors

| Type | Information |
|---|--|
| CPT/HCPCS | Use specific CPT or HCPCS codes. Avoid the use of non-specific or “catch-all” codes (i.e. 99070) unless required by HHSC. Use the most current CPT or HCPCS codes according to Texas Medicaid guidelines. |
| Diagnosis Codes | Use current diagnosis codes and code to the highest level of specificity available. |
| Accident Claims | Attach liability carrier disposition or accident details/supporting documentation if no liability carrier is involved. |
| Medicaid and CHIP- NPI number CMS 1500 | Field 17a: Qualifier ZZ plus taxonomy of referring. Field 17b: NPI of ordering and referring providers (If unable to attain please populate with servicing provider’s NPI. This field will not be used for claims processing but is required to be filled) Effective October 1, 2017 claims will reject if field 17b is not appropriately filled out. Field 24jb: NPI of servicing providers. Enter the billing NPI if services are not provided by an individual (e.g., DME, independent lab, home health, RHC/FQHC general medical exam, etc.). Field 24i : Qualifier = ZZ. Field 24ja: Servicing provider primary taxonomy code. Field 25 : Tax identification number. Field 33: ZIP+4 of the billing provider’s service location. Field 33a: NPI of billing provider. Field 33b: Qualifier = ZZ plus taxonomy code of the billing provider. |
| Medicaid andCHIP - NPI number UB-04/ CMS 1450 | Form Locator Field 1: Billing provider service location name, address and ZIP+4. Form Locator Field 5: Tax identification number of billing provider. Form Locator Field 56: NPI number of billing provider. Form Locator Field 81: B3 qualifier. Form Locator Field 76: NPI of attending physician. Form Locator Field 76 Qual: B3 plus taxonomy of attending physicians. Form Locator Field 77: NPI of operating physician. Form Locator Field 77 Qual: B3 plus taxonomy of operating physician. Effective October 1, 2017 the referring provider will need to be billed in boxes 78 and 79 and include the NPI and taxonomy information. |
| CHIP NPI | Populate box 24j of the CMS 1500 and box 51 of the UB-04/CMS 1450 with the appropriate provider Superior assigned number for services rendered. (Please contact Provider Services.) |
| Member Information | Ensure that member’s name, date of birth and ID number coincides with Superior ID card, DFPS Medicaid 2085 or HHSC “Your Texas Benefits” Medicaid card (formerly Medicaid form 3087). |
| Other Insurance | Verify other insurance information and attach primary insurance EOP with the paper claim or include primary insurance EOP electronically using EDI or Superior’s Secure Provider Portal. |
| Texas Health Steps | Bill all required Texas Health Steps components per Medicaid guidelines. Texas Health Steps condition indicator must be provided. |
| Therapy Services | Attach MD evaluation order for processing. |

Claims Reconsiderations

When a provider has submitted a claim and received a denial due to incorrect or missing information, a corrected claim may be submitted. A red and white claim form is required. Refer to Common Billing Errors section and Table 11-1 for examples. The following includes definitions of when a claim may be reconsidered:

- Adjustment – An adjustment to a previously finalized clean claim in which no additional information from the provider is required to perform the adjustment. An adjustment could be prompted by the provider or by the plan.
- Claim Appeal - An appeal is a claim that has been previously adjudicated as a clean claim and the provider is appealing the disposition through written notification to Superior and in accordance with the appeal process as defined in this Provider Manual. An appeal must include supporting documentation.

Examples of supporting documentation may include, but are not limited to:

- A letter from the provider stating why they feel the claim payment is incorrect (required).
- A copy of the original claim.
- A copy of the Superior EOP (required).
- Overnight or certified mail receipt as proof of filing date.
- Documentation of eligibility verification such as copy of ID card, “Your Texas Benefits” Medicaid card (formerly Medicaid form 3087), TMHP documentation or call log, etc.
- EDI acceptance reports showing the claim was accepted by Superior.
- Prior authorization number and/or form or fax.
- An EOP from another insurance company.

Retrospective Medical Necessity Claims Appeal

A medical necessity appeal is a written request from a member or provider who is appealing on the member’s behalf to reconsider a medical necessity denial. This can apply to a denial for a service that was requested but has not yet been performed, or a retrospective review of a service that has already been performed but is partially or wholly denied. Please refer to Section 11 - Adverse Determinations and Appeals, for instruction on how to submit medical necessity appeals.

Rejected Claim

Rejected claims are returned with messages that explain what is wrong, so the claim can be corrected and resubmitted.

Claims that are rejected must be corrected and resubmitted for payment consideration. If a claim is within the applicable timely filing limits, it may be submitted as a first time claim. If a claim is outside the applicable timely filing limits, then claim must be submitted as an adjustment along with a copy of the rejection report or may be submitted electronically with the claim number assigned on the rejection report.

Corrected Claim

A corrected claim is a correction or change of information to a previously finalized clean claim in which additional information from the provider is required to perform the adjustment. A corrected claim can be the result of:

- An original claim that was either denied or rejected as being deficient, as it did not contain all required elements to appropriately process the claim.
- An original clean claim that was previously adjudicated and included all elements necessary to process the claim, but one or more elements included in the original claim submission were erroneous.

Providers may correct, but are not limited to, the following:

- Patient control number (PCN).
- Date of birth.
- Date on onset.
- X-ray date.
- Place of service (POS).
- Present on Admission (POA).
- Quantity billed.
- Prior authorization number (PAN).
- Beginning date of service (DOS).
- Ending date of service or discharge date.

Corrected Claims Process

Corrected claims may be submitted via EDI, the Superior Provider Portal, or by mail. All corrected claims submitted by mail on paper should be free of handwritten or stamped verbiage and must be submitted on a standard red and white UB-04 or HCFA 1500 claim form. Corrected claims submitted by mail must include the Corrected Claim Form available on our website at www.SuperiorHealthPlan.com. Any UB-04 or HCFA 1500 form received that is submitted on black and white paper will be rejected back to the provider upon receipt.

Additionally, the original (corrected) claim number must be inserted in field 64 of the UB-04 or field 22 of the HCFA 1500. The appropriate frequency code/resubmission code should also be billed in field 4 of the UB-04 and 22 of the HCFA 1500. The frequency/resubmission codes can be found in the NUCC (National Uniform Claim Committee) manual for HCFA 1500 claim forms or the UB Editor (Uniform Billing Editor) for UB-04 claim forms. Omission of these data elements may result in denials.

You may contact your local Account Manager with any questions or by calling Provider Services at 1-877-391-5921.

Corrected claims must be sent within one hundred and twenty (120) days of initial claim disposition. Corrected claims can be submitted via EDI, the Provider Portal or on paper. To submit paper corrected claims, mail to the following address:

Superior HealthPlan
Attn: Claims
P.O. Box 3003
Farmington, Missouri 63640-3803

Submitting a Claims Appeal

A claims appeal is a request for reconsideration of a claim for anything other than medical necessity and/or any request that would require review of medical records to make a determination. All claims appeals regarding the amount reimbursed or regarding a denial for a particular service must be submitted in writing and include all necessary documentation. Any adjustments as the result of a claim appeal will be provided by check with an EOP.

reflecting the adjustment of the claim. A Claim Appeal form is included in Attachment L and must be sent in with a claim appeal.

When submitting claims, please follow these guidelines:

- Claims must be received by Superior within ninety-five (95) days from each date of service on the claim. Final inpatient hospital claims must be received by Superior within ninety-five (95) days from the date of discharge.
- All claim appeals must be finalized within twenty-four (24) months from the date of service.
- All appeals of claims and requests for adjustments must be received by Superior within one hundred and twenty (120) days from the date of the last denial of and/or adjustment to the original claim.
- If a filing deadline falls on a weekend or holiday, the filing deadline shall be extended to the next business day following the weekend or holiday.

To submit an appeal on paper, mail the appeal to the following address:

Superior HealthPlan
Attn: Claims Appeals
P.O. Box 3000
Farmington, Missouri 63640-3800

Superior will process the appeal and adjudicate the claim within thirty (30) days from the date of receipt of the appeal. Superior’s contract with each provider allows for the resolution of disputes through binding arbitration. Refer to Section 11 - Adverse Determination and Appeals, for instruction on how to submit medical necessity appeals.

Completing a CMS 1500 Form

Only CMS 1500 claim forms printed in Flint OCR Red, J6983 ink (or exact match) are acceptable. Although the CMS-1500 form can be downloaded and printed, copies of the form cannot be used for submission of claims, since the copy may not accurately replicate the scale or OCR color of the form.

See Attachment M for a sample CMS 1500 form.

Paper claims submitted outside of this format will be rejected. Providers are highly encouraged to submit forms electronically via our secure Provider Portal.

CMS 1500 Claim Form Instructions

The following table outlines each field within a CMS 1500 form. Please note:

- Required fields (indicated as “R”) must be completed on all claims. Claims with missing or invalid required field information will be rejected or denied.
- Conditional fields (indicated as “C”) must be completed if the information applies to the situation or the service provided.
- Not Required field (indicated as “Not Required”) will not need to be completed.

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|-----------|--|--|-------------------------|
| 1 | Insurance Program Identification | Check only the type of health coverage applicable to the claim. This field indicates the payer to whom the claim is being filed. Select "D", other. | Not Required |
| 1a | Insured ID Number | The 10 digit Medicaid ID number on the member's Superior ID card. | R |
| 2 | Patient's Name (Last Name, First Name, Middle Initial) | Enter the patient's name as it appears on the member's Superior ID card. Do not use nicknames. | R |
| 3 | Patient's Birth Date / Sex | Enter the patient's 8 digit date of birth (MM/DD/YYYY) and mark the appropriate box to indicate the patient's sex/gender. M = male F = female | R |
| 4 | Insured's Name | Enter the patient's name as it appears on the member's Superior ID card. | R |
| 5 | Patient's Address (Number, Street, City, State, Zip code), Telephone (include area code) | Enter the patient's complete address and telephone number including area code on the appropriate line. First line – Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101). Second line – In the designated block, enter the city and state. Third line – Enter the zip code and phone number. When entering a 9-digit zip code (zip+4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number (i.e. (803)5551414). Note: Patient's telephone does not exist in the electronic 837 Professional 4010A1. | R |
| 6 | Patient's Relation to Insured | Always mark to indicate self. | C |
| 7 | Insured's Address (Number, Street, City, State, Zip code), Telephone (include area code) | Enter the patient's complete address and telephone number including area code on the appropriate line. First line – Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101). Second line – In the designated block, enter the city and state. Third line – Enter the zip code and phone number. When entering a 9-digit zip code (zip+4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number (i.e. (803)5551414). Note: Patient's telephone does not exist in the electronic 837 Professional 4010A1. | Not Required |
| 8 | Reserved for NUCC use | | Not Required |
| 9 | Other Insured's Name (Last Name, First Name, Middle Initial) | Refers to someone other than the patient. Required if patient is covered by another insurance plan. Enter the complete name of the insured. | C |
| 9a | Other Insured's Policy or Group Number | Required if # 9 is completed. Enter the policy or group number of the other insurance plan. | C |
| 9b | Reserved for NUCC use | This field was previously used to report "Other Insured's Date of Birth, Sex" but it does not exist in 5010A1. The NUCC will provide instructions for any use of this field. | Not Required |
| 9c | Reserved for NUCC use | This field was previously used to report "Employers Name or School Name" but it does not exist in 5010A1. The NUCC will provide instructions for any use of this field. | Not Required |
| 9d | Insurance Plan Name or Program Name | Required if # 9 is completed. Enter the other insured's (name of person listed in box 9) insurance plan or program name. | C |
| 10a, b, c | Is Patient's Condition Related To: | Enter a yes or no for each category/line (a, b and c). Do not enter a yes and no in the same category/line. | R |
| 10d | Reserved for Local Use | | Not Required |
| 11 | Insured's policy group or FECA number | Required when other insurance is available. Enter the policy, group, or FECA number of the other insurance. | C |
| 11a | Insured's Date of Birth / Sex | Same as field 3. | C |
| 11b | Other Claim ID (Designated by NUCC) | The "Other Claim ID" is another identifier applicable to the claim. | |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|--|--|-------------------------|
| 11c | Insurance Plan Name or Program Name | Enter name of the insurance health plan or program. | C |
| 11d | Is There Another Health Benefit Plan | Mark yes or no. If yes, complete # 9a-d and #11c. | R |
| 12 | Patient's or Authorized Person's Signature | Enter "Signature on File," "SOF," or the actual legal signature. The provider must have the member's or legal guardian's signature on file or obtain their legal signature in this box for the release of information necessary to process and/or adjudicate the claim. | Required |
| 13 | Patient's or Authorized Person's Signature | | Not Required |
| 14 | Date of Current Illness), or Injury (), or Pregnancy (LMP) | Enter the 6 digit (MM/DD/YY) or 8 digit (MM/DD/YYYY) date reflecting the first date of onset for the Present Illness, Injury or LMP (last menstrual period) if pregnant. Enter the applicable qualifier to identify which date is being reported: 431 Onset of Current Symptoms or Illness 484 Last Menstrual Period Enter the qualifier to the right of the vertical, dotted line. | C |
| 15 | Other Date | Enter another date related to the patient's condition or treatment. Enter the date in the 6-digit (MM DD YY) or 8-digit (MM DD YYYY) format. Enter the applicable qualifier to identify which date is being reported: 454 Initial Treatment 304 Latest visit or Consultation 453 Acute manifestation of a Chronic Condition 439 Accident 455 Last X-ray 471 Prescription 090 Report Start (Assumed Care Date) 091 Report End (Relinquished Care Date) 444 First Visit or Consultation Enter the qualifier between the left-hand set of vertical, dotted lines. The "Other Date" identifies additional date information about the patient's condition or treatment. | C |
| 16 | Dates Patient Unable to Work in Current Occupation | If the patient is employed and is unable to work in current occupation, a 6-digit (MM/DD/YY) or 8-digit (MM/DD/YYYY) date must be shown for the "from-to" dates that the patient is unable to work. An entry in this field may indicate employment-related insurance coverage. | C |
| 17 | Name of Referring Physician or Other Source | Enter the name (First Name, Middle Initial, Last Name) followed by the credentials of the professional who referred or ordered the service(s) or supply(ies) on the claim. If multiple providers are involved, enter one provider using the following priority order: 1. Referring provider 2. Ordering provider 3. Supervising provider Do not use periods or commas. A hyphen can be used for hyphenated names. Enter the applicable qualifier to identify which provider is being reported: DN Referring provider DK Ordering provider DQ Supervising provider Enter the qualifier to the left of the vertical, dotted line. | C |
| 17a | ID Number of Referring Physician | Required if 17 is completed. Use ZZ qualifier for taxonomy code. | C |
| 17b | NPI Number of Referring Physician | Required if 17 is completed. If unable to obtain referring NPI, servicing NPI may be used. | C |
| 18 | Hospitalization Dates Related to Current Services | | Not Required |
| 19 | Supervising Physician for Referring Physician | If there is a Supervising Physician for the referring or ordering provider that is listed in Block 17, the name and NPI of the supervising provider must go in Block 19. | Not Required |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|--------------|---|--|-------------------------|
| 20 | Outside Lab/Charges | Check the appropriate box. The information may be requested for retrospective review. If “yes,” enter the provider identifier of the facility that performed the service in block 32 | Not Required |
| 21 | Diagnosis or Nature of Illness or Injury. (Relate Items A-L to service line below (24E) | <p>The “ICD Indicator” identifies the version of the ICD code set being reported. The “Diagnosis or Nature of Illness or Injury” is the sign, symptom, complaint, or condition of the patient relating to the service(s) on the claim.</p> <p>Enter the applicable ICD indicator to identify which version of ICD codes is being reported: 0 ICD-10-CM</p> <p>Enter the indicator between the vertical, dotted lines in the upper right-hand portion of the field. Enter the codes to identify the patient’s diagnosis and/or condition. List no more than 12 ICD-10-CM diagnosis codes. Relate lines A - L to the lines of service in 24E by the letter of the line. Use the highest level of specificity. Do not provide narrative description in this field.</p> <p>Claims missing or with invalid diagnosis codes will be denied for payment.</p> | R |
| 22 | Resubmission Code / Original Reference Number | <p>For resubmissions or adjustments, enter the 12 character document control number (DCN) of the original claim..</p> <p>Note: For resubmissions submitted via EDI, the CLM05-3 must be “7” and in the web loop a RED “F8” must be sent with the original claim number.</p> | R |
| 23 | Prior Authorization Number | <p>Superior does not require the Prior Authorization Number on the Claims form; it is stored with the case internally, so must still be requested as needed.</p> <p>Providers are encouraged to enter their Clinical Laboratory Improvement Amendments (CLIA) Number as assigned.</p> <p>Enter any of the following: prior authorization number, referral number, mammography pre-certification number, or Clinical Laboratory Improvement Amendments (CLIA) number, as assigned by the payer for the current service. Do not enter hyphens or spaces within the number</p> | C |
| 24A -J | General Information | <p>Box 24 contains 6 claim lines. Each claim line is split horizontally into shaded and unshaded areas. Within each unshaded area of a claim line there are 10 individual fields labeled A-J. Within each shaded area of a claim line there are 4 individual fields labeled 24A-G, 24H, 24J and 24Jb. Fields 24A-G are a continuous field for the entry of supplemental information. Instructions are provided for shaded and unshaded fields.</p> <p>The shaded area for a claim line is to accommodate the submission of supplemental information, EPSDT qualifier, Provider Medicaid number qualifier, and provider Medicaid number.</p> <p>Shaded boxes A-G is for line item supplemental information and is a continuous line that accepts up to 61 characters.</p> <p>The un-shaded area of a claim line is for the entry of claim line item detail.</p> | See Below |
| 24A-G Shaded | Supplemental Information | <p>The shaded top portion of each service claim line is used to report supplemental information for:</p> <p>Qualifier along with NDC, units and base measurement code are required where applicable</p> <p>Compound drug elements</p> <p>Anesthesia start/stop time and duration</p> <p>Unspecified, miscellaneous, or unlisted CPT and HCPC code descriptions</p> <p>HIBCC or GTIN number/code</p> | C |
| 24A Unshaded | Date(s) of Service | Enter the date the service listed in 24D was performed (MM/DD/YY). If there is only one date, enter that date in the From field. The To field may be left blank or populated with the From date. If identical services (identical CPT/HCPC code(s)) were performed, each date must be entered on a separate line. | R |
| 24B Unshaded | Place of Service | Enter the appropriate 2 digit CMS standard place of service (POS) code. A list of current POS codes may be found on the CMS website or the following link: https://www.cms.gov/Medicare/Coding/place-of-service-codes/Place_of_Service_Code_Set.html | R |

| Field # | Field Description | Instruction or Comments | | | | | Required or Conditional | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|-----------------|---|--|----|----|--|--|-------------------------|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|---|
| 24C Unshaded | EMG | Enter Y (yes) or N (no) to indicate if the service was an emergency. | | | | | R | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 24D Unshaded | Procedures, Services or Supplies CPT/HCPCS Modifier | <p>Enter the 5 digit CPT or HCPC code and 2 character modifier if applicable. Only one CPT or HCPC and up to 4 modifiers may be entered per claim line. Codes entered must be valid for date of service. Missing or invalid codes will be denied for payment.</p> <p>Only the first modifier entered is used for pricing the claim. Failure to use modifiers in the correct position or combination with the procedure code, or invalid use of modifiers, will result in a rejected, denied, or incorrectly paid claim. The following national modifiers are recognized as modifiers that will impact the pricing of your claim.</p> <table><tr><td>26</td><td>50</td><td>54</td><td>55</td><td>62</td></tr><tr><td>66</td><td>76</td><td>80</td><td>81</td><td>82</td></tr><tr><td>AA</td><td>AD</td><td>AS</td><td>ET</td><td>FP</td></tr><tr><td>GN</td><td>GO</td><td>GP</td><td>NU</td><td>QK</td></tr><tr><td>QX</td><td>QY</td><td>QZ</td><td>RR</td><td>SA</td></tr><tr><td>TC</td><td>TD</td><td>TE</td><td>TF</td><td>TG</td></tr><tr><td>TH</td><td>U1</td><td>U5</td><td>U6</td><td>U7</td></tr></table> | | | | | 26 | 50 | 54 | 55 | 62 | 66 | 76 | 80 | 81 | 82 | AA | AD | AS | ET | FP | GN | GO | GP | NU | QK | QX | QY | QZ | RR | SA | TC | TD | TE | TF | TG | TH | U1 | U5 | U6 | U7 | R |
| 26 | 50 | 54 | 55 | 62 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 66 | 76 | 80 | 81 | 82 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| AA | AD | AS | ET | FP | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| GN | GO | GP | NU | QK | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| QX | QY | QZ | RR | SA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TC | TD | TE | TF | TG | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| TH | U1 | U5 | U6 | U7 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 24E Unshaded | Diagnosis Pointer | In 24E, enter the diagnosis code reference letter (pointer) as shown in Item Number 21 to relate the date of service and the procedures performed to the primary diagnosis. When multiple services are performed, the primary reference letter for each service should be listed first, other applicable services should follow. The reference letter(s) should be A – L or multiple letters as applicable. ICD-10-CM diagnosis codes must be entered in Item Number 21 only. Do not enter them in 24E. | | | | | R | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 24F Unshaded | Charges | Enter the charge amount for the claim line item service billed. Dollar amounts to the left of the vertical line should be right justified. Up to 8 characters are allowed (i.e. 199,999.99). Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e. 10.00), enter 00 in the area to the right of the vertical line. | | | | | R | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 24G Unshaded | Days or Units | <p>Enter the number of days or units. This field is most commonly used for multiple visits, units of supplies, anesthesia units or minutes, or oxygen volume. If only one service is performed, the numeral 1 must be entered.</p> <p>Enter numbers left justified in the field. No leading zeros are required. If reporting a fraction of a unit, use the decimal point.</p> <p>Anesthesia services must be reported as minutes. Units may only be reported for anesthesia services when the code description includes a time period (such as “daily management”).</p> | | | | | R | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 24H | Shaded EPSDT (Chcup) Family Planning | Leave blank. | | | | | Not Required | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|-----------------|-------------------------------|---|-------------------------|
| 24H Unshaded | EPSTD (Chcup) Family Planning | <p>For Early & Periodic Screening, Diagnosis and Treatment related services, enter the response in the shaded portion of the field as follows:</p> <p>If there is no requirement (e.g., state requirement) to report a reason code for EPDST, enter Y for “YES” or N for “NO” only.</p> <p>If there is a requirement to report a reason code for EPDST, enter the appropriate reason code as noted below. (A Y or N response is not entered with the code.) The two character code is right justified in the shaded area of the field.</p> <p>The following codes for EPSTD are used in 5010A1: AV Available – Not Used (Patient refused referral.) S2 Under Treatment (Patient is currently under treatment for referred diagnostic or corrective health problem.) ST New Service Requested (Referral to another provider for diagnostic or corrective treatment/scheduled for another appointment with screening provider for diagnostic or corrective treatment for at least one health problem identified during an initial or periodic screening service, not including dental referrals.) NU Not Used (Used when no EPSTD patient referral was given.)</p> <p>If the service is Family Planning, enter Y (“YES”) or N (“NO”) in the bottom, unshaded area of the field.</p> | C |
| 24I Shaded | ID Qualifier | <p>Use ZZ qualifier for taxonomy.</p> <p>Use 1D qualifier for Medicaid ID, if an atypical provider.</p> | R |
| 24J Shaded | Non-NPI Provider ID | <p>Enter taxonomy code. Typical providers: Enter the provider taxonomy code or Medicaid provider ID number that corresponds to the qualifier entered in 24I shaded. Use ZZ qualifier for taxonomy code.</p> | R |
| 24J Unshaded | NPI Provider Id | <p>Typical providers only: Enter the 10 character NPI ID of the provider who rendered services. If the provider is billing as a member of a group, the rendering individual provider's 10 character NPI ID may be entered. . Enter the billing NPI if services are not provided by an individual (e.g. DME, independent lab, home health, RHC/ FQHC general medical exam, etc.)</p> | R |
| 25 | Federal Tax ID Number Ssn/Ein | Enter the provider or supplier 9 digit federal Tax ID number and mark the box labeled EIN. | R |
| 26 | Patient's Account Number | Enter the provider's billing account number. | Not Required |
| 27 | Accept Assignment | Enter an X in the YES box. Submission of a claim for reimbursement of services provided to a Medicaid recipient using Medicaid funds indicates the provider accepts Medicaid assignment. Refer to the back of the CMS 1500 (12-90) form for the section pertaining to Medicaid payments. | R |
| 28 | Total Charges | Enter the total charges for all claim line items billed – claim lines 24F. Dollar amounts to the left of the vertical line should be right justified. Up to 8 characters are allowed (i.e. 199,999.99). Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e. 10.00), enter 00 in the area to the right of the vertical line. | R |
| 29 | Amount Paid | <p>Required when another carrier is the primary payer. Enter the payment received from the primary payer prior to invoicing Superior. Medicaid programs are always the payers of last resort.</p> <p>Dollar amounts to the left of the vertical line should be right justified. Up to 8 characters are allowed (i.e. 199,999.99). Do not enter a dollar sign (\$). If the dollar amount is a whole number (i.e. 10.00), enter 00 in the area to the right of the vertical line.</p> | C |
| 30 | Reserved for NUCC Use | This field was previously used to report “Balance Due.” “Balance Due” does not exist in 5010A1, so this field has been eliminated. | Not Required |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|---|--|------------------------------|
| 31 | Signature of Physician or Supplier Including Degrees or Credentials | Acceptable Signature Requirements for Submission include: Typed signature in box 31 Name of group in box 33 is listed in box 31 Handwritten signature in box 31 Stamped signature in box 31 Signature on file This feature does not exist in the electronic 837P. | Required |
| 32 | Service Facility Location Information | Required if the location where services were rendered is different from the billing address listed in field 33. Enter the name and physical location. (P.O. box numbers are not acceptable here.) First line – Enter the business/facility/practice name. Second line – Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101). Third line – In the designated block, enter the city and state. Fourth line – Enter the zip code and phone number. When entering a 9 digit zip code (zip+4 codes), include the hyphen. | C |
| 32a | Npi – Services Rendered | Typical providers only: Required if the location where services were rendered is different from the billing address listed in field 33. Enter the 10 character NPI ID of the facility where services were rendered. | R, if Field #32 is populated |
| 32b | Other Provider ID | Required if the location where services were rendered is different from the billing address listed in field 33. Typical providers Enter the 2 character qualifier ZZ followed by the taxonomy code (no spaces). Atypical providers Enter the 2 character qualifier ID followed by the 6 character Medicaid provider ID number (no spaces). | R, if Field #32 is populated |
| 33 | Billing Provider Info and Phone Number | Enter the billing provider's complete name, address (include the zip + 4 code) and phone number. First line – Enter the business/facility/practice name. Second line – Enter the street address. Do not use commas, periods, or other punctuation in the address (e.g., 123 N Main Street 101 instead of 123 N. Main Street, #101). Third line – In the designated block, enter the city and state. Fourth line – Enter the zip code and phone number. When entering a 9 digit zip code (zip+4 codes), include the hyphen. Do not use a hyphen or space as a separator within the telephone number (i.e. (803)551414). | R |
| 33a | Group Billing Npi | Typical providers only: Required if the location where services were rendered is different from the billing address listed in field 33. Enter the 10 character NPI ID. | R |
| 33b | Group Billing Other Id | Enter as designated below the Billing Group Medicaid ID number or taxonomy code. Typical providers: Enter the provider taxonomy code. Use ZZ qualifier. Atypical providers: Enter the 6 digit Medicaid provider ID number. | R |

Completing a UB-04/CMS 1450 Claim Form

A UB-04/CMS 1450 is the only acceptable claim form for submitting inpatient or outpatient hospital claims (including hospital-based ASCs and technical services) charges for reimbursement by Superior, per Section 10 - Claims Information. In addition, a UB-04/CMS 1450 is required for comprehensive outpatient rehabilitation facilities (CORF), Federally Qualified Health Centers (excluding Texas Health Steps and family planning), Rural Health Centers (excluding Texas Health Steps and family planning), home health agencies, nursing home admissions, inpatient hospice services and dialysis services.

Incomplete or inaccurate information will result in the claim/encounter being rejected for corrections.

UB-04/CMS 1450 Hospital Outpatient Claims/Ambulatory Surgery

The following information applies to outpatient and ambulatory surgery claims:

- Professional fees must be billed on a CMS 1500 claim form.
- Include the appropriate CPT code next to each revenue code.

Exceptions

Please refer to your provider contract with Superior or to the Texas Medicaid Provider Procedures Manual for revenue codes that do not require a CPT 4 code.

UB-04/CMS 1450 Outpatient and Ambulatory Surgery Claim Documentation

Additional specific information may be required in order to finalize a claim and should be submitted to Superior upon request.

UB-04/CMS 1450 Claim Instructions

The following table outlines each field within a UB-04/CMS 1450 claim form. Please note that:

- Required fields (indicated as “R”) must be completed on the claim form.
- Conditional fields (indicated as “C”) must be completed if the information applies to the situation or the service provided.
- Not Required fields (indicated as “Not Required”) do not need to be completed.

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|--------------------------------------|--|-------------------------|
| 1 | (Unlabeled Field) | Line 1: Enter the complete provider name. Line 2: Enter the complete mailing address. Line 3: Enter the city, state and zip+4 code (include hyphen). Line 4: Enter the area code and phone number. | R |
| 2 | (Unlabeled Field) | Enter the pay-to name and address. | Not Required |
| 3a | Patient Control Number | Enter the facility patient account/control number | Not Required |
| 3b | Medical Record Number | Enter the facility patient medical or health record number. | R |
| 4 | Type of Bill | Enter the appropriate 3 digit type of bill (TOB) code as specified by the NUBC UB-04/CMS 1450 Uniform Billing Manual minus the leading "0" (zero). A leading "0" is not needed. Digits should be reflected as follows: 1 st digit - Indicating the type of facility. 2 nd digit - Indicating the type of care. 3 rd digit - Indicating the billing sequence. | R |
| 5 | Federal Tax ID Number | Enter the 9 digit number assigned by the federal government for tax reporting purposes. | R |
| 6 | Statement Covers Period From/Through | Enter beginning and ending or admission and discharge dates for the services billed. Inpatient and outpatient observation stays must be billed using the admission date and discharge date. Outpatient therapy, chemotherapy, laboratory, pathology, radiology and dialysis may be billed using a date span. All other outpatient services must be billed using the actual date of service (MM/DD/YY). | R |
| 7 | (Unlabeled Field) | Not used. | Not Required |
| 8 a-b | Patient Name | 8a – Enter the patient's 10 digit Medicaid ID number on the member's Superior ID card. 8b – Enter the patient's last name, first name and middle initial as it appears on the Superior ID card. Use a comma or space to separate the last and first names. Titles: (Mr., Mrs., etc.) should not be reported in this field. Prefix: No space should be left after the prefix of a name e.g. McKendrick. Hyphenated names: Both names should be capitalized and separated by a hyphen (no space). Suffix: A space should separate a last name and suffix. | Not Required R |
| 9 a-e | Patient Address | Enter the patient's complete mailing address. Line a: Street address Line b: City Line c: State Line d: ZIP code Line e: Country code (not required) | R |
| 10 | Birthdate | Enter the patient's date of birth (MM/DD/YYYY) | R(except line 9e) |
| 11 | Sex | Enter the patient's sex. Only M or F is accepted. | R |
| 12 | Admission Date | Enter the date of admission for inpatient claims and date of service for outpatient claims. | R |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|-------------------|---|-------------------------|
| 13 | Admission Hour | Enter the time using 2 digit military time (00-23) for the time of inpatient admission or time of treatment for outpatient services. 00-12:00 midnight to 12:59 12- 12:00 noon to 12:59 01- 01:00 to 01:59 13- 01:00 to 01:59 02- 02:00 to 02:59 14- 02:00 to 02:59 03- 03:00 to 03:59 15- 03:00 to 03:59 04- 04:00 to 04:59 16- 04:00 to 04:59 05- 05:00 to 05:59 17- 05:00 to 05:59 06- 06:00 to 06:59 18- 06:00 to 06:59 07- 07:00 to 07:59 19- 07:00 to 07:59 08- 08:00 to 08:59 20- 08:00 to 08:59 09- 09:00 to 09:59 21- 09:00 to 09:59 10- 10:00 to 10:59 22- 10:00 to 10:59 11- 11:00 to 11:59 23- 11:00 to 11:59 | R |
| 14 | Admission Type | Required for inpatient admissions TOB 11X, 118X, 21X, 41X. Enter the 1 digit code indicating the priority of the admission using one of the following codes: 1 Emergency 2 Urgent 3 Elective 4 Newborn 5 Trauma | C |
| 15 | Admission Source | Enter the 1 digit code indicating the source of the admission or outpatient service using one of the following codes: For Type of admission 1,2,3 or 5: 1 Physician referral 2 Clinic referral 3 Health maintenance referral (HMO) 4 Transfer from a hospital 5 Transfer from skilled nursing facility (SNF) 6 Transfer from another health care facility 7 Emergency room 8 Court/law enforcement 9 Information not available For type of admission 4 (newborn): 1 Normal delivery 2 Premature delivery 3 Sick baby 4 Extramural birth 5 Information not available | C |
| 16 | Discharge Hour | Enter the time using 2 digit military time (00-23) for the time of inpatient or outpatient discharge. 00-12:00 midnight to 12:59 12- 12:00 noon to 12:59 01- 01:00 to 01:59 13- 01:00 to 01:59 02- 02:00 to 02:59 14- 02:00 to 02:59 03- 03:00 to 03:59 15- 03:00 to 03:59 04- 04:00 to 04:59 16- 04:00 to 04:59 05- 05:00 to 05:59 17- 05:00 to 05:59 06- 06:00 to 06:59 18- 06:00 to 06:59 07- 07:00 to 07:59 19- 07:00 to 07:59 08- 08:00 to 08:59 20- 08:00 to 08:59 09- 09:00 to 09:59 21- 09:00 to 09:59 10- 10:00 to 10:59 22- 10:00 to 10:59 11- 11:00 to 11:59 23- 11:00 to 11:59 | Not Required |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|-------------------|---|-------------------------|
| 17 | Patient Status | <p>Required for inpatient claims. Enter the 2 digit disposition of the patient as of the “through” date for the billing period listed in field 6 using one of the following codes:</p> <p>01 Routine discharge 02 Discharged to another short-term general hospital for inpatient care 03 Discharged to SNF 04 Discharged to ICF 05 Discharged/transferred to a designated cancer center or children’s hospital 06 Discharged to care of home health service organization 07 Left against medical advice 08 Reserved for national assignment 09 Admitted as an inpatient to this hospital (only for use on Medicare outpatient hospital claims) 20 Expired or did not recover 30 Still patient (to be used only when the client has been in the facility for 30 consecutive days if payment is based on DRG) 40 Expired at home (hospice use only) 41 Expired in a medical facility (hospice use only) 42 Expired—place unknown (hospice use only) 43 Discharged/transferred to a federal hospital (such as a veteran’s administration [VA] hospital or VA skilled nursing facility) 50 Hospice—home 51 Hospice—medical facility (includes patient who is discharged from acute hospital care but remains at the same hospital under hospice care) 61 Discharged/transferred within this institution to a hospital-based Medicare approved swing bed 62 Discharged/transferred to an inpatient rehabilitation facility (IRF), including rehabilitation distinct part units of a hospital 63 Discharged/transferred to a Medicare certified long term care hospital (LTCH) 64 Discharged/transferred to a nursing facility certified under Medicaid but not certified under Medicare 65 Discharged/ Transferred to a psychiatric hospital or psychiatric distinct part unit of a hospital 66 Discharged/transferred to a critical access hospital (CAH) 71 Discharged to another institution of outpatient services 72 Discharged to another institution</p> | C |
| 18-28 | Condition Codes | <p>Required when applicable. Condition codes are used to identify conditions relating to the bill that may affect payer processing.</p> <p>Each field (18-24) allows entry of a 2 character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04/CMS 1450 Uniform Billing Manual.</p> | |
| 29 | Accident State | Optional: Accident state | |
| 30 | (Unlabeled Field) | Not used. | |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|--------------|--|--|-------------------------|
| 31-34 a-b | Occurrence Code And Occurrence Date | <p>Occurrence Code: Required when applicable. Occurrence codes are used to identify events relating to the bill that may affect payer processing.</p> <p>Each field (31-34a) allows entry of a 2 character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04/CMS 1450 Uniform Billing Manual.</p> <p>Occurrence Date: Required when applicable or when a corresponding Occurrence Code is present on the same line (31a-34a). Enter the date for the associated occurrence code in MM/DD/YYYY format.</p> <p>Enter the appropriate occurrence code(s) and date(s). Blocks 54, 61,62, and 80 must also be completed as required.</p> <p>Refer to Subsection 6.6.5, Occurrence Codes, in this section. Use one of the following codes if applicable:</p> <p>01 Auto accident/auto liability insurance involved 02 Auto or other accident/no fault involved 03 Accident/tort liability 04 Accident/employment related 05 Other accident 06 Crime victim 10 Last menstrual period 11 Onset of symptoms 16 Date of last therapy 17 Date outpatient OT plan established or last reviewed 24 Date other insurance denied 25 Date benefits terminated by primary payer 27 Date home health plan of treatment was established 29 Date outpatient PT plan established or last reviewed 30 Date outpatient speech pathology plan established or last reviewed 35 Date treatment started for PT 44 Date treatment started for OT 45 Date treatment started for speech language pathology (SLP) 50 Date other insurance paid 51 Date claim filed with other insurance 52 Date renal dialysis initiated</p> | C |
| 35-36 a-b | Occurrence Span Code And Occurrence Date | <p>Occurrence Span Code: Required when applicable. Occurrence codes are used to identify events relating to the bill that may affect payer processing.</p> <p>Each field (31-34a) allows entry of a 2 character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04/CMS 1450 Uniform Billing Manual.</p> <p>Occurrence Span Date: Required when applicable or when a corresponding Occurrence Span Code is present on the same line (35a-36a). Enter the date for the associated occurrence code in MM/DD/YYYY format.</p> <p>For inpatient claims, enter code 71 if this hospital admission is a readmission within seven days of a previous stay. Enter the dates of the previous stay.</p> | C |
| 37 | (Unlabeled Field) | <p>Required for resubmissions or adjustments. Enter the 12 character document control number (DCN) of the original claim. A resubmitted claim MUST be marked using large bold print within the body of the claim form with "resubmission" to avoid denials for duplicate submission.</p> <p>Note: For resubmissions submitted via EDI, the CLM05-3 must be "7" and in the 2300 loop a REF "F8" must be sent with the original claim number.</p> | R |
| 38 | Responsible Party Name and Address | | Not Required |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|-----------------|----------------------------------|---|-------------------------|
| 39-41 a-d | Value Codes Codes and Amounts | <p>Code: Required when applicable. Value codes are used to identify events relating to the bill that may affect payer processing.</p> <p>Each field (39-41) allows entry of a 2 character code. Codes should be entered in alphanumeric sequence (numbered codes precede alphanumeric codes).</p> <p>Up to 12 codes can be entered. All “a” fields must be completed before using “b” fields, all “b” fields before using “c” fields, and all “c” fields before using “d” fields.</p> <p>For a list of codes and additional instructions refer to the NUBC UB-04/CMS 1450 Uniform Billing Manual.</p> <p>Amount: Required when applicable or when a Value Code is entered. Enter the dollar amount for the associated value code. Dollar amounts to the left of the vertical line should be right justified. Up to 8 characters are allowed (i.e. 199,999.99). Do not enter a dollar sign (\$) or a decimal. A decimal is implied. If the dollar amount is a whole number (i.e. 10.00), enter 00 in the area to the right of the vertical line.</p> <p>Accident hour: For inpatient claims, if the patient was admitted as the result of an accident, enter value code 45 with the time of the accident using military time (00 to 23). Use code 99 if the time is unknown.</p> <p>For inpatient claims, enter value code 80 and the total days represented on this claim that are to be covered. Usually, this is the difference between the admission and discharge dates. In all circumstances, the number in this block is equal to the number of covered accommodation days listed in Block 46.</p> <p>For inpatient claims, enter value code 81 and the total days represented on this claim that are not covered.</p> <p>The sum of Blocks 39–41 must equal the total days billed as reflected in Block 6.</p> | C |
| General | Revenue Codes and Description | <p>For inpatient hospital services, enter the description and revenue code for the total charges and each accommodation and ancillary provided. List accommodations in the order of occurrence.</p> <p>List ancillaries in ascending order. The space to the right of the dotted line is used for the accommodation rate.</p> <p>NDC: Enter N4 and the 11 digit NDC number (number on packaged or container from which the medication was administered).</p> <p>Optional: The unit of measurement code and the unit quantity with a floating decimal for fractional units (limited to 3 digits) can also be submitted but they are not required. Do not enter hyphens or spaces within this number. Example: N400409231231GR0.025</p> <p>Refer to: Subsection 6.3.4, National Drug Code (NDC), in this section.</p> | C |
| 42 Line 1-22 | Rev CD | <p>Enter the appropriate 4 digit revenue codes itemizing accommodations, services and items furnished to the patient. Refer to the NUBC UB-04/CMS 1450 Uniform Billing Manual for a complete listing of revenue codes and instructions.</p> <p>Enter accommodation revenue codes first followed by ancillary revenue codes. Enter codes in ascending numerical value.</p> | R |
| 42 Line 23 | Rev CD | Enter 0001 for total charges. | R |
| 43 Line 1-22 | Description | <p>Enter a brief description that corresponds to the revenue code entered in the service line of field 42.</p> <p>Qualifier along with NDC, units and base measurement code are required where applicable, compound drug elements.</p> | R |
| 43 Line 23 | Page ___ of ___ | Enter the number of pages. Indicate the page sequence in the “PAGE” field and the total number of pages in the “OF” field. If only one claim form is submitted enter a “1” in both fields (i.e. PAGE “1” OF “1”). | R |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|--------------|-----------------------------------|---|-------------------------|
| 44 | HCPCS/Rates | <p>Required for outpatient claims when an appropriate CPT/HCPCS code exists for the service line revenue code billed. The field allows up to 9 characters. Only one CPT/HCPC and up to two modifiers are accepted. When entering a CPT/HCPCS with a modifier(s) do not use spaces, commas, dashes or the like between the CPT/HCPC and modifier(s). Refer to the NUBC UB-04/CMS 1450 Uniform Billing Manual for a complete listing of revenue codes and instructions. Please refer to your current provider Contract with Superior or to the Texas Medicaid Provider Procedures Manual.</p> <p>Inpatient: Enter the accommodation rate per day. Match the appropriate diagnoses listed in Blocks 67A through 67Q corresponding to each procedure. If a procedure corresponds to more than one diagnosis, enter the primary diagnosis. Each service and supply must be itemized on the claim form. Home Health Services Outpatient claims must have the appropriate revenue code and, if appropriate, the corresponding HCPCS code or narrative description.</p> <p>Outpatient: Outpatient claims must have the appropriate Healthcare Common Procedure Coding System (HCPCS) code. Each service, except for medical/surgical and intravenous (IV) supplies and medication, must be itemized on the claim form or an attached statement.</p> <p>Note: The UB-04 CMS-1450 paper claim form is limited to 28 items per outpatient claim. This limitation includes surgical procedures from Blocks 74 and 74a-e.</p> <p>If necessary, combine IV supplies and central supplies on the charge detail and consider them to be single items with the appropriate quantities and total charges by dates of service. Multiple dates of service may not be combined on outpatient claims.</p> | C |
| 45 Line 1-22 | Service Date | Required on all outpatient claims. Enter the date of service for each service line billed (MM/DD/YY). Multiple dates of service may not be combined for outpatient claims. | C |
| 45 Line 23 | Creation Date | Enter the date the bill was created or prepared for submission on all pages submitted (MM/DD/YY). | R |
| 46 | Service Units | Provide units of service, if applicable. For inpatient room charges, enter the number of days for each accommodation listed. If applicable, enter the number of pints of blood. When billing for observation room services, the units indicated in this block should always represent hours spent in observation. | R |
| 47 Line 1-22 | Total Charges | <p>Enter the total charge for each service line.</p> <p>Note: For multi-page claims enter "continue" on initial and subsequent claim forms. Indicate the total of all charges on the last claim and the page number of the attachment (for example, page 2 of 3) in the top right-hand corner of the form.</p> | R |
| 47 Line 23 | Totals | Enter the total charges for all service lines. | R |
| 48 Line 1-22 | Non-Covered Charges | Enter the non-covered charges included in field 47 for the revenue code listed in field 42 of the service line. Do not list negative amounts. | C |
| 48 Line 23 | Totals | Enter the total non-covered charges for all service lines. | C |
| 49 | (Unlabeled Field) | Not used. | Not Required |
| 50 a-c | Payer | Enter the name for each payer from which reimbursement is being sought in the order of the payer liability. Line A refers to the primary payer, B - secondary and C - tertiary. | R |
| 51 a-c | Health Plan Identification Number | | Not Required |
| 52 a-c | Related Information | <p>Required for each line (A, B, C) completed in field 50, Release of Information Certification Indicator. Enter "Y" (yes) or "N" (no).</p> <p>Providers are expected to have necessary release information on file. It is expected that all released invoices contain "Y".</p> | R |
| 53 | Asg. Ben. | Enter "Y" (yes) or "N" (no) to indicate a signed form is on file authorizing payment by the payer directly to the provider for services. | R |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|---------|---|--|-------------------------|
| 54 | Prior Payments | Enter the amount received from the primary payer on the appropriate line when Medicaid/Superior is listed as secondary or tertiary. | C |
| 55 | Estimated Amount Due | | Not Required |
| 56 | National Provider Identifier or Provider ID | Enter the provider's 10 character NPI ID. | R |
| 57 | Other Provider ID | Enter the TPI number (non NPI number) of the billing provider. | Not Required |
| 58 | Insured's Name | For each line (A, B, C) completed in field 50, enter the name of the person who carries the insurance for the patient. In most cases this will be the patient's name. Enter the name as last name, first name, middle initial. | R |
| 59 | Patient Relationship | | Not Required |
| 60 | Insured's Unique ID | Required: Enter the patient's insurance/Medicaid ID exactly as it appears on the patient's ID card. Enter the insurance /Medicaid ID in the order of liability listed in field 50. | R |
| 61 | Group Name | | Not Required |
| 62 | Insurance Group Number | | Not Required |
| 63 | Treatment Authorization Codes | | Not Required |
| 64 | Document Control Number | Enter the 12 character document control number (DCN), which is the original (corrected) claim number, of the paid health claim when submitting a replacement or void on the corresponding A, B, C line reflecting Superior from field 50. Applies to claim submitted with a Type of Bill (field 4) Frequency of "7" (Replacement of Prior Claim) or Type of Bill Frequency of "8" (Void/Cancel of Prior Claim). * Please refer to appeals/corrected claims section. | C |
| 65 | Employer Name | | Not Required |
| 66 | Dx | | Not Required |
| 67 | Principal Diagnosis Code and Present On Admission (POA) Indicator | Enter the principal/primary diagnosis or condition using the appropriate release/update of ICD-10-CM code(s) for the date of service. Diagnosis code submitted must be a valid ICD-10-CM code for the date of service and carried out to its highest level of specificity – 4 or 5 digit. "E" and most "V" codes are not acceptable as a primary diagnosis. Claims with missing or invalid diagnosis codes will be denied Enter the applicable POA indicator in the shaded area for inpatient claims. Note: All hospital providers are required to submit a POA value for each diagnosis on the claim form and no hospital will be exempt from this POA requirement. Medicare crossover hospital claims with dates of admission on or after September 1, 2012, must also comply with the Medicaid requirement to include the POA values. Claims submitted without the POA indicators will be denied. | R |
| 67 a-q | Other Diagnosis Code and POA Indicator | Enter additional diagnosis or conditions that coexist at the time of admission or that develop subsequent to the admission and have an effect on the treatment or care received using the appropriate release/update of ICD-10-CM code for the date of service. Diagnosis codes submitted must be valid ICD-10-CM code for the date of service and carried out to its highest level of specificity – 4 or 5 digit. "E" and most "V" codes are not acceptable as a primary diagnosis. Claims with incomplete or invalid diagnosis codes will be denied. Enter the applicable POA indicator in the shaded area for inpatient claims. Note: All hospital providers are required to submit a POA value for each diagnosis on the claim form and no hospital will be exempt from this POA requirement. Medicare crossover hospital claims with dates of admission on or after September 1, 2012, must also comply with the Medicaid requirement to include the POA values. Claims submitted without the POA indicators will be denied. | C |
| 68 | (Unlabeled) | Not used. | Not Required |

| Field # | Field Description | Instruction or Comments | Required or Conditional |
|-------------|---------------------------------|--|-------------------------|
| 69 | Admitting Diagnosis Code | Enter the diagnosis or condition provided at the time of admission as stated by the physician using the appropriate release/update ICD-10-CM code(s) for the date of service. Diagnosis codes submitted must be valid ICD-10-CM code(s) for the date of service and carried out to its highest level of specificity – 4 or 5 digit. “E” codes and most “V” are not acceptable as a primary diagnosis. Claims with missing or invalid diagnosis codes will be denied. | R |
| 70 a,b,c | Patient Reason Code | Enter the ICD-10 code that reflects the patient’s reason for visit at the time of outpatient registration. 70a requires entry, 70b-70c are conditional. Diagnosis codes submitted must be a valid ICD-10-CM code for the date of service and carried out to its highest digit – 4 or 5. “E” codes and most “V” are not acceptable as a primary diagnosis. Claims with missing or invalid diagnosis codes will be denied. | R |
| 71 | PPS / DRG Code | | Not Required |
| 72 a,b,c | External Cause Code | These fields are not required, however if entering codes in these fields, enter additional diagnosis or conditions that coexist at the time of admission or that develop subsequent to the admission and have an effect on the treatment or care received using the appropriate release/update of ICD-10-CM code for the date of service. Diagnosis codes submitted must be valid ICD-10-CM code for the date of service and carried out to its highest level of specificity – 4 or 5 digit. “E” and most “V” codes are not acceptable as a primary diagnosis. Claims with incomplete or invalid diagnosis codes will be denied. Enter the applicable POA indicator in the shaded area for inpatient claims. Note: All hospital providers are required to submit a POA value for each diagnosis on the claim form and no hospital will be exempt from this POA requirement. Medicare crossover hospital claims with dates of admission on or after September 1, 2012, must also comply with the Medicaid requirement to include the POA values. Claims submitted without the POA indicators will be denied. | Conditional |
| 73 | (Unlabeled) | | Not Required |
| 74 | Principal Procedure Code / Date | Required on inpatient claims when a procedure is performed during the date span of the bill. Code: Enter the ICD-10-CM procedure code that identifies the principal/primary procedure performed. Do not enter the decimal between the 2nd or 3rd digits of code. It is implied. Date: Enter the date the principal procedure was performed (MM/DD/YY). Required for EDI submissions. | C |
| 74 a-e | Other Procedure Code / Date | Required on inpatient claims when a procedure is performed during the date span of the bill. Code: Enter the ICD-10-CM procedure code(s) that identify a significant procedure(s) performed other than the principal/primary procedure. Up to 5 ICD-10-CM codes may be entered. Do not enter the decimal between the 2nd or 3rd digits of code. It is implied. Date: Enter the date the principal procedure was performed (MM/DD/YY). | C |
| 75 | (Unlabeled) | | Not Required |

6.6.3 UB-04 CMS-1450 Blank Paper Claim Form

UB-04 CMS-1450

A clean claim is a claim submitted on an approved or identified claim format (CMS or UB) that contains all data fields required by Superior and HHSC for final adjudication of the claim. The required data fields must be complete and accurate. A clean claim must also include health plan published requirements for adjudication, such as Medicaid number, TIN number, NPI and taxonomy or medical records as appropriate.

Electronic Funds Transfers and Electronic Remittance Advices

Superior provides electronic funds transfer (EFT) and electronic remittance advice (ERA) to participating providers to help reduce costs, improve speed for secondary billings and improve cash flow by enabling online access of remittance information and straight forward reconciliation payments. As a provider, you can gain the following benefits from using EFT and ERA:

- **Reduce accounting expenses** - ERAs can be imported directly into practice management or patient accounting systems, eliminating the need for manual re-keying.
- **Improve cash flow** - Electronic payments mean faster payments, leading to improvements in cash flow.
- **Maintain control over bank accounts** - Keep total control over the destination of claim payment funds, plus multiple practices and accounts are supported.
- **Match payments to advices quickly** - Associate electronic payments with ERAs quickly and easily.

For more information on EFT and ERA services, please contact PaySpan®, our electronic billing partner, at 1-877-331-7154 or at providersupport@payspanhealth.com.

Payment/Accrual of Interest by Superior

Payment and accrual of interest is reviewed and determined on a case by case basis. If deemed to be eligible for interest, the interest payment will be calculated daily for the full period in which the clean claim remains adjudicated beyond the thirty (30) day claims processing timeframe for a clean claim.

How to Find a List of Prior Authorization (PA) Required Services and Codes

Providers can reference the Superior website for PA requirements. The prescreen tool can be found at <http://www.SuperiorHealthPlan.com/for-providers/pre-auth-needed/medicaid-pre-auth-needed/>.

Providers will need to pick a health plan, answer the questions by selecting the radio buttons and enter procedure code for auth requirement.

Additional Information for STAR+PLUS Claims and Encounters Administration

Claims Filing

Long Term Services and Supports Claims Filing

All providers rendering LTSS services, with the exception of atypical providers, must use the CMS 1500 Claim Form or the HIPAA 837 Professional Transaction when billing claims. Atypical providers are LTSS providers that render non-health or non-medical services to STAR+PLUS members. Examples include pest control services and building and supply services. Atypical providers will submit appropriate documentation to Superior to accurately populate an 837 Professional Encounter.

Providers will bill and report LTSS in compliance with the STAR+PLUS LTSS Health Care Common Procedure Codes (HCPC) and STAR+PLUS Modifiers Matrix (Matrix). The uniform billing requirements and billing Matrix can be found in the STAR+PLUS Handbook Appendices at <https://hhs.texas.gov/laws-regulations/handbooks/starplus-handbook>.

LTSS providers must use the designated position of the modifiers as indicated on the matrix when filing claims.

Nursing Facility Claims Filing

For complete Nursing Facility Claims Filing guidelines for Superior STAR+PLUS members, see the Superior Nursing Facility Provider Manual posted at www.SuperiorHealthPlan.com.

Providers Using Paper CMS 1500

Providers billing on paper will provide complete information about the service event and will use the HHSC state assigned provider identification (provider ID) or NPI and taxonomy to represent the provider(s) involved in the service event. The provider ID or NPI (billing and/or rendering) will be located in block 33 on the paper form.

For providers billing NPI, taxonomy code should be located in block 24J.

If the billing provider and the rendering provider are the same, then the provider ID or NPI will be populated in block 33 and 24 ZZ qualifier, 24 (Ja) (ZZ) taxonomy (Jb) NPI.

If the rendering provider is different than the billing provider, then the billing provider ID or NPI will be populated in block 33, and the rendering provider ID or NPI will be populated in block 24 (Ja) (ZZ) taxonomy (Jb) NPI.

Under specific scenarios, the additional usage of block 17, name, 17a (ZZ) taxonomy, 17b NPI a (referring provider), and block 24 J can be used to report additional information on providers that are involved in the service event.

Providers Using the Electronic HIPAA 837

Providers billing electronically will comply with HIPAA 837 guidelines including the accurate and complete conveyance of information pertaining to the provider(s) involved in the service event.

Attendant Care Enhanced Payment Methodology

LTSS providers contracted with Superior may participate in the STAR+PLUS attendant care enhanced payment program if they currently participate in the attendant compensation rate enhancement program with DADS. The following LTSS services are eligible for enhanced payments:

- Personal Assistant Services (PAS) both waiver and non-waiver.
- Day Activity and Health Services (DAHS).
- Assisted Living and Residential Care Services (ALRC).
- Habilitation (under CFC).

Superior will reimburse providers at the same participation level as they are assigned by DADS. Superior will increase the fee schedules for the codes included in the enhancement program for Superior contracted providers who are contracted to participate in Superior's Attendant Care Enhanced Payment Program. For providers who are enrolled and subsequently do not continue participation in DADS, the level will remain the same throughout the duration of their participation in the program.

For assisted living facilities that do not hold a DADS contract, Superior will establish an additional amount to be added on to the unit rates by type of service. If based upon Superior's review of quality measures and determines a change to the provider's level, Superior will supply appropriate advance notice to such providers.

There are two (2) distinct processes that encompass Superior's Rate Enhancement Program which is in place for participating providers. Non-participating providers cannot participate in rate enhancement through Superior. These processes are Annual Attestation and Rate Level Changes.

Annual Attestation Process

Annually, Superior conducts outreach to providers in its Rate Enhancement Program to obtain a notarized affidavit attesting to their participation in the Rate Enhancement Program for STAR+PLUS and the pass through of enhanced payments to their direct care staff. Towards the end of each year, these providers will be asked to submit a new attestation for the following calendar year.

Each affidavit is effective for a specific calendar year. However, any affidavit received on or after September 1, will be processed for both the current and upcoming calendar year.

Providers who contract during the plan year, and are participating in rate enhancement, should submit an affidavit that would be good for the existing plan year.

Rate Level Changes

Providers may communicate changes to their rate enhancement level at any time during the year. For providers that are assigned a new participation level by DADS for PAS or DAHS services, these providers must submit the updated level in writing to Superior requesting a change in participation level.

Superior will verify new participation level using the list as published on the HHSC website under the Attendant Compensation Rate Enhancement webpage. All rate enhancement level changes are effective the month following the month the notice was provided to Superior. Rate enhancement level changes are made prospectively, and will not be made retrospectively.

Please note:

Without an affidavit on file, Superior cannot process a rate change. Providers will need to submit an affidavit with their level change for the remaining plan year, if there is none on file. Please refer to Attachment X.

SECTION 11

ADVERSE DETERMINATIONS, ACTIONS AND APPEALS

Superior's Utilization Management program outlines the process the member, a member's authorized representative or a provider must follow when a covered service is denied. A denial or reduction of services, called an adverse determination (CHIP) or action (Medicaid), is a reduction, suspension, denial or termination of any service based on medical necessity or benefit limitations. The information below outlines this process.

Adverse Determinations

If the request for a service is denied for not meeting medical necessity criteria, it is considered an adverse determination. Superior will make its best efforts to obtain all necessary information, including pertinent clinical information, and consult with the treating physician as appropriate in making utilization management determinations. When the member is ineligible, has exceeded annual benefit limits as specified in the member's schedule of benefits, requested services excluded from the benefits package, has no prior authorization on file, or provided late notification, an administrative denial will be issued (a denial for non-clinical reasons).

A medical director will review all potential medical necessity denials and render a final decision. Authorizations for medications may be reviewed by a pharmacist. The review may include a discussion with the ordering physician in order to obtain any information that may not have been submitted with the request. If the final decision is to deny the service request, then a denial is rendered. Superior will notify the member and ordering provider (and PCP for CHIP) of the denial in writing. The notification describes the services that are being denied, the steps a member or authorized member representative can take to appeal the decision and how to access subsequent steps of the appeal process which includes a fair hearing for Medicaid and Independent Review Organization (IRO) request for CHIP.

Peer-To-Peer Discussion

A peer-to-peer discussion is available to the ordering physician at any time during the prior authorization, denial or appeal process. For CHIP members, the opportunity for a peer-to-peer discussion will be offered prior to issuing an adverse determination. For Medicaid members, a peer-to-peer discussion will be offered at the time of an adverse determination.

Contractual and Non-Covered Benefit Denials

Contractual Denials

Contractual (administrative) denials are not considered adverse determinations or actions. As a result, contracted providers have complaint rights, which include, but are not limited to:

- Denials for failure to obtain prior authorization.
- Denials for failure to notify Superior of a hospital admission within stated time frames.

Providers have thirty (30) days from the date of the contractual denial to file a complaint. The complaint must be received in writing within thirty (30) calendar days from the date of notification of the contractual denial. If the complaint related to a contractual denial is not received within thirty (30) calendar days, the provider forfeits the right to file a complaint to reconsider the contractual denial.

The complaint should include information that provides proof of the provider's good faith attempt to obtain prior authorization, timely notification of a facility admission, or any other information that may be relevant to the processing of the complaint.

Superior will submit a Complaint Acknowledgment Letter within five (5) business days of receipt of the complaint at the plan. Superior has thirty (30) calendar days to review a complaint and provide a resolution.

If the provider does not agree with the complaint resolution, they have thirty (30) days to file a complaint appeal. If the complaint appeal is not received within thirty (30) calendar days, the provider forfeits the right to file a complaint appeal to reconsider the complaint resolution.

Non-Covered Benefit Denials

Services which are denied as being excluded from the member's benefit package are considered non-covered benefit denials. Pre-service denials for a request for services from a non-contracted Superior provider are considered non-covered benefit denials if there is not a medically necessary reason for the request for services through a non-contracted provider.

Medicaid and CHIP members can file a complaint as result of a benefit denial.

Spell of Illness General Appropriations Act, S.B. 1, 83rd Legislature, Regular Session, 2013 (Article II, Health and Human Services Commission, Rider 51), required STAR+PLUS Managed Care Organizations to implement the fee-for-service thirty (30) day inpatient spell of illness policy, effective September 1, 2013.

Spell of illness refers to thirty (30) days of inpatient hospital care, which may accrue intermittently or consecutively. After thirty (30) days of inpatient care is provided, reimbursement for additional inpatient care is not considered until the member has been out of an acute care facility for sixty (60) consecutive days.

Exceptions to the spell of illness are as follows:

- A prior approved solid organ transplant. The thirty (30) day spell of illness for transplants begins on the date of the transplant, allowing additional time for the inpatient stay.
- Texas Health Steps-eligible clients who are twenty (20) years of age and younger when a medically necessary condition exists.
- Applicable diagnoses exempt from the spell of illness limitation include the following as described in the DSM-V (parenthetical codes are corresponding ICD-10 codes): Schizophrenia (F20), Schizoaffective disorder (F25), Schizophreniform (F20), Bipolar I and Bipolar II Disorder (F31) with any severity or status, and Major Depressive Disorder (F32 and F33) with any variation or subtype. However, the diagnosis must be a specific condition rather than a general behavioral health condition. For example, MCOs are not required to exempt "unspecified" or "not classified" diagnoses. Examples of diagnoses that are unspecified include (but are not limited to) F31.9 (bipolar disorder, unspecified), F20.9 (schizophrenia, unspecified type), F20.89 (other specified types of schizophrenia, unspecified).

Member Advocate

Superior has designated member advocates who can assist a member or their representative through the denial and appeals process, the adherence to timelines and their rights as an appellant. To speak with a member advocate call the Member Services Department and ask to speak with a member advocate at the numbers listed below:

| | |
|---------------------|----------------|
| STAR and CHIP | 1-800-783-5386 |
| STAR+PLUS..... | 1-866-516-4501 |
| STAR Kids..... | 1-844-590-4883 |
| STAR Health | 1-866-912-6283 |

Standard Appeals

Medicaid Member Appeals

Medicaid members have the right to appeal a decision when they believe the requested services are necessary. Medicaid members, a person acting on their behalf with the member’s written consent, or their physician or other health care provider may request an appeal of an adverse determination. All STAR, STAR+PLUS, STAR Kids and STAR Health standard appeal requests (including verbal requests) must be signed by the member or the member’s authorized representative, unless an expedited appeal is requested.

Medicaid appeal requests must be received within sixty (60) calendar days from the date of notification of the adverse determination. Superior will acknowledge a standard appeal request within five (5) business days of receipt at the plan. The standard appeal process must be completed within thirty (30) calendar days. Any additional information that may be used in consideration of the appeal must be submitted to Superior, within the requested timeframe.

Medicaid members, or their authorized representative, may request an extension of the appeal time frame, for an additional fourteen (14) days, if they feel an extension would be in their best interest. If Superior does not agree with the extension, a letter will be sent to the member. Superior can also request an extension by contacting the appellant, informing them of the reason for the request for an extension and indicating why the extension would be in the best interest of the member. Superior’s request for an extension will be confirmed in writing to the member or member’s authorized representative.

A physician, who was not involved in any previous level of review or decision making and who has appropriate clinical expertise in treating the member’s condition or disease, will review and render a decision on the appeal. An appeal resolution letter will be mailed to the member or member’s authorized representative with the appeal decision. If the final decision is adverse to the member, the member may be required to pay the cost of services furnished while the appeal was pending.

STAR, STAR+ PLUS, STAR Kids and STAR Health members or a person acting on their behalf with their written consent, who disagree with the appeal decision, have the right to ask for a State fair hearing from the HHSC. See information in this section on fair hearings.

Provider Appeal Process to HHSC (related to claim recoupment due to member disenrollment)

Provider may appeal claim recoupment by submitting the following information to HHSC:

- **A letter indicating that the appeal is related to a managed care disenrollment/recoupment and that the provider is requesting an Exception Request.**
- **The Explanation of Benefits (EOB) showing the original payment.** Note: This is also used when issuing the retro-authorization as HHSC will only authorize the Texas Medicaid and Healthcare Partnership (TMHP) to grant an authorization for the exact items that were approved by the plan.
- **The EOB showing the recoupment and/or the plan's "demand" letter for recoupment.** If sending the demand letter, it must identify the client name, identification number, DOS and recoupment amount. The information should match the payment EOB.
- **Completed clean claim.** All paper claims must include both the valid NPI and TPI number. Note: In cases where issuance of a prior authorization (PA) is needed, the provider will be contacted with the authorization number and the provider will need to submit a corrected claim that contains the valid authorization number. Mail appeal requests to:

Texas Health and Human Services Commission
HHSC Claims Administrator Contract Management
Mail Code-91X
P.O. Box 204077
Austin, Texas 78720-4077

Continuing Services

To continue services, the appeal must involve the termination, suspension or reduction of a previously authorized course of treatment and have been ordered by an authorized provider. If the decision is upheld and services are continued, the member may be financially responsible for the charges.

- You must submit a request for an appeal on or before the later of ten (10) days from the date of the original denial letter, or the day your service will be reduced or end.
- The time period covered by the original authorization must not have ended.
- The member or his or her representative requests an extension of these benefits.

If the above are met, the services will continue until any of the following happen:

- You cancel the appeal;
- Your appeal is denied; or
- The time period covered by the original authorization has ended.

CHIP Member Appeals

CHIP members, a person acting on their behalf with the member's written consent, or their physician or other health care provider may request an appeal of an adverse determination. The adverse determination may be appealed verbally or in writing. Every verbal appeal received must be confirmed by a written, signed appeal by the member or his or her representative, unless an expedited appeal is requested.

CHIP appeal requests must be received within ninety (90) calendar days of the date of the denial letter. Superior will acknowledge a standard appeal request within five (5) business days of receipt of the request at Superior. The standard appeal process must be completed within thirty (30) calendar days. Any additional information that may be used in consideration of the appeal must be submitted to Superior, within the requested timeframe.

A physician, who was not involved in any previous level of review or decision-making and who has appropriate clinical expertise in treating the member's condition or disease, will review and render a decision on the appeal. An opportunity for a peer-to-peer discussion will be offered prior to issuing an appeal resolution. An appeal resolution letter will be mailed to the appellant with the appeal decision. If the final decision is adverse to the member, the member may be required to pay the cost of services furnished while the appeal was pending.

The member's health care provider may state, in writing if no later than the tenth (10th) working day after appeal is denied, good cause for having a particular type of specialty provider review the case. At which time a health care provider of the same or similar specialty as the condition, procedure, or treatment under consideration shall review the decision of denial. If requested, a specialty review will be completed within fifteen (15) working days of the request and a resolution letter will be mailed to the member and requesting provider.

CHIP members, a person acting on their behalf with their written consent, or their physician or other health care provider can request review of an adverse determination by an Independent Review Organization (IRO) if an appeal decision is made to uphold the denial of services.

CHIP members, a person acting on their behalf with the member's written consent, or their physician or other health care provider, can request an immediate review of an adverse determination by an Independent Review Organization without first filing an appeal with Superior in a circumstance involving a member's life-threatening or urgent condition or when an appeal is not resolved in a timely manner. See information in this section regarding IRO's. In an instance where a CHIP member sees to a non-participating provider, they are responsible for payment (for partial or whole services). The member would have to file a complaint and go through the complaint and then complaint appeals process. For more information, review Section 15 - Complaint Procedures.

Continuing Services

In order for the member to continue services, the appeal must involve the termination, suspension, or reduction of a previously authorized course of treatment and have been ordered by an authorized provider. If the decision is upheld and services are continued, the member may be financially responsible for the charges.

- You must submit a request for an appeal on or before the later of ten (10) days from the date of the original denial letter, or the day your service will be reduced or end.
- The time period covered by the original authorization must not have ended.
- The member or his or her representatives requests an extension of these benefits.

Expedited Appeals

Medicaid Member Expedited Appeals

If Superior denies a request to process an appeal as an expedited appeal, Superior will transfer the appeal to the standard appeal timeframe of 30 days and make a reasonable attempt to give the member or the member's authorized representative prompt oral notice followed by a written notice within two (2) days. Medicaid members,

a person acting on their behalf with the member's written consent, or their physician or other health care provider may request an expedited appeal of an adverse determination if waiting thirty (30) days for a standard resolution could seriously jeopardize the member's life or health. Expedited appeal requests may be submitted verbally or in writing. Superior's member advocate can also help a member file an expedited appeal. Medicaid members must request an expedited appeal within thirty (30) days from the date of the denial letter. Additional information that may be used in the reconsideration of the denial may be submitted to Superior by the member, their authorized representative or health care provider.

The appellant or member may request an extension of the expedited appeal timeframe, for an additional fourteen (14) days, if they feel an extension would be in the best interest of the member. If Superior does not agree with the extension, a letter will be sent to the member. Superior can also request an extension by contacting the appellant, informing them of the reason for the request for an extension and indicating why the extension would be in the best interest of the member. Superior's request for an extension will be confirmed in writing to the member or member's authorized representative.

A physician who was not involved in any previous level of review or decision making, and who has appropriate clinical expertise in treating the member's condition or disease, will review and render a decision on the expedited appeal.

An appeal resolution letter will be mailed to the member or member's authorized representative with the expedited appeal decision. An expedited appeal for emergency care, or continued hospitalization, will be resolved and notification sent of the resolution within one (1) business day, but no later than three (3) calendar days of the request. Expedited appeals that are not for emergency care or continued hospitalization will be resolved within three (3) calendar days of the request. If Superior's decision is to uphold the denial, the member or authorized representative can request an expedited fair hearing. See information in this section about fair hearings.

CHIP Member Expedited Appeals

CHIP members, a person acting on their behalf with the member's written consent or their physician or other health care provider, may request an expedited appeal of an adverse determination if waiting thirty (30) calendar days for a standard resolution could seriously jeopardize the member's life or health. Expedited appeal requests may be submitted verbally or in writing.

CHIP members must request an expedited appeal within ninety (90) calendar days from the notification date of the denial letter. Additional information that may be used in the reconsideration of the denial may be submitted to Superior by the member, their authorized representative, or a health care provider.

A physician who was not involved in any previous level of review or decision making and who has appropriate clinical expertise in treating the member's condition or disease will review and render a decision on the expedited appeal.

An appeal resolution letter will be mailed to the member or member's authorized representative with the expedited appeal decision. An expedited appeal for emergency care or continued hospitalization will be resolved and notification sent of the resolution within one (1) business day, but no later than three (3) calendar days of the request. Expedited appeals that are not for emergency care or continued hospitalization will be resolved within three (3) calendar days of the request. If Superior's decision is to uphold the denial, the member or authorized representative can request an independent review.

External Appeals

State Fair Hearings

If a member disagrees with the Superior's decision, the member has the right to ask for a fair hearing after Superior's appeal process. A provider may be the member's representative. The member or the member's representative must ask for the fair hearing within 120 calendar days of Superior's decision to deny the member's appeal. If the member does not ask for the fair hearing within 120 calendar days, the member may lose his or her right to a fair hearing.

To ask for a fair hearing, the member or the member's representative should contact the health plan at:

Superior HealthPlan
ATTN: Fair Hearings Coordinator
5900 E. Ben White Blvd.
Austin, TX 78741
1-877-398-9461

If the member asks for a fair hearing by the later of ten (10) calendar days from the date the appeal was denied, or the day the health plan's letter says the service will be reduced or end, the member has the right to keep getting any service the health plan denied, at least until the final hearing decision is made. If the member does not request a fair hearing by this date, the service the health plan denied will be stopped.

If the member asks for a fair hearing, the member will get a packet of information letting the member know the date, time and location of the hearing. Most fair hearings are held by telephone. At that time, the member or the member's representative can tell why the member needs the service the health plan denied. HHSC will give the member a final decision within ninety (90) calendar days from the date the member asked for the hearing.

State Expedited Fair Hearings

Medicaid members, or their authorized representatives, may request an expedited fair hearing if they believe that waiting for a standard fair hearing could seriously jeopardize the member's life or health. In order to qualify for an expedited fair hearing, the member must first complete Superior's expedited appeal process.

An expedited fair hearing may be requested verbally by calling Superior or by completing the Fair Hearing Form, and attaching the denial letter or the appeal resolution letter, and sending to Superior. Verbally-expedited fair hearing requests must be confirmed in writing and signed by the member or the member's authorized representative.

CHIP Independent Review Organization Appeals

An Independent Review Organization (IRO) is an external medical review organization that the Texas Department of Insurance (TDI) randomly chooses to review the health plan's denial of a service the member and provider feel is medically necessary. This organization has no affiliation with the health plan or provider. There is no cost to the member for this independent review.

CHIP members, a person acting on their behalf with the member's written consent, or their physician or other health care provider may request an independent review if their appeal of an adverse determination is denied. CHIP members, a person acting on their behalf with the member's written consent, or their physician or other health

care provider can request an immediate review of an adverse determination by an IRO without first filing an appeal with Superior in a circumstance involving a member's life-threatening or urgent condition and if the appeal is not resolved in a timely manner.

To request an IRO, the member or authorized representative must use the Request for a Review by an Independent Review Organization Form that is sent along with the denial letter or appeal resolution letter. This form needs to be completed, signed by the member or member's authorized representative and sent to Superior. Superior will send the request to TDI.

TDI will assign a random IRO within one (1) working day. TDI will let the member and Superior know the name of the independent reviewer. Superior will send all of the records related to the case to the IRO. Records will be sent to the IRO no later than the third (3rd) working day from the date of the member's request for an IRO.

The IRO has twenty (20) calendar days to make a decision for non life-threatening cases and eight (8) calendar days to make a decision for life-threatening cases. The IRO will send the member and Superior a letter that provides the final decision on the case. Superior is bound to comply with the decision of the IRO.

If the ruling is in favor of the member, Superior must take action on the notice and provide authorization or coordinate the services after receiving the IRO resolution notice.

Contact Information

Denial letters are sent to members and providers which include the clinical basis for the denial and a full explanation of the member appeal rights. To request an appeal, State fair hearing, or CHIP independent review, the member, member's authorized representative or provider may call or write Superior at:

Superior HealthPlan
Attn: Appeals/Denials Coordinator
5900 E. Ben White Blvd.
Austin, TX 78741
Phone: 1-877-398-9461
Fax: 1-866-918-2266

Please note, Superior maintains all documentation (fax, electronic and telephonic) related to the receipt and response of appeals.

SECTION 12

QUALITY IMPROVEMENT

Quality Assessment and Performance Improvement Program

Superior is committed to the provision of a well-designed and well-implemented Quality Assessment and Performance Improvement (QAPI) Program. Superior's culture, systems and processes are structured around its mission to improve the quality of services delivered to our providers and to our members. The purpose of the QAPI Program is to plan, implement and monitor ongoing efforts that demonstrate improvements in member safety, overall health and care experience.

Superior is accredited by the National Committee for Quality Assurance (NCQA), an independent, not-for-profit organization dedicated to improving health-care quality. The NCQA seal is a widely recognized symbol of quality. NCQA health plan accreditation surveys include rigorous, on-site and off-site evaluations of over 60 standards and selected HEDIS measures. A national oversight committee of physicians analyzes the survey findings and assigns an accreditation level based on the performance level of each plan being evaluated to NCQA's standards. This recognition is the result of Superior's long-standing dedication to provide quality health care service and programs to our members. Superior requires all practitioners and providers to cooperate with all Quality Improvement (QI) activities, maintain the confidentiality of member information and records, as well as allow Superior to use practitioner and/or provider performance data to ensure success of the QAPI Program.

Goals and Objectives

The following are Superior's goals and objectives for its QAPI Program:

- **Safety** - Care doesn't harm members.
- **Member Experience** - Members feel valued.
- **Efficiency** - Resources are used to maximize quality and minimize waste.
- **Eliminating Disparities** - Quality care is reliably received regardless of geography, income, language or diagnosis.

In support of the QAPI Program, the QI Department monitors the quality of health care services provided to Superior members, addressing two basic areas:

- Quality of service; and
- Quality of care.

To monitor the quality of services you provide to Superior's members, the QI Department reviews the availability of appointments for emergencies, urgent care and preventive care. Superior also monitors availability for after-hours calls from members, as well as how satisfied members are with services provided by you and your office staff.

To monitor quality of service, Superior's QI Department may assess:

- Satisfaction levels from Superior providers and members utilizing both satisfaction surveys and complaints.
- Turn-around time in responding to provider issues.
- Appropriate claims payment and adjustment timeframes.
- Customer service performance with incoming provider calls.

To monitor quality of care, Superior's review processes may include:

- Review and distribution of practice guidelines for diseases and conditions most likely to impact Superior's members, as well as pediatric and adult preventive health care guidelines, including compliance with practice guidelines.
- Targeted audits of primary care practices to promote the confidentiality of medical information and compliance with standards for appropriate medical record documentation, when necessary.
- Monitoring and support of communication systems that promote continuity and coordination of care.
- Investigation of potential quality of care complaints, including the tracking and trending of complaints.

Other Program Activities

QI initiatives (clinical and non-clinical Performance Improvement Projects (PIPs), focus studies, medical record audits, etc.) are selected:

- Based on having the greatest potential for improving health outcomes or the quality of service delivered to Superior's members and network providers;
- To test an innovative strategy; and
- To reflect distinctive regional emphasis on populations and cultures.

Superior's PIPs, focused studies and other QI initiatives are selected, designed and implemented in accordance with principles of sound research design and appropriate statistical analysis.

Superior's QAPI program description is posted on the secure portion of the Provider Portal at www.SuperiorHealthPlan.com.

For reporting of quality issues, or if you have questions related to Superior's QAPI Program, you can contact Superior's QI Department at:

Superior HealthPlan
ATTN: Vice President, Quality Improvement
5900 E. Ben White Blvd.
Austin, TX 78741
1-800-218-7453

Participation in the Quality Assessment and Performance Improvement Program

There are several ways that providers can participate in Superior's QAPI Program. You can participate by:

- Volunteering for committee service. Superior has an active Quality Improvement Committee (QIC) structure that is comprised of physician peers. The QIC and its subcommittees provide the voice of the provider in determining the current community standard of care and in providing direction to the plan on clinical and non-clinical issues that are most relevant to Superior's members. Stipends are usually provided for attendees.
- Being vocal. We are here to help you. If there is a problem we do not know about, Superior wants to hear why you are not happy with the plan, as well as your suggestions for how to fix the problem. Superior would also like to hear about things we do well, to model other processes after our successes.
- Responding to surveys and requests for information. If we do not hear your opinion, it cannot be a factor in our decision making.

The Quality Improvement Committee

This committee is an important link between Superior and its network providers. The QIC is comprised of contracted providers representing most geographic areas and a variety of specialties. Superior's Chief Medical Director appoints providers to the committee. Once appointed, members are asked to serve a minimum of one year.

This committee advises the plan regarding proposed quality improvement activities and projects, evaluates the design as well as the results of clinical studies, reviews and approves clinical practice and preventive health care guidelines and oversees the activities of the Utilization Management Committee (UMC). The QIC also serves as the Peer Review Committee (PRC) when reviewing significant quality of care issues involving network providers.

The Utilization Management Committee

The UMC is a subcommittee of the QIC. This committee focuses on evaluation and monitoring of the Utilization Management Program and includes review of criteria used for decision making as well as oversight of the denial and appeal processes. This committee reviews specific issues related to over- and under-utilization and assists in the development of interventions or processes to improve the appropriateness of services available to and received by Superior's members.

Committee Meeting Schedules

The QIC and UMC meet every other month, on alternating months. Meetings are scheduled at a time agreed upon by the committee members and generally last one hour. Meetings are held at the Austin Superior office. Those members unable to easily travel to the Austin location may participate by telephone.

If you have an interest in taking an active role on the QIC or UMC, please contact Provider Services.

Provider Profiling

In accordance with our HHSC contract, Superior adopted a formal profiling process as a tool to partner with PCPs, high-volume specialists and hospitals to improve care and services provided to Superior members. The profiling process is intended to increase provider awareness of his or her performance, identify areas for process improvement and expand opportunities for Superior to work closely with providers in development, implementation and ongoing monitoring of site-based practice performance improvement initiatives. The Chief Medical Director has final authority and responsibility for the provider profiling program.

Program Goals

The following are Superior's goals for the provider profiling program:

- Increase provider awareness of performance in areas identified as key indicators.
- Motivate providers to establish measurable performance improvement processes in their practice sites relevant to Superior's member populations.
- Identify the best practices of high-performing providers by comparing findings to the state average, other providers of the same type and (when possible) other comparable data.
- Increase opportunities for Superior to partner with providers to achieve measurable improvement in health outcomes.

Program Objectives

The following are Superior's objectives for the provider profiling program:

- Produce and distribute provider-specific reports containing meaningful, reliable and valid data for evaluation by the plan monthly for PCPs, and annually for acute care hospitals and high-volume OB/GYNs and specialists.
- Establish and maintain an open dialog related to performance improvement initiatives with identified providers.

Program Scope

Superior's provider profiling program includes monthly review of high-volume PCPs and annual reviews of high-volume OB/GYNs, specialists and acute care hospitals.

On average, high-volume providers deliver services to seventy percent (70%) of Superior's membership. High-volume providers who participate in the STAR, STAR+PLUS, STAR Health (foster care) and CHIP programs are included in the profiling activities.

PCP Provider Profiling Process

Superior provides PCP's monthly data through the 3M Health Information Systems (HIS) dashboard which provides insight into actual patterns of care of their patients. 3M HIS provides data analytics to transform healthcare. 3M uses Superior claims data, risk adjusted, to provide providers with detail on, as available, gaps in care, emergency department information, inpatient admissions and readmissions, PCP visits for the providers attributed members and potentially preventable events. The 3M Potentially Preventable Events (PPE) logic is included in the dashboard and provides a dynamic information for providers and Superior to understand and manage patients at risk of PPE.

Further, 3M has a Value Index Score that captures provider quality in six domains: chronic and follow-up care, primary and secondary prevention, tertiary prevention, continuity of care, population health status and patient experience.

High-Volume OB/GYN, High-Volume Specialists and Acute Care Hospital Provider Profiling Process

High-volume OB/GYNs, specialists and hospitals are identified annually by Superior. The behavioral health specialist provider practice overview process is managed by Superior. Specific inclusion criteria are outlined in Table 14-1.

Table 14-1- Provider Profiling Applicability

| Provider Type | Criteria | Data Source |
|-------------------------|--|--------------|
| High-Volume OB/GYNs | OB/GYN groups who served 50 or more members during the reporting year. | Claims data. |
| High-Volume Specialists | Specialists who served 50 or more members during the reporting year. | Claims data. |
| Acute Care Hospitals | Hospitals with 100 or more admissions during the reporting year. | Claims data. |

When evaluating inclusion criteria or claims, the provider’s total experience in all program types is used. Providers may be included in the profiles individually or as part of a group or system. Determination of providers included in the provider profiling process is the joint responsibility of select staff from the Quality Improvement, Medical Management and Account Management Departments.

All indicators are reviewed and approved by the QIC annually. Additionally, Superior disseminates all approved inclusion criteria, indicators and performance benchmarks to providers through the Provider Portal before each measurement cycle. All indicators selected for inclusion in the process must have the following characteristics:

- Indicator data must be reliable and valid.
- Reliable comparative data must be available.
- Indicator topics must be meaningful to the provider, the plan and the membership.
- The provider must have the capability to effect improvement in performance.

Once identified, Superior will continue reporting indicators over multiple cycles to identify measurable performance improvement at both the system and provider levels.

Quality Indicator Data Source

The analytical software that is used by Superior applies the concept of a peer definition to make comparisons. All peer definitions start with a specialty designation and include all providers of the same specialty for purposes of comparison. Thus, for the set of episodes or population a provider is attributed to, their performance is compared to all participating same specialty providers in Superior’s provider database.

Superior uses evidence-based medicine rules that can be measured in claims. These apply at the member level. Performance is determined by comparing the compliance rate for the quality rules attributed to a provider to the compliance rate of the other providers in the peer definition for that exact same mix of attributed rules. A quality index is calculated by dividing a provider's compliance rate for the attributed rules by the compliance rate for the exact same mix of rules by their peers. Thus, an index greater than one (1) would indicate that a compliance rate is greater than peers for the exact mix of attributed rules.

Provider Profile Analysis

Aggregate data on provider profiles is analyzed by the Superior's QIC. Select staff from the Quality Improvement, Medical Management and Account Management departments analyzes individual data. Analysis includes identification of outliers, generally defined as those providers in the top and bottom five percent (5%) of the aggregate scoring for their peer group.

Provider Practice Profiles in Recredentialing

A copy of each provider profile may be utilized as the quality report in the provider recredentialing process and may be filed with select credentialing files.

Provider Profile Distribution

The PCP profile is refreshed monthly and available through the provider's assigned log-in to the 3M HIS portal. The High-Volume OB/GYN, Specialist and Acute Care Hospital profile is mailed to select providers. Staff from the Provider Performance Unit (PPU) are available to assist with review of performance detailed in the provider profile. The service area Medical Director, Clinical Nurse Liaison and Chief Medical Director may accompany PPU staff in visiting those providers identified as outliers. Standards used to measure the provider are available to the provider.

Practice Guidelines

Superior's Practice and Preventive Health Guidelines are based on the health needs of its membership. Selected guidelines are evidence-based, adopted from recognized sources, and promoted to providers in an effort to ensure healthcare quality and uniformity of care provision to Superior's enrolled members. Superior's QI Department reviews all guidelines annually for updating and/or when new scientific evidence or national standards are published. All guidelines are approved by Superior's Quality Improvement Committee (QIC) biennially and disseminated to providers via the provider e-newsletter, targeted mailings and other media sources. The most up-to-date list of approved guidelines are available on Superior's Provider Portal: www.SuperiorHealthPlan.com.

Superior's Quality Assessment and Performance Improvement (QAPI) Program assures that practice guidelines meet the following:

- Adopted guidelines are approved by Superior's QIC biennially.
- Adopted guidelines are evidence-based and include preventive health services.
- Guidelines are reviewed on an annual basis and updated accordingly, but no less than bi-annually.
- Guidelines are disseminated to providers in a timely manner via the following appropriate communication settings:

- Provider orientations and other group sessions.
- Provider e-newsletters.
- Online via the HEDIS resource page.
- Online via the Provider Portal.
- Targeted mailings.
- Guidelines are posted on Superior’s website or paper copies are available upon request by contacting

Superior’s Quality Improvement Department at:

Superior HealthPlan
 ATTN: VP, Quality Improvement
 5900 E. Ben White Blvd.
 Austin, TX 78741
 1-800-218-7453

Office Site Survey

Superior’s Quality Improvement Committee (QIC) has adopted guidelines for office sites. A copy of the office site survey is included as Attachment P to this manual.

Superior may conduct a site visit to the office of any physician or provider at any time for cause. Superior will conduct the site visit to evaluate any complaints or other precipitating events, which may include an evaluation of any facilities or services related to the complaint and an evaluation of any/all of the following:

- Physical accessibility (provider offices are required to be accessible to members with disabilities);
- Physical appearance;
- Adequacy of waiting and examining room space;
- Adequacy of medical/treatment record keeping;
- Appointment availability; and
- Equipment.

The survey will be conducted by Superior’s Account Management staff or designee or through a contracted vendor.

Once the survey is completed, it is scored. If the score is less than 80%, or if any elements in the “access for the disabled” section of the form are not met, the provider office is required to submit a corrective action plan to Superior within thirty (30) days. Following submission of the corrective action plan, a second survey is scheduled within six (6) months to evaluate compliance with office site guidelines.

If Superior receives another complaint about the same aspect of the performance for the office site within six (6) months after completing the site visit, Superior will determine whether the practitioner’s previous office site visit met the plan’s standards and thresholds. If that is the case, Superior will follow up on the complaint and a subsequent visit is not required.

Survey Results

At the conclusion of an office site survey, the results will be reviewed with you or a designated member of your staff. You may make a copy of your survey for your records. If there are deficiencies, you may be asked to submit a corrective action plan.

SECTION 13

CULTURAL COMPETENCY IN SERVING SUPERIOR'S MEMBERS

Cultural Sensitivity

Superior places great emphasis on the wellness of its members. A large part of quality health care delivery is treating the whole patient and not just the medical condition. Superior encourages providers to provide culturally competent care that aligns with the National Standards on Culturally and Linguistically Appropriate Services (CLAS). Superior maintains policies which emphasize the importance of culturally and linguistically competent care to Superior's membership of all cultures, races, languages, ethnic backgrounds and religions in a manner that recognizes values, affirms and respects the worth of the individual enrollees while protecting and preserving the dignity of each member. Sensitivity to differing cultural influences, beliefs and backgrounds, can improve a provider's relationship with patients and, in the long run, the health and wellness of the patients themselves.

The following is a list of principles for health care providers to include knowledge, skills and attitudes related to cultural competency in the delivery of health care services to Superior members.

Knowledge

- Provider's self-understanding of health disparities, as related to race, ethnicity or influence and the critical link between quality health care and the clinical encounter.
- Understanding of the historical factors which impact the health of minority populations, such as racism and immigration patterns and the importance of building physician, patient-centered relationships.
- Understanding of the particular psycho-social stressors relevant to minority patients including war trauma, migration, acculturation stress and socioeconomic status.
- Understanding of the cultural differences within minority groups and how cultural dynamics influence cross-cultural behaviors.
- Understanding of the minority patient within a family life cycle and intergenerational conceptual framework in addition to a personal developmental network.
- Understanding of the differences between culturally acceptable behavior of psycho-pathological characteristics of different minority groups.
- Understanding indigenous healing practices and the role of religion in the treatment of minority patients.
- Understanding of cultural factors that can affect decision-making based on cultural beliefs, lack of trust or other behavior patterns within minority groups.
- Understanding of the health service resources for minority patients.
- Understanding of the public health policies and its impact on minority patients and communities.

Skills

- Ability to facilitate and assess minority patients based on a psychological, social, biological, cultural, political or spiritual model.
- Ability to enhance patient communication effectively with the use of cross-cultural interpreters.
- Ability to diagnose minority patients with an understanding of cultural differences in pathology.
- Ability to avoid under diagnosis or over diagnosis.
- Ability to apply treatment methods that enhance clinical assessment processes and adherence.
- Ability to utilize community resources (church, community-based organizations (CBOs), self-help groups).
- Ability to provide therapeutic and pharmacological interventions with an understanding of the cultural differences in treatment expectations and biological response to medication.
- Ability to ask for consultation.

Attitudes

- Respect the “survival merits” of immigrants and refugees.
- Respect the importance of cultural forces.
- Respect the holistic view of health and illness.
- Respect the importance of spiritual beliefs.
- Respect and appreciate the skills and contributions of other professional and paraprofessional disciplines.
- Be aware of transference and counter transference issues.

Resources for Cultural Competency

Superior provides CLAS-related educational opportunities for providers per its secure Provider Portal. Providers are able to participate in Superior’s Cultural Competency Health Literacy Training, as well as participate in training opportunities administered by the State or nationally recognized organizations, found at www.SuperiorHealthPlan.com. Providers are also encouraged to participate in training provided by other organizations. For additional information regarding resources and trainings, visit:

- The Health and Human Services Commission Center for Elimination of Disproportionality and Disparities, Office of Minority Health and Health Equity online course - <http://www.txhealthsteps.com/cms/?q=office-of-minority-health-and-health-equity>.
- “A Physician’s Practical Guide to Culturally Competent Care,” developed by the U.S. Department of Health and Human Services, Office of Minority Health - <https://cccm.thinkculturalhealth.hhs.gov>.
- The U.S. Department of Health and Human Services, Health Resources and Services Administration (HRSA) site, www.hrsa.gov/healthliteracy. Providers can find free online courses on topics such as addressing health literacy, cultural competency and limited English proficiency.

Superior also provides ongoing provider training, which is conducted through webinars, quarterly and refresher trainings on an as-needed-basis, during routine on-site visits and upon request. In addition, your local, state and national provider organizations are likely to have information resources available as well. Providers may request information and resources by contacting their Account Manager.

Interpreter/Translation Services

Superior is committed to ensuring that staff and subcontractors are educated about, remain aware of and are sensitive to the linguistic needs and cultural differences of our membership. Information about cultural and linguistic competency and interpreter and translation services are included in a variety of communications media via Superior’s Provider Manual, Provider Newsflash (e-newsletter), the Primary Care Update (in certain editions), training tools, etc., all of which are accessible on Superior’s website. Providers are also informed of their ability to request assistance with professional interpreter and translation services with the utilization of Superior’s interpreter and translation partners, 24-Hour Nurse Advice Line, Relay Texas, Voiance and Language Services Associates to assist with Superior’s membership when language or hearing impairment is a barrier to communication.

In order to meet this need, Superior provides or coordinates the following:

- A Member Services and Member Connections department that is staffed with bilingual personnel (Spanish and English).
- Trained professional language interpreters, including American Sign Language, are available for face-to-face communication at your office, if necessary, or via telephone to assist providers with discussing technical, medical or treatment information with members.
- A link to language interpreter services is available 24-hours-a-day, seven-days-a-week in 140 languages to assist providers and members in communicating with each other when there are no other translators available for the language.
- TTY (text telephone use of telephones for the hearing impaired) access for members who are hearing impaired. This is Relay Texas, 1-800-735-2989.
- Superior’s Nurse Advice Line, which provides a 24-hours-a-day, seven-days-a-week bilingual (Spanish and English) line for medical assistance with access to the “language services associates” line for other languages.
- Superior member and health education materials are available in English and Spanish.

To access interpreter services for your patients, contact Superior’s Member Services Department at:

| | |
|---------------------|----------------|
| STAR and CHIP | 1-800-783-5386 |
| STAR+PLUS | 1-866-516-4501 |
| STAR Kids | 1-844-590-4883 |
| STAR Health | 1-866-912-6283 |

SECTION 14

CREDENTIALING PROGRAM

Superior has established rigorous standards for conducting the functions of provider selection and retention. To participate in the Superior network, all licensed individual practitioners and organizational providers must meet the qualifications specific to Superior along with government regulations and standards of approved accrediting bodies.

The provider application process focuses on the review and verification of each provider's license, accreditation, and competency attributes, according to the guidelines of the National Committee for Quality Assurance (NCQA), and the regulations of applicable governing bodies for the Texas Department of Insurance (TDI), the Texas Health and Human Services Commission (HHSC) and the Office of Inspector General (OIG).

Superior's Credentials Committee, which is a subcommittee of Superior's Quality Improvement Committee (QIC), has final authority for review and appropriate approval of licensed physicians, other licensed health care professionals and certain facilities that have an independent relationship with the plan.

All credentialing and recredentialing questions should be directed to Superior's Credentialing Department at 1-800-820-5686 or Credentialing@SuperiorHealthPlan.com.

Credentialing Process

Applicants or affiliates applying for network status are required to undergo an in-depth evaluation and a primary source verification of their credentials to include but not limited to:

- Work history.
- Educational background.
- Training.
- Competency.

All participating providers within Superior's credentialing scope must be recredentialed every thirty-six (36) months to remain a participating provider within Superior's network.

Facilities interested in participating with Superior are required to undergo an in-depth evaluation of primary source verifications that are specific to the facility type. For example, most facilities, if not accredited, are required to successfully complete either a State or Superior Site survey.

Initial Credentialing Process

All practitioner applicants are required to complete a TDI credentialing application form for participation. Facility and ancillary providers must fill out Superior's facility application for participation within Superior's network. The TDI and facility application form must be completed, signed and dated by the applicant. Effective April 1, 2018, Superior will participate in the State Credentialing Verification Organization (CVO) credentialing program. Along with all other Medicaid MCOs, applications will be submitted electronically via CAQH's or Availity's portals [detailed instructions will be posted on MCO websites/portals]. As a result of this change, providers and facilities will only have to submit one application to request participation in all MCO networks.

Superior verifies the information provided on the application through external primary sources. During this process, the applicant is promptly notified of any problems related to the collection and/or verification of these documents.

The CVO will perform these functions, as of April 1, 2018. It is the sole responsibility of the applicant to produce all necessary information and documentation required to conduct a thorough examination of a provider's credentials. Failure to provide the necessary information within sixty (60) days from the initial application received date will result in termination/discontinuation of credentialing. If the provider ever seeks to join Superior in the future, the provider must begin the process from inception.

Electronic Applications

Superior accepts electronic applications on the appropriate TDI credentialing application or Superior facility credentialing form. You can access an electronic format of the TDI practitioner application at <http://www.tdi.texas.gov/forms/formlisting.html>. When processes move to the CVO, the electronic application will be submitted through their portal.

Superior also accepts Practitioners' Council for Affordable Quality Health Care (CAQH) identification numbers. The CAQH is a catalyst for industry collaboration on initiatives that simplify health care administration. For more information on CAQH, visit their website at <http://www.caqh.org/>. With the implementation of the CVO, facility applicants will be afforded a similar application resource, through Availity, which is a vendor similar to CAQH.

Credentialing Criteria

Each candidate must complete an application for participation that includes the following minimum requirements:

- A valid National Product Identifier (NPI) number.
- Completed, signed and dated application for participation.
- Attestation of history of loss of license and/or clinical privileges, disciplinary actions and/or felony convictions.
- Attestation of lack of current substance and/or alcohol abuse.
- Attestation to mental and physical competence to perform the essential duties of the profession.
- Attestation to the correctness/completeness of the application.
- Signed and dated Release of Information form.
- Current unrestricted license in the state where the practice is located. Exception applies for some Long Term Services and Support (LTSS) provider types.
- Current valid federal Drug Enforcement Administration (DEA) certificate (as applicable).
- Current liability insurance in compliance with minimum limits set by Superior's provider agreement (exception applies for some LTSS provider types).
- Proof of highest level of education and, in the case of physicians, proof of graduation from an accredited medical school or school of osteopathy, proof of completion of an accredited residency program, or proof of board certification. *Please note: Fellowship does not meet this requirement, but will be verified, as applicable to the specialty.*
- Current admitting privileges in good standing at an in-network inpatient facility or written documentation from a physician or group of physicians, who participate with Superior, stating that they will assume the inpatient care of all of the practitioner's plan members who require admission, and that they will do so at a participating facility.
- History of professional liability claims that resulted in settlements or judgments paid by or on behalf of the practitioner for the past five (5) years or any cases that are pending professional liability actions (when reviewing this history, the credentials committee will consider the frequency of case(s) as well as the outcome of the case[s]).
- Written explanation if practitioner has been sanctioned in a Medicare/Medicaid program.

- Disclosure of ownership or financial interest in any clinical laboratory, diagnostic testing center, hospital ambulatory surgery center, home health or other business dealing with the provision of ancillary health services, equipment or supplies. These documents will be requested by Superior, as part of the initial contracting packet of documents. The CVO is not involved in this process.
- Work history for the previous five (5) years. Any gap greater than six (6) months must be explained by the practitioner and presented to the credentials committee for approval.

After the CVO completes the requisite verification, Superior's credentialing staff will review each application for completeness and correctness. Applicants who meet the participation criteria and are determined to have a clean file will be approved for participation following review by the Superior medical director or chair of the Credentials Committee. The Credentials Committee is provided with a list of clean files approved by the medical director, for informational purposes. Superior's credentialing policy defines a "clean file" as one with none of the following adverse activity present:

- No past or present suspensions or limitations of state licensure within a five (5) year look back period.
- No past or present suspensions or limitations of DEA licensure.
- Malpractice coverage in the amount required by plan.
- No past or present Medicare/Medicaid sanction activity.
- No malpractice claims that resulted in a settlement or a verdict in favor of the plaintiff (claims ruled in favor of the defendant are acceptable for a clean file) in a five (5) year look back period from date of settlement, or a notable trend in malpractice activity.
- No gaps in work history of six (6) months or longer for a minimum of five (5) years. If the practitioner has practiced fewer than five (5) years from the date of credentialing, the work history starts at the time of initial licensure.

Recredentialing Process

Superior formally recredentials practitioners at least every thirty-six (36) months. The recredentialing cycle begins with the date of the initial credentialing decision.

In order to be compliant with recredentialing expectations, a request for information is sent to the provider no later than one hundred and eighty (180) days before the provider is due to be recredentialed. Superior verifies the information provided by the applicant in support of their application for continued participation within Superior's network through external primary sources. As of April 1, 2018, this function will be performed by the State's CVO, and the results reviewed by Superior.

During the recredentialing process, the applicant is notified promptly of any discrepancies related to the collection and/or verification of these documents. It is the sole responsibility of the applicant to produce all necessary information and documentation required to conduct a thorough examination. Failure to provide the necessary information within sixty (60) days from the date the application for recredentialing was received will result in termination/discontinuation of recredentialing. If the provider ever seeks to join Superior in the future, the provider must begin the process from inception, as an initial applicant.

Expedited Credentialing

The following practitioner types may utilize the expedited credentialing pathway:

- Dental specialists (including dentists and physicians providing dental specialty care; DDS/DMD)
- Dentists (DDS/DMD)
- Licensed Clinical Social Workers (LCSW)
- Licensed Marriage and Family Therapists (LMFT)
- Licensed Professional Counselors (LPC)
- Physicians (MD or DO)
- Podiatrist (DPM)
- Psychologists (PhD/PsyD)
- Therapeutic Optometrist (O.D.)

Applicants, who qualify for the expedited credentialing process, as defined below, are identified as an “Expedited File.” Expedited files, may be presented to the Credentials Committee or to the designated Medical Director for approval. Superior Credentialing defines an “expedited file” as one that meets the following criterion:

- Be licensed in this state by, and in good standing with, the appropriate Texas State Licensure Board;
- Submit all documentation and other information required by Superior as necessary to enable Superior to start the credentialing process; to include a signed participating provider attestation form and agree to comply with the terms of the current Superior’s participating provider contract currently group contract to which they are joining.
- Verification of the past five (5) years of malpractice claims or settlements from the malpractice carrier or the results of the National Practitioner Data Bank (NPDB) query.
- Verification that the practitioner is not excluded from participation in federal health care programs (Medicare or Medicaid).

While being credentialed, Superior will treat the applicant as if they were a participating provider, providing services to the managed care plan’s enrollees, including:

1. Authorizing the applicant physician to collect copayments from the enrollees; and
2. Making payments to the applicant physician.

Pending the approval of an expedited applicant, Superior will exclude the applicant from Superior’s directory of participating physicians, website listing of participating physicians, or any other listing of participating physicians.

If, on completion of the credentialing process, Superior determines that the applicant does not meet the credentialing requirements and denies network membership:

1. Superior may recover from the applicant or the medical group an amount equal to the difference between payments for in-network benefits and out-of-network benefits; and
2. The applicant or the medical group may retain any copayments collected, or in the process of being collected, as of the date of the credentialing determination.

Right to Review and Correct Information

All providers participating with Superior have the right to review information obtained by the Credentialing Department or State CVO, to evaluate their credentialing and/or recredentialing file. This includes:

- Information obtained from any outside primary source such as malpractice insurance carriers, the Texas Board of Medical Examiners and Texas Board of Nursing, with applicable State Licensing Boards.

- This does not allow a provider to review references, personal recommendations or other information that is peer review protected.
- Providers also have the right to request the status of the application at any time during the credentialing process by contacting Superior's Credentialing Department.

Should a provider believe any of the information used in the credentialing/recredentialing process to be erroneous, or should any information gathered as part of the primary source verification process differ from that submitted by a provider, they have the right to correct erroneous information.

To request release of such information, a written request must be submitted to Superior's Credentialing Department. Upon receipt of this information, the provider will have ten (10) days to provide a written explanation detailing the error or the difference in information to Superior. Superior's Credentials Committee will then include this information as part of the credentialing/recredentialing process and will also include in the practitioner's file. If no response is received within ten (10) days, the Credentialing Department, on behalf of Superior, assumes the provider does not dispute the accuracy of the information collected, and the file is presented to the medical director and/or the credentials committee.

Superior will notify the practitioner if information obtained during the credentialing process varies substantially from the information provided.

Requesting Reconsideration

If you are not satisfied with the Credentials Committee credentialing status determination, you may request reconsideration for new practitioners, or an appeal for established practitioners, of the decision in writing. Please send your written request to:

Superior HealthPlan
Attn: Credentialing Department
5900 E. Ben White Blvd.
Austin, TX 78741
Credentialing@SuperiorHealthPlan.com

Reconsideration requests for new practitioners must be received by Superior within thirty (30) days of the formal notice of denial. The appointed committee members will review the information and notification of the decision will be provided.

Appeals for re-credentialing practitioners must be received by Superior within thirty (30) days of the formal notice of denial. Superior will appoint an Appeals Committee. The Appeals Committee hears appeals of decisions from the Credentials Committee to deny, suspend or restrict participation or to terminate the participation status of a practitioner or facility. The appeal hearing will be scheduled no later than sixty (60) days from the provider's request.

The Appeals Committee may uphold, reject or modify the initial Credentials Committee recommendation. The Appeals Committee recommendation will be based upon the evidence admitted at the hearing and will be by the affirmative vote of the majority of the members of the Appeals Committee. The action of the Appeals Committee regarding any restriction, suspension or termination matter is a final decision, which will be communicated to the provider in writing.

SECTION 15

COMPLAINT PROCEDURES

Superior recognizes that there are times when you may not be satisfied with a matter handled by Superior. Providers have the right to file a complaint related to that matter. This section describes in detail the process to file a complaint, the response timeframes and the complainant's rights during the process.

The complaint process does not include appeals for determinations/actions based on medical necessity.

Complaint Definitions

Medicaid

Complaint: A complaint is an expression of dissatisfaction communicated by a complainant, orally or in writing, about any matter related to Superior, other than an action/adverse determination. As provided by 42 C.F.R. §438.400, possible subjects for complaints include, but are not limited to:

1. The quality of care of services provided;
2. Aspects of interpersonal relationships such as rudeness of a provider or employee, or
3. The failure to respect the Medicaid member's rights.

CHIP

Complaint: A complaint is any dissatisfaction, expressed by a complainant, orally or in writing, with any aspect of the Superior's operation, including, but not limited to, dissatisfaction with:

1. Plan administration;
2. Procedures related to review or appeal of an adverse determination, as defined in Texas Insurance Code, Chapter 843, Subchapter G;
3. The denial, reduction or termination of a service for reasons not related to medical necessity;
4. The way a service is provided; or
5. Disenrollment decisions.

This term does not include misinformation that is resolved promptly by supplying the appropriate information or clearing up the misunderstanding and reaching satisfaction.

Filing a Provider Complaint

Superior offers a number of ways to file a complaint:

- Online through Superior’s website at <https://www.SuperiorHealthPlan.com/contact-us/complaint-form-information.html>.
- Faxing or mailing a complaint form to Superior for a resolution response. The link to the printable complaint form is <https://www.SuperiorHealthPlan.com/contact-us/complaint-form-information.html>.
- Mailing or faxing a written complaint to the following:
Superior HealthPlan
ATTN: Complaint Department
5900 E. Ben White Blvd.
Austin, TX 78741
Fax: 1-866-683-5369
- Calling Provider Services at:
STAR, STAR+PLUS, STAR Kids and CHIP..... 1-877-391-5921
STAR Health 1-866-439-2042

What to Expect When You File a Complaint

When a complaint is received, a written acknowledgement letter is sent to the provider within five (5) business days of receipt of the complaint. Superior then has thirty (30) calendar days to resolve the complaint. The response to the complaint will be provided in writing in the form of a resolution letter. If the resolution/response is not satisfactory, a complaint appeal may be filed.

Superior maintains all documentation (fax, electronic and telephonic) related to the receipt and response to the complaint, to include routing and correspondence maintenance, within the current software solutions used for complaints processing. The system used accommodates a secure and complete record of each complaint and any complaint proceedings or actions taken on a complaint/complaint-appeal according to minimum record retention requirements.

Superior will maintain documentation on each complaint/appeal until five (5) years after the termination of the contract with the Health and Human Services Commission. Such documentation for each complaint/appeal filed includes date of receipt, identification of the individual filing the complaint/appeal, all documentation pertaining to the complaint/appeal, identification of the individual recording the complaint/appeal, the substance and nature of the complaint/appeal, investigation details and the disposition and resolution of the complaint/appeal and the date resolved.

Appealing a Complaint Resolution

Complaint appeals must be submitted no later than 30 days of the resolution/response letter. The appeal will be acknowledged within five (5) business days of receipt and responded to within 30 days of receipt. If the resolution/response is not satisfactory, a provider may ask that their appeal be reviewed and settled in accordance with the commercial arbitration rules of the American Arbitration Association, or the arbitration or litigation provisions as noted in the individual provider’s contract with Superior.

Additional Filing Rights

After exhausting all complaint rights through Superior, providers have the right to file their complaint through external regulatory agencies if they are not satisfied with Superior's resolution to their complaint.

Complaints about a matter involving a Medicaid program

Providers have the right to file a complaint with HHSC by submitting to:

Texas Health and Human Services Commission
Health Plan Management – H-320
P.O. Box 85200
Austin, TX 78708-5200

Complaints about a matter involving the CHIP program

Providers have the right to complain to the Texas Department of Insurance (TDI) by contacting TDI at:

Texas Department of Insurance Consumer Protection
Mail Code 111-1A
P.O. Box 149091
Austin, Texas 78714-9091

Medical Appeals

The complaint process does not include medical necessity appeals that are directed to the plan's Medical Management Department. Please refer to Section 11 - Adverse Determinations/Actions and Appeals of this manual for details related to medical necessity denials and appeal.

Member Complaints

Superior understands that there are times when a member is not satisfied with Superior. In those instances, Superior affords members their right to file a complaint.

Definitions

Medicaid

A complaint is an expression of dissatisfaction expressed by a complainant, orally or in writing, about any matter related to Superior other than an action/adverse determination. As provided by 42 C.F.R. §438.400, possible subjects for complaints include, but are not limited to:

1. The quality of care of services provided;
2. Aspects of interpersonal relationships such as rudeness of a provider or employee; or
3. The failure to respect the Medicaid member's rights

CHIP

A complaint is any dissatisfaction, expressed by a complainant, orally or in writing, with any aspect of the Superior's operation, including, but not limited to, dissatisfaction with:

1. Plan administration;
2. Procedures related to review or appeal of an adverse determination, as defined in Texas Insurance Code, Chapter 843, Subchapter G;
3. The denial, reduction, or termination of a service for reasons not related to medical necessity;
4. The way a service is provided; or
5. Disenrollment decisions.

The term does not include misinformation that is resolved promptly by supplying the appropriate information or clearing up the misunderstanding and reaching satisfaction.

Member Advocacy

Superior designates member advocates to support and assist members in writing or filing a complaint and monitoring the complaint through Superior's complaint process until the issue is resolved. Superior's Member Advocates are trained to interact directly with members to advocate on the member's behalf including assistance with the complaint process. The applicable Superior staff will facilitate the prompt receipt and appropriate recording of an oral complaint. The majority of Member Services Representatives are bilingual in English and Spanish but can further utilize Superior's contracted language line service for members speaking a language other than English or Spanish.

Member Rights in the Complaint Process

Superior works to preserve and protect the rights of members throughout the entire complaint process. Members have the right to:

- Designate an authorized or designated representative who can file a complaint on their behalf. An "authorized or designated representative" is any person or entity acting on behalf of the member and with the member's written consent. A provider may be an authorized representative.
- Have a language interpreter, including American Sign Language, available to them at any point in the process, free of charge.
- File a complaint directly with HHSC or TDI once they member has exhausted Superior's complaint process.
- Have reasonable accommodations such as accessibility when needed.
- Receive an objective review and decision free of retaliation and discrimination.

Filing a Member Complaint

STAR, STAR+PLUS, STAR Kids, STAR Health and CHIP Member Complaints

Superior offers a number of ways a member can file a complaint:

- Online through a link on Superior’s website at <https://www.SuperiorHealthPlan.com/contact-us/complaint-form-information.html>.
- Filing a complaint in writing by printing the complaint form found at <https://www.SuperiorHealthPlan.com/contact-us/complaint-form-information.html>.

The form may be mailed or faxed to:

Superior HealthPlan
ATTN: Complaint Department
5900 E. Ben White Blvd.
Austin, TX 78741
Fax: 1-866-683-5369

- Calling the member hotline at:
STAR and CHIP 1-800-783-5386
STAR+PLUS..... 1-866-516-4501
STAR Kids..... 1-844-590-4883
STAR Health 1-866-912-6283

What a Member Can Expect When Filing a Complaint

When a complaint is received, a written acknowledgement letter is sent to the complainant within five (5) business days of receipt of the complaint. Superior then has thirty (30) calendar days to resolve the complaint. The response to the complaint will be provided in writing in the form of a resolution letter. If the resolution/response is not satisfactory, a complaint appeal may be filed.

Member Appeal of a Complaint Resolution

Complaint appeals must be submitted no later than thirty (30) days of the complaint resolution response. The complaint-appeal involves the review by a complaint appeal panel during a scheduled meeting. The appeal panel is composed of an equal number of members, providers and Superior employees. The doctors or other providers will be specialists in the area of care related to the complaint, and will not have reviewed the issue before. The meeting will be at a time and place that is acceptable and convenient to the member. The member may choose to send an authorized or designated representative in their place and have the right to submit written documentation that can be presented during the panel hearing. The panel reviews all of the information presented and makes a recommendation to Superior. The recommendation is presented to the Chief Executive Officer of Superior HealthPlan for a final decision. No later than thirty (30) calendar days from receipt of the complaint appeal panel request, Superior will mail the complaint appeal response letter to the member.

Additional Filing Rights

Medicaid Complaints

If a Medicaid member is not satisfied with the outcome of their complaint appeal, they can file a complaint with the Health and Human Services Commission (HHSC) at 1-866-566-8989 or by mail to:

Texas Health and Human Services Commission
Health Plan Management – H-320
Attn: Resolution Services
P.O. Box 85200
Austin, TX 78708-520

STAR+PLUS members can file a complaint through the Office of Long Term Care Ombudsman by calling toll free at 1-800-252-2412, emailing mail@DADS.state.tx.us or by visiting <http://www.dads.state.tx.us/contact/mlo.cfm>.

CHIP Complaints

CHIP members also have the right to complain to the Texas Department of Insurance (TDI) by calling toll free 1-800-252-3439 or contacting them in writing at:

Texas Department of Insurance Consumer
Protection Mail Code 111-1A
P.O. Box 149091
Austin, Texas 78714-9091

SECTION 16

HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT

To improve the efficiency and effectiveness of the health care system, the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191, includes administrative simplification provisions that require national standards for electronic health care transactions and code sets, unique health identifiers and security, as well as federal privacy protections for individually identifiable health information.

The Office for Civil Rights administers and enforces the Privacy Rule and the Security Rule.

Other HIPAA Administrative Simplification Rules are administered and enforced by the Centers for Medicare and Medicaid Services (CMS), and include:

- Transactions and code sets standards.
- Employer identifier standard.
- National provider identifier standard.

The Enforcement Rule provides standards for the enforcement of all the Administrative Simplification Rules. A summary of the HIPAA Administrative Simplification Rules can be found at <https://www.cms.gov/Regulations-and-Guidance/Administrative-Simplification/HIPAA-ACA/index.html>.

Privacy Regulations

The Privacy Rules regulates who has access to a member’s/patient’s personally identifiable health information (PHI), whether in written, verbal or electronic form. In addition, this regulation affords individuals the right to keep their PHI confidential and, in some instances, from being disclosed.

In compliance with the privacy regulations, Superior has provided each member with a privacy notice, which describes how Superior can use or share a member’s health records and how the member can get access to the information. In addition, the member privacy notice informs the member of their health care privacy rights and explains how these rights can be exercised.

Copies of Superior’s member privacy notices are included as Attachment Q.

As a provider, if you have any questions about Superior’s privacy practices, contact Superior’s compliance officer by calling 1-800-218-7453 or by emailing Superior.Compliance@SuperiorHealthPlan.com.

Members should be directed to Superior’s Member Services Department with any questions about the privacy regulations. Member Services can be reached at the following phone numbers:

| | |
|---------------------|----------------|
| STAR and CHIP | 1-800-783-5386 |
| STAR+PLUS..... | 1-866-516-4501 |
| STAR Kids..... | 1-844-590-4883 |
| STAR Health | 1-866-912-6283 |

Security Rule

The HIPAA Security Rule establishes national standards to protect individuals' electronic personal health information that is created, received, used, or maintained by Superior. The Security Rule requires appropriate administrative, physical and technical safeguards to ensure the confidentiality, integrity and security of electronic protected health information.

The Security Rule is located at 45 CFR Part 160, and Subparts A and C of Part 164.

Breach Notification Rule

On January 25, 2013, the Office for Civil Rights (OCR) of the U.S. Department of Health and Human Services (HHS) published in the Federal Register a final omnibus rule (Final Rule) that revises certain rules promulgated under HIPAA. These revised rules were issued pursuant to changes enacted by Congress in the Health Information Technology for Economic and Clinical Health (HITECH) Act and the Genetic Information Nondiscrimination Act (GINA) of 2008. On March 23, 2013, the Final Rule implemented section 13402 of the HITECH Act requiring various notifications following a breach of unsecured protected health information.

The Final Rule eliminates the significant risk of harm standard from the Interim Rule for determining whether a breach has occurred. Covered entities and business associates must ensure compliance with regulatory definitions relating to breach notifications.

Transactions and Code Sets Regulations

Transactions are activities involving the transfer of health care information for specific purposes. Under HIPAA, if Superior or a health care provider engages in one of the identified transactions, they must comply with the standard for it, which includes using a standard code set to identify diagnoses and procedures. The Standards for Electronic Transactions and Code Sets, published August 17, 2000, and since modified, adopted standards for several transactions, including claims and encounter information, payment and claims status. Any health care provider that conducts a standard transaction also must comply with the Privacy Rule.

Version 5010 refers to the revised set of HIPAA electronic transaction standards adopted to replace the current standards. Every standard has been updated, including claims, eligibility and referral authorizations.

All HIPAA covered entities must be using version 5010 as of January 1, 2012. Any electronic transaction for which a standard has been adopted must have been submitted using version 5010 on or after January 1, 2012.

HIPAA Required Code Sets

The HIPAA Code Sets regulation requires that all codes utilized in electronic transactions are standardized, utilizing national standard coding. Only national standard codes can be used for electronic claims and/or authorization of services.

Nationally recognized code sets include:

1. Health Care Common Procedure Coding System (HCPCS) - This code set, established by the CMS, primarily represents items and supplies and non-physician services not covered by the American Medical Association CPT-4 codes, which can be purchased from the American Medical Association (AMA) at 1-800-621-8335.

2. Current Procedure Terminology (CPT) codes - The CPT codes are used to describe medical procedures, and this code set is maintained by the American Medical Association. For more information on the CPT codes, please contact the AMA.
3. International Classification of Diseases, 9th revision, Clinical Modification ICD-9-CM Volumes 1 and 2 (diagnosis codes) - These are maintained by the National Center for Health Statistics and Centers for Disease Control (CDC) within the Department of Health and Human Services (HHS).
4. International Classification of Diseases, 9th revision, Clinical Modification ICD-9-CM Volume 3 (procedures) - Those are maintained by CMS.
5. International Classification of Diseases, 10th revision, Clinical Modification ICD-10-CM- This is the new diagnosis coding system that was developed as a replacement for ICD-9-CM, Volume 1 and 2. International Classification of Diseases, 10th revision, Procedure Coding System ICD-10-PCS is the new procedure coding system that was developed as a replacement for ICD-9-CM, volume 3, and two parts:
 - Part 1: ICD-10-CM for diagnosis coding. ICD-10-CM is for use in all U.S. health care settings. Diagnosis coding under ICD-10-CM uses 3 to 7 digits instead of the 3 to 5 digits used with ICD-9-CM, but the format of the code sets is similar.
 - Part 2: ICD-10-PCS for inpatient procedure coding. ICD-10-PCS is for use in U.S. inpatient hospital settings only. ICD-10-PCS uses 7 alphanumeric digits instead of the 3 or 4 numeric digits used under ICD-9-CM procedure coding.

The transition to ICD-10 occurred because ICD-9 produces limited data about patients' medical conditions and hospital inpatient procedures. ICD-9 is 30 years old, has outdated terms and is inconsistent with current medical practice. Also, the structure of ICD-9 limits the number of new codes that can be created, and many ICD-9 categories are full. ICD-10 affects diagnosis and inpatient procedure coding for everyone covered by HIPAA, not just those who submit Medicare or Medicaid claims. Everyone covered by HIPAA who transmits electronic claims must also switch to Version 5010 transaction standards. The change to ICD-10 does not affect CPT coding for outpatient procedures.

6. National Drug Code (NDC) - The NDC is a code that identifies the vendor (manufacturer), product and package size of all medications recognized by the Federal Drug Administration (FDA). To access the complete NDC code set, see www.fda.gov/Drugs/InformationOnDrugs/ucm142438.htm.

HIPAA Regulated Transactions

Below are the eight electronic standardized transactions that are mandated by the HIPAA legislation.

| Transaction Name | HIPAA Transaction Number |
|--|--------------------------|
| Claims and encounters | 837 |
| Enrollment and disenrollment | 834 |
| Health plan eligibility solicitations and response | 270/271 |
| Payment and remittance advice | 835 |
| Premium payment | 820 |
| Claim status solicitation and response | C276/277 |
| Coordination of benefits | 837 |
| Referral and authorization | 278 |

Though it is standard operating process, Superior does not currently utilize all standard transaction sets. Functionality equivalent to that which is offered by these transaction sets, is made available to Superior's providers via various alternative capabilities, such as online tools. Superior currently offers an alternative through the online web tool, Superior's secure Provider Portal, for the following transactions:

- ASC X12 270 Eligibility Status Inquiry.
- ASC X12 271 Eligibility Status Response.
- ASC X12 276 Claim Status Inquiry.
- ASC X12 277 Claim Status Response.
- ASC X12 278 Referral Certification and Response.

For more information on conducting these transactions electronically, contact the EDI Department at 1-800-225-2573 ext. 25525 or by email at EDIBA@centene.com.

National Provider Identifier

The National Provider Identifier (NPI) is a HIPAA Administrative Simplification Standard. The NPI is a unique identification number for covered health care providers. Covered health care providers and all health plans and health care clearing houses must use the NPIs in the administrative and financial transactions adopted under HIPAA. The NPI is a 10-position, intelligence-free numeric identifier (10-digit number). This means that the numbers do not carry other information about health care providers, such as the state in which they live or their medical specialty. The NPI must be used in lieu of legacy provider identifiers in all electronic HIPAA standards transactions.

As outlined in the federal regulation, covered providers must also share their NPI with other providers, health plans, clearinghouses and any entity that may need it for billing purposes.

All Superior providers must attest a valid NPI upon requesting an application for network participation with Superior. Medicaid providers must also attest their NPI with the Texas Medicaid and Health Partnership (TMHP) before they can be rendered payment by Superior.

Providers may attest information via the TMHP website at www.tmhp.com. The information required includes:

- TPI.
- NPI.
- Taxonomy.
- Physical address.
- National plan and provider enumeration.
- System data.

For any questions about NPI, please contact Superior's Provider Services department.

SECTION 17

Superior's Provider Portal and Health Passport

Provider Portal

Superior provides a secure Provider Portal that offers tools to assist your office staff any time of day. It is available for providers at www.SuperiorHealthPlan.com.

Registering for the Provider Portal

In order to use Superior's secure Provider Portal, you must first register online at Provider.SuperiorHealthPlan.com.

- You will be asked to enter your tax identification number, first name, last name, email address and to create a password. Your email address will also serve as your username.
- Once you submit the registration form, you will receive an email confirmation to validate your account.
- Your request for access will be reviewed and additional validation will be sent to your TIN's Account Manager for confirmation.

Each TIN is allowed to designate an Account Manager(s). This role is responsible for managing access permissions to their TIN, including adding and removing accounts and allowing users to access the modules within the secure Provider Portal (claims, authorizations, eligibility, etc.). If registering for an Account Manager role, additional validation will be required.

Logins and passwords are unique, requiring each staff member within one office or group to register separate user accounts. Sharing accounts between staff is not permitted.

Please note, the secure Provider Portal will randomly launch the Challenge Survey on a quarterly basis to users with an account management role. This survey is a new tool to verify provider demographic data and is required to complete to help Superior monitor provider adherence to state requirements. The tool gives providers access to update or confirm their demographic information prior to accessing the secure Provider Portal modules.

Benefits of the Provider Portal

Here are some of the features currently available in the secure Provider Portal:

- **Verify Patient Eligibility:** Identify patient coverage, and program copays, if applicable, by simply entering the necessary search criteria (DOB, member ID, or patient name).
- **Print Member-Patient Panel Reports:** For Primary Care Providers (PCPs), login to your account and print a list of members assigned to you for primary care services. Other features included on the PCP Panel Report are:
 - CHIP copay amounts listed for each CHIP member assigned to your panel.
 - Date of last Texas Health Steps visit, or wellness exam.

- Preventive visits due, including last mammogram.
- View member care gap alerts. When a member has a “gap in care” (i.e. a preventive service not rendered within the allotted time frame) an alert symbol will appear. When a provider clicks on the member’s name, the screen will revert to the member eligibility details page, which will display the care gap details (for example, “No Flu Vaccine in past 12 months.”).
- **Online Claims Submission:**
 - **Individual Claim Submissions** - Submit both professional and institutional claims online for quicker payment. Claim corrections can also be submitted through the Secure Provider Portal.
 - **Copy Claim Feature** - Recreate claims without entering data twice.
 - **Recurring Claims Tool** - Quickly and easily submit repetitive, long term care claims for multiple members.
 - **Batch Claim Submissions** - Avoid paying clearing house fees and submit batch claims online! Please note: Currently we only accept formatted 837 claims files. We apply HIPAA level 4 edits. Files must be in ‘.dat’ or ‘.edi’ formats.
 - **Claims Appeals** - File appeals through the secure Provider Portal.
 - **Attachments** - Attach additional documentation necessary during the online claim or appeal submission.
- **Check Claims Status Online:** Confirm the status of submitted claims and easily reconcile your patient accounts.
- **Online Claims Adjustments:** Submit adjustments for claims.
- **Authorizations:**
 - Submissions - Submit authorization requests directly.
 - Attachments - Attach clinical information needed.
 - Authorization Status - Check authorization status.

Note: Currently, Long Term Support Services (LTSS) providers are unable to use this feature for authorization submission for PAS, DAHS and Assisted Living.

- **Explanation of Payments:** Explanation of payments are available in the secure portal.
- **Update Demographic Information:** Update provider demographics such as, address, phone number and office hours.
- **Medicaid Authorization Pre-Screening:** Find the tool on our website under Provider Resources. Simply enter a valid procedure code, and the system will display the authorization requirements for that procedure. Non-participating providers will always require an authorization for non-emergent services.

Other valuable content made available at www.SuperiorHealthPlan.com includes an online provider directory and provider resource section containing bulletins, Frequently Asked Questions (FAQs), Provider Manuals, training presentations for all Superior products and other helpful website links.

Provider Portal Help Desk

For assistance with accessing the secure Provider Portal, contact the Web Applications Support Desk at 1-866-895-8443 or email TX.WebApplications@SuperiorHealthPlan.com.

Health Passport

The Health Passport is a community health record designed for foster care members and the STAR Health program. To get started using this resource, go to www.SuperiorHealthPlan.com, click on “Provider” and then “Register”.

It is easy and free. You will need the following information to register for the Superior Provider Portal, which includes access to the Health Passport (if validated):

- **Tax ID allows for multiple users** - Your provider group’s office tax ID number allows multiple users to obtain their own user names and passwords.
- **Self-Select your user name and password** - We’ll even help you set your own reminder for those times you might forget your password.
- **Secure user recognition** - When you sign in, www.SuperiorHealthPlan.com automatically recognizes your account. This secure area helps to ensure the safety of all information that is available for searching and viewing on the site.

Training on the Health Passport navigation is available via the web. To access the training, log into the Superior Provider Portal and click on the “Health Passport online training” link.

This web-based tool is easy to learn and free to use. Some of the Health Passport administrative features include, but are not limited to:

- Web-based access you may use with existing computer equipment.
- Secure network with role-based security protections.
- Administrative flexibility to meet the needs of different offices.

By capturing comprehensive demographic and clinical information about foster care members, the Health Passport supports delivery of appropriate health care services across the care continuum. The Health Passport allows the provider office and the STAR Health Program to:

- Coordinate care across medical and behavioral health providers.
- Increase access to clinical information at the point of care.
- Increase Texas Health Steps checkups and immunization rates.
- Reduce adverse drug events and medication waste due to redundant orders or over- utilization.
- Reduce repeat outpatient visits due to incomplete patient data.
- Decrease duplicate or wasteful diagnostic tests, immunization and other services.

The majority of the information in the Health Passport is gathered and loaded systematically. The effort required by you, as a provider, is minimal. We do ask that you:

- Complete entry of allergies for your foster care members online within the Health Passport.
- Provide the required Texas Health Steps forms.
- Provide quarterly reporting if you are a PCP.
- Provide initial evaluation and routine summary updates if you are a behavioral health provider.

This information can be directly entered into the Passport via the online forms or can be faxed to Superior at 1-866-274-5952, using the Fax Cover Sheet included as Attachment R.

With our other online tools, you can get the answers you need easier and faster:

- Verify member eligibility.
- Directly file professional and institutional claims.
- Check claim status.
- Find detailed member information, including benefit and plan information.
- Current news and events.
- Communicate directly with us via secured e-mail.
- View member lists.
- Access the Health Passport for STAR Health.

SECTION 18

PHARMACY SERVICES

Pharmacy Department Responsibilities

The Superior Pharmacy (RX) department promotes the most effective use of medications for our members. The Superior RX department is charged with oversight of administering the pharmacy benefit, ensuring member access to needed medications, employing appropriate utilization management tools and supporting the care management model. Superior RX is responsible for ensuring that medications are a covered benefit, medically necessary, appropriate to the patient's condition, rendered in the appropriate setting, and meet professionally-recognized standards of pharmaceutical care. In addition, the RX department seeks to educate providers regarding the cost effective use of drugs and to provide useful feedback about current prescribing patterns to improve the quality of patient care. Responsibilities of the pharmacy team include, but are not limited to:

- Ensure that pharmacy benefit services provided are medically necessary;
- Promote safe and cost-effective drug therapy;
- Manage pharmacy benefit resources effectively and efficiently while ensuring that quality care is provided;
- Ensure that members can easily access prescription services;
- Actively monitor utilization to guard against over-utilization of services and fraud or abuse and to address gaps in care or under-utilization of needed medications;
- Participate with care management to promote optimal use of medication, focusing on ER and hospitalization avoidance;
- Manage tools for members that assist them in managing and taking their medications;
- Assist providers with the coordination of prescription services; and
- Work with quality initiatives and manage programs that increase the quality of pharmaceutical care for members.

Formulary Management

Superior will manage the provision of medications to members via utilizing the Texas VDP formulary for all Medicaid and CHIP programs. A link to the formulary is available on our website in addition to the listing found on the VDP website at www.txvendordrug.com. The VDP Preferred Drug List (PDL) is available for smartphones and on the web via www.epocrates.com.

Prior Authorizations/Clinical Prior Authorization Edits:

Superior will utilize the Texas Vendor Drug Program prior authorization criteria for non-preferred medication requests. A copy of the criteria is available online at the PA XPRESS website via <https://paxpress.txpa.hidinc.com/>. Prior authorizations will be performed within twenty-four (24) hours after the request has been made. It is helpful to include all pertinent medical information in the original request to facilitate this process.

Superior HealthPlan encourages providers to review our website regarding specific medication requirements for drugs such as Makena®, Synagis® and or Hepatitis C treatments. The prior authorization requirements for these medications under the pharmacy benefit may have more robust or specific criteria requirements than other drugs and is available for review. The website also provides copies of the prior authorization forms which may help the provider in the prior authorization request.

In addition, the Texas VDP provides clinical prior authorization criteria to managed care organizations to ensure medications follow the latest FDA-approved product labeling, national guidelines and peer-reviewed literature via evidence-based clinical criteria. Please refer to our website for a link to the Clinical Prior Authorization criteria applied to Superior members.

Emergency Prescription Supply

A 72-hour emergency supply of a prescribed drug must be provided when a medication is needed without delay and prior authorization (PA) is not available. This applies to all drugs requiring a prior authorization (PA), either because they are non-preferred drugs on the Preferred Drug List or because they are subject to clinical prior authorization edits.

The 72-hour emergency supply should be dispensed any time a PA cannot be resolved within 24 hours for a medication on the Vendor Drug Program formulary that is appropriate for the member's medical condition. If the prescribing provider cannot be reached or is unable to request a PA, the pharmacy should submit an emergency 72-hour prescription.

This short-term supply does not apply to DESI (Drug Efficacy Study Implementation) drugs, when the drug could be contraindicated to the member's condition or when starting and abruptly stopping the medication would be medically contraindicated. Emergency supply provision does not apply to Hepatitis C medications.

A pharmacy can dispense a product that is packaged in a dosage form that is fixed and unbreakable, e.g., an albuterol inhaler, as a 72-hour emergency supply.

To be reimbursed for a 72-hour emergency prescription supply, the pharmacy may follow the point of sale messaging which allows the 72-hour supply to be adjudicated electronically (immediately) or the pharmacy may call the PBM help desk line. The pharmacy may call the PBM help desk if additional 72-hour supplies are needed for prolonged PA concerns (such as provider is out of town) or longer than 72-hour supplies needed to cover holidays.

For more information about the 72-hour emergency prescription supply policy, call the PBM help desk at 1-800-460-8988.

Pharmacy Compounds

Providers must bill for compounds using the drug code and metric decimal quantity for each National Drug Code in the compound. Compounds should contain medication(s) that are covered by the Texas Vendor Drug formulary. The pharmacy should bill a compound properly using a compound indicator.

Appeals

In the event that a prior authorization is denied a written notification will be sent to the provider and member. This notification will provide additional information regarding the reason for the denial. The provider is encouraged to read over the denial notification and consider for example a preferred product, change in dose, etc. which may have led to the original denial. The denial notification will also contain instructions for contacting the appeals department and outline the appeals process. Contact information for the Superior Appeals Department is also available on our website.

Pharmacy Lock-In

Superior's Pharmacy Department routinely monitors drug or medical claims for over-utilization, fraud, waste or abuse. Superior will follow current Office of Inspector General (OIG) criteria with respect to locking a member to a single pharmacy who met criteria. Upon review, a member is locked via the vendor pharmacy benefit manager (PBM) platform and claims will adjudicate only to the identified assigned pharmacy. Members are notified directly by the OIG if criteria met lock-in requirements. Additionally, the Superior Pharmacy team will work with appropriate parties to refer the member for other services such as but not limited to behavioral health consultation or drug treatment, etc. as appropriate.

Specialty Medications

Superior uses the Texas Health and Human Services (HHS) specialty drug list on the Texas VDP website, txvendordrug.com as guidance for the distribution of these products. Pharmacies who accept all terms and conditions of these products may dispense these quality-focused drugs.

Pharmacy Benefits

Medicaid and CHIP members have access to a large network of pharmacies for prescription needs. The pharmacy network includes retail chains, independent pharmacies, specialty pharmacies and mail order pharmacies. Medicaid and CHIP members may receive up to a 90-day supply of a drug. For a full listing of pharmacies in Superior's network go to <https://providersearch.superiorhealthplan.com/>. Medicaid members also have access to limited home health supplies that may be billed through the member's pharmacy benefit. A list of these supplies can be found at <https://www.txvendordrug.com/formulary/home-health-supplies>.

Pharmacy Claims Processing

Pharmacy claims adjudicate through the PBM's online adjudication system using the VDP's formulary. Claims submitted electronically have an 18-day window. Claims submitted non-electronically have a 21-day clean claim window. Clinical prior authorization criterion are also used when adjudicating claims, when applicable. The current PDL and list of implemented clinical prior authorizations can be viewed at <https://www.SuperiorHealthPlan.com/providers/resources/pharmacy/clinical-prior-authorization.html>.

Durable Medical Equipment

Superior reimburses for covered durable medical equipment (DME) and products commonly found in a pharmacy. For all qualified members, this includes medically necessary items such as nebulizers, ostomy supplies or bed pans and other supplies and equipment. For children (birth through age 20) Superior also reimburses for items typically covered under the Texas Health Steps program, such as the prescribed over-the-counter drugs, diapers, disposable or expendable medical supplies, and some nutritional products.

To be reimbursed for DME or other products normally found in a pharmacy for children (birth through age 20), a pharmacy must submit their claim through Superior under the following guidelines:

- All documentation must be legible.
- Claims must use EDI version 5010 guidelines as mandated by HIPAA rules.

- Primary Care Providers (PCPs) and all participating providers must submit claims or encounter data for every patient visit, even though they may receive a monthly capitation payment.
- All claims and encounter data must be submitted on either a form CMS 1500 or UB-04 (See Section 11 - Claims Information) or on electronic media in an approved HIPAA compliant format.

Call 1-800-460-8988 for more information about DME and other covered products commonly found in a pharmacy for children (birth through age 20). Physicians will have the option to prescribe incontinence supplies without obtaining prior authorization from Superior for payment. To do so, the incontinence supplies must be dispensed through one of Superior’s nationally contracted DME providers.

The waiver of authorization will only apply when ordering incontinence supplies through one of Superior’s nationally contracted DME providers. The prior authorization requirement will remain in effect for incontinence supplies whenever a nationally contracted DME provider is not used.

Contact Information

Superior Pharmacy Department – Biopharmaceutical Medication Prior Authorization (Buy and Bill)

Phone: 1-800-218-7453 ext. 22080

Fax..... 1-866-683-5631

Prior authorization forms can be found on the secure Provider Portal at www.SuperiorHealthPlan.com. The provider may submit a web authorization request, or fax the form to Superior at the fax number above.

SECTION 19

DENTAL SERVICES

Medicaid Emergency Dental Services

Superior is responsible for emergency dental services provided to Medicaid members in a hospital, free standing emergency room or ambulatory surgical center setting. Superior will pay for devices for hospital, physician and related medical services (e.g., anesthesia and drugs) for:

- Treatment of a dislocated jaw, traumatic damage to teeth and supporting structures, and removal of cysts;
- Treatment of oral abscess of tooth or gum origin; and
- Treatment and devices for correction of craniofacial anomalies and drugs.

Superior is not responsible for paying for routine dental services provided to Medicaid or CHIP members.

CHIP Emergency Dental Services

Superior is responsible for emergency dental services provided to CHIP members and CHIP perinate newborn members in a hospital or ambulatory surgical center setting. Superior will pay for hospital, physician and related medical services (e.g., anesthesia and drugs) for:

- Treatment of a dislocated jaw, traumatic damage to teeth and removal of cysts.
- Treatment of oral abscess of tooth or gum origin.

CHIP Non-Emergency Dental Services

Superior is not responsible for paying for routine dental services provided to CHIP members. The services are paid through Dental Managed Care Organizations.

Superior is responsible for paying for treatment and devices for craniofacial anomalies.

SECTION 20

ELECTRONIC VISIT VERIFICATION (EVV)

Electronic Visit Verification (EVV) applies to providers in the STAR+PLUS, STAR Kids and STAR Health program providing Texas Medicaid attendant or attendant-like services or habilitation services*. EVV is a telephone and computer-based system that electronically verifies when service visits occur and documents the precise time service provision begins and ends. The purpose of EVV is to verify that individuals are receiving the services authorized for their support and for which the state is being billed.

*Effective April 1, 2016, EVV was set as required by HHSC for LTSS-designated Providers with STAR+PLUS, STAR Health and Dual Eligible Integrated Care Demonstration. This includes Personal Assistance Services (PAS)/Primary Home Care (PHC) and Personal Care Services (PCS) provided in the home and in the community, In-home respite care, Community First Choice (CFC) Services and Personal Assistance Services/ Habilitation (PAS/HAB).

Effective November 1, 2016, EVV was set as required by HHSC for LTSS-designated Providers with Star Kids. This includes Personal Care Services (PCS), Community First Choice (CFC) Services, Personal Assistant Services/ Habilitation (PAS/HAB), Flexible Family Support Services (FFSS) and In-home Respite Care.

EVV is optional for members who have selected the Consumer Directed Services (CDS) option.

Effective April 1, 2016

Effective April 1, 2016, the Texas Health and Human Services Commission (HHSC), Texas Department of Aging and Disability Services (DADS) and Superior enforced EVV compliance.

Providers who contract with Superior on or after April 1, 2016 and provide services required to use EVV, must select and enroll with an HHSC approved EVV vendor prior to furnishing services to Superior members.

EVV Requirements

As a part of EVV compliance, providers must ensure Electronic Visit Verification data, including any necessary visit maintenance within sixty (60) days from the date of service is accurately documented in the EVV vendor system, in order to be properly reimbursed by Superior.

EVV data submission does not guarantee/provide for claims submission. Providers must submit clean claims to Superior after confirming EVV data has been captured and submitted to Superior.

EVV compliance and claim submissions are independent processes. EVV data must be captured and confirmed in the vendor systems prior to billing.

Providers can verify that their visits have been transmitted to the payor by utilizing the EVV Visit Log in both MEDsys and DataLogic Vendor Portals. The EVV Visit Log is used to verify the hours of services delivered by whom and to whom as well as to verify that all the visits were complete and accurate prior to the submission of a visit for billing. Additional reports are available to the providers in each vendor portal to check for unsent transmissions and/or inaccurate visit data. These reports include:

- DataLogic: Failed to Export Report - This report is used to display the visit records that failed to export to the payer.
- MEDsys: Mismatched Payors (TEXAS), Schedules Requiring Reason Codes and Transmissions (Unsent-CSV).

What is EVV?

EVV Frequently Asked Questions

Electronic Visit Verification (EVV) is a telephone and computer-based system that electronically verifies service visits and documents the precise time service provision begins and ends.

EVV is a method by which a person, including but not limited to a personal care attendant, who enters a member's home to provide a service will document their arrival time, tasks and departure time using a telephonic or computer-based application system (see above for applicable services). This visit information will be recorded and used as an electronic version of a paper time sheet for the person providing services, and used to support claims to Superior for targeted EVV services.

Note: Documentation of tasks is not a requirement, but is an option to help providers have a digital log of tasks/activities captured during the attendant visit. For more information on how to document tasks in the EVV system, please contact your EVV vendor.

There is no cost to providers associated with the use of EVV.

Providers may find Superior's EVV training by visiting the Superior website:

1. Visit: <https://www.SuperiorHealthPlan.com/providers/resources.html>.
2. Locate the Electronic Visit Verification (EVV) section.
3. Click on the EVV Provider Training link.

Do Providers Have a Choice of EVV Vendors?

- Provider selection of EVV vendor:
 - During a new provider's contracting and on-boarding process with Superior, a copy of the EVV Vendor Selection Form is available and may be provided in the application packet. Forms may also be downloaded by visiting <https://www.SuperiorHealthPlan.com/providers/resources.html>, and clicking on **EVV Vendor Selection Form**.
- Provider EVV default process for non-selection:
 - Providers are required to have made a Vendor selection and begin using EVV before starting any new services that are in scope for EVV. A provider is required to have all EVV data present and approved before submitting claims to Superior or they are subject to denial and/or recoupment. The provider will receive a default letter detailing out the vendor that they have been defaulted to and when they are required to be implemented with the vendor.
- When can a provider change EVV vendors?
 - A provider may change EVV vendors 120 days after the submission date of the change request.
 - A provider may change EVV vendors twice in the life of their contract with Superior.
 - A provider will submit an updated copy of the Provider Electronic Visit Verification Vendor System Selection form and select "Vendor Change" when requesting a change to another EVV Vendor. Providers should fax the completed form to Texas Medicaid and Healthcare Partnership (TMHP) at 1-512-506-6619 or email EVV@tmhp.com.

Can a Provider Elect Not to Use EVV?

Non-Consumer Directed Services (CDS) Employers - Provider Agency Model

EVV will be required to document delivery of the following services when delivered through the agency model:

- Personal care services (PCS).
- Community First Choice attendant care and habilitation (PAS/HAB).
- STAR Kids In-Home Respite.
- STAR Kids Flexible Family Support Services.
- Non-CDS EVV Providers must adhere to the Superior Provider Compliance Plan found on the Superior website at <https://www.SuperiorHealthPlan.com/providers/resources.html>, and clicking on **EVV Provider Compliance Plan**.

Is EVV Required for CDS Employers?

No. CDS employers have the option to choose from the following three (3) options:

- **Phone and Computer (Full Participation):** The telephone portion of EVV will be used by your CDS employee(s) and you will use the computer portion of the system to perform visit maintenance.
- **Phone Only (Partial Participation):** This option is available to CDS employers who can participate in EVV, but may need some assistance from the FMSA with visit maintenance. You will use a paper time sheet to document service delivery. Your CDS employee will call in when they start work and call out when they end work. Your FMSA will perform visit maintenance to make the EVV system match your paper time sheet.
- **No EVV Participation:** If you do not have access to a computer, assistive devices or other supports, you do not feel you can fully participate in EVV, or you do not feel you can fully participate in EVV, you may choose to use a paper time sheet to document service delivery.

How Do Providers with Assistive Technology (ADA) Needs Use EVV?

- If you use assistive technology, and need to discuss accommodations related to the EVV system or materials, please contact the HHSC-approved EVV vendors.

EVV Vendors

DataLogic (Vesta) Software, Inc.

| | | |
|--|--|------------------------------|
| Contact: | Email: | Phone: 1-888-880-2400 |
| Sales & Training | info@vestaevv.com | Fax: 1-956-412-1464 |
| Tech Support | support@vesta.net | |
| Website: www.vestaevv.com | | |

MEDsys Software Solutions, LLC

| Contact: | Email: | Phone: |
|---|---|--|
| Texas Dedicated Support and Sales Number | Sales: info@medsyshcs.com | Support: 1-877-698-9392; Option 1 Sales: 1-877-698-9392; Option 2 |
| Website: www.medsyshcs.com | | Fax: 1-866-497-9066 |

For additional questions, contact Superior's Provider Services department at 1-877-391-5921.

EVV Use of Small Alternative Device (SAD) Process and Required Forms

- The SAD process and SAD forms can be found at: <https://hhs.texas.gov/doing-business-hhs/provider-portals/resources/electronic-visit-verification/evv-forms-handbooks>.
- Where do I submit the SAD agreement/order form?
 - The form is submitted to the provider-selected EVV vendor.
 - DataLogic - email from to: tokens@vestaevv.com or send secure fax to 1-956-290-8728.
 - MEDsys - email form to: tokens@medsyshcs.com or send secure fax to 1-888-521-0692.
- SAD equipment provided by an EVV contractor to a provider, if applicable, must be returned in good condition.

EVV Claims Validation

Following the EVV Provider Compliance Plan, Superior will validate claims by:

- Administering EVV compliance based on claims validation against submitted EVV transactional data.
Note: EVV transaction data is the capture of the clock in/out approved detail of the attendant service.
- Evaluating claims for EVV covered services (as listed above) against verified visits (logged in the EVV system selected by the provider) which are transferred daily to Superior.
- After standard claim adjudication rules, Superior will also verify that the provider ID, member ID, dates of service, procedure codes and billed units from the EVV transactional data match what is billed on the claim.
 - If the EVV transactional units match the billed units, the claims will pay appropriately.
 - If there is no corresponding EVV transactional data, the claim is subject to denial.
 - If the billed units exceed the EVV validated transactional units, the claim is subject to denial or partial payment for units that were validated.

Claims must be submitted within ninety-five (95) calendar days of the EVV visit.

EVV Claim Processing

Superior may conduct claim matching for EVV transactional data either upfront (for a pre-payment review) or retrospectively (for a post-payment potential recoupment). When executing a retrospective analysis, Superior will compare submitted claims against completed EVV transactions after payment, so that unverified billed services

can be identified and recouped. As mentioned above, Superior will administer EVV compliance based on claims validation against submitted EVV transactional data. For EVV covered services, Superior will evaluate billed claims against verified visits (logged in the EVV system selected by the provider) which are transferred daily to Superior from each EVV Vendor.

After standard claim adjudication rules, Superior will execute an additional verification based on matching Provider ID, Member ID, dates of service, procedure codes, modifier codes (where applicable), and billed units. Please note:

- If the EVV transactional units match the billed units, the claims will pay appropriately.
- If there is no corresponding EVV transactional data, the claim is subject to denial. (For details on how to submit a claims appeal, please refer to Section 10 of this manual, Claims and Encounters Administration).
- If the billed units exceed the EVV validated transactional units, the claim is subject to denial or partial payment for units that were validated.

In addition, Superior may execute a retrospective analysis of submitted claims against completed EVV transactions after payment so that unverified billed services can be identified and recouped.

EVV Compliance

All providers, with the exception of CDS employers, providing the mandated services must use the EVV system and must maintain compliance.

- The HHSC Compliance Plan, including compliance standards and EVV guidelines as they relate to claims, training, reports, equipment and corrective action plans, are located at: <https://hhs.texas.gov/doing-business-hhs/provider-portals/resources/electronic-visit-verification/provider-compliance-plans>.
- The Superior EVV Compliance Plan is located by visiting www.SuperiorHealthPlan.com/providers/resources.html, and clicking on EVV Provider Compliance Plan.

Providers must comply with Superior's Provider Compliance Plan as well as these set forth guidelines:

- Provider must enter member information, provider information and service schedules (scheduled or non-scheduled) into the EVV system for validation either through an automated system or a manual system.
- Providers need to complete training from the EVV vendor they have chosen to contract with. This training must be completed prior to the provider's start date with the EVV vendor.
- Provider must have a confirmed authorization for the services before they provide them. Transactions submitted to Superior without an authorization will result in the vendor not being paid its transaction fees by Superior (For details on how to submit an authorization request, please refer to Section 9 of this manual, Prior Authorization, Notification and Referrals).
- 90% adherence to Provider Compliance Plan
 - HHSC EVV Initiative Provider Compliance Plan – A set of requirements that establish a standard for EVV usage that must be adhered to by providers under the HHSC EVV initiative.
 - Provider (at the TIN level) must maintain at all times a 90% compliance level of submitting transactions using preferred reason codes, which, must be used each time a change is made to an EVV visit record in the EVV system and which Superior will regularly monitor.
 - If Superior is assessed financial penalties such as liquidated damages by HHSC for one or more of its providers failing to maintain the 90% compliance level, Superior has the right to pass through those

financial penalties to each such provider. The provider may choose to repay such amount to Superior or, in the absence of such repayment, to have the amount offset against future claims amounts due to provider.

- Provider must complete any and all required visit maintenance in the EVV system within sixty (60) days of the visit (date of service). Visit maintenance not completed prior to claim submission is subject to claim denial or recoupement. Providers must submit claims in accordance with their contracted entity claim submission policy. Providers must ensure that claims for services are supported by service delivery records that have been verified by the provider agency and fully documented in an EVV System. No visit maintenance will be allowed more than sixty (60) days after the date of service and before claims submission, unless an exception is granted on a case-by-case basis.
- Provider must use the reason code that most accurately explains why a change was made to a visit record in the EVV System. Superior will review reason code use by their contracted providers to ensure that preferred reason codes are not misused.
- If it is determined that a provider has misused preferred reason codes, the provider's HHSC EVV Initiative Provider Compliance Plan Score may be negatively impacted, and the provider may be subject to the assessment of liquidated damages, imposition of contract actions, implementation of the corrective action plan process, and/or referral for a fraud, waste and abuse investigation.
- Provider must ensure quality and appropriateness of care and services rendered by continuously monitoring for potential administrative quality issues.
- Provider must systematically identify, investigate, and resolve compliance and quality of care issues through the corrective action plan process.
- Provider should notify the appropriate Superior, or HHSC, within forty-eight (48) hours of any ongoing issues with EVV vendors or issues with EVV Systems.
- Any Corrective action plan required by Superior is required to be submitted by the network provider to the Superior within ten (10) calendar days of receipt of request.
- A Superior provider may be subject to liquidated damages and termination from the Superior network for failure to submit a requested corrective action plan in a timely manner.

EVV data submission does not guarantee/provide for claims submission. Providers must submit clean claims to Superior after confirming EVV data has been captured and submitted to Superior.

When a member does not want to allow a provider the use of their landline or does not have a landline, providers may request a small alternative device (SAD) as per the current HHSC SAD process found on the HHSC website. SAD request forms can be found online at <https://hhs.texas.gov/doing-business-hhs/provider-portals/resources/electronic-visit-verification/evv-forms-handbooks>.

Superior, PAS/PHC, PCS, in-home respite services and Community First Choice (CFC) services (basic attendant and habilitation) providers and EVV vendors must follow the HHSC Medicaid Managed Care Electronic Visit Verification Manual as found in the UCMCM Chapter 8.7.

A service provider requesting to change from one EVV system to another EVV system must complete and submit a new Medicaid Electronic Visit Verification Provider System Selection Form 120 calendar days in advance of the effective change date providers may not submit an EVV system change to another vendor until the first day after the end of the grace period for EVV implementation. The EVV Provider System Selection Form and information on the EVV vendors can be found at <https://hhs.texas.gov/doing-business-hhs/provider-portals/resources/electronic-visit-verification/evv-forms-handbooks>.

Provider Requirements Timeline:

Compliance began for all providers implementing EVV effective April 1, 2016. There is no longer a grace period for any newly contracted or enrolled provider for services applicable to the EVV program.

Providers, who contract with Superior from the compliance date on, and provide services required to use EVV, must select and enroll with an HHS-approved EVV vendor prior to furnishing services to Superior members.

EVV Compliance Alignment:

- Superior will analyze utilization of visit maintenance reason codes on a monthly basis by provider agency.
- If the provider shows a consistent pattern of significant Visit Maintenance activity, Superior will leverage provider outreach for additional education and/or corrective action plans to review the provider situation for why traditional phone or SAD verification of EVV transactions are not the primary transaction type. Providers can also request additional training or education through the selected EVV vendor or from their dedicated Superior Account Manager.
- If non-compliance against the HHSC compliance plan or Superior Compliance Plan is sustained, a request for potential termination from the network may be pursued.

Other Notes:

- Providers are responsible to enter EVV transactions (arrival time and departure time) and/or update EVV data thru visit maintenance within sixty (60) days starting the date of the service visit and claims should not be submitted before the EVV information is verified and entered into the system.
Note: Providers can verify that their visits have been transmitted to the payor by utilizing the EVV Visit Log in both MEDsys and DataLogic Vendor Portals. The EVV Visit Log is used to verify the hours of services delivered by whom and to whom as well as to verify that all the visits were complete and accurate prior to the submission of a visit for billing. Additional reports are available to the providers in each vendor portal to check for unsent transmissions and/or inaccurate visit data. As mentioned above, these reports include: DataLogic: Failed to Export Report and MEDsys: Mismatched Payors (TEXAS), Schedules Requiring Reason Codes and Transmissions (Unsent-CSV).
- EVV compliance and claim submissions are independent processes. EVV data must be captured and confirmed in the vendor systems prior to billing.
- EVV does not eliminate the need to obtain prior authorization. Providers still need to secure prior authorizations for these services prior to rendering services. If a provider has not received prior authorization for services, they must contact Superior at 1-877-391-5921.
 - Please refer to the Superior HealthPlan website (www.SuperiorHealthPlan.com) for a list of services that require prior authorization.
- For EVV complaints regarding EVV approved vendors, providers can contact SHP_EVV@centene.com.
- For general EVV questions, providers may contact:
 - Superior Provider Services at 1-877-391-5921.
 - HHSC at Electronic_Visit_Verification@hhsc.state.tx.us.

SECTION 21

ATTACHMENTS

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Attachment A – Prior Authorizations List

Prior Authorization List

Revised 9/15/17

Phone: 1-800-218-7508

Fax: 1-800-690-7030

Outpatient: 1-844-310-5517 (CHIP requests only)



Superior HealthPlan requires that all services described on this list be authorized prior to the services being rendered. Requests should be submitted no less than **5 business days** prior to the start of service. All services are subject to eligibility at the time of service and benefit limitations or exclusions.

Inpatient Hospitalization:

Pre-scheduled, elective admissions must have authorization prior to admission. Fax request along with clinical documentation to 1-800-690-7030. **Emergent inpatient admissions** to any level of acute or sub-acute care, skilled nursing facilities, rehabilitation admission and all other inpatient facility type require notification by the close of the next business day. **Phone notifications:** call 1-855-594-6103 for all regions, except Medicaid RSA and CHIP RSA which is 1-877-804-7109. **Web notifications:** www.SuperiorHealthPlan.com.

Fax notifications:

- Austin: 1-877-650-6939
- Corpus Christi: 1-877-650-6940
- Dallas: 1-855-707-5480
- El Paso: 1-877-650-6941
- Lubbock: 1-866-865-4385
- McAllen (Hidalgo): 1-877-212-6661
- San Antonio: 1-877-650-6942
- Medicaid RSA and CHIP RSA: 1-877-505-0823

Non-Participating/Out of Network Providers:

Request for services from a non-participating, out of network facility, provider or vendor in any location requires authorization.

Exception: emergent admissions, whereby the notification process above should be followed.

Services Requiring Authorization:

Specialists

- Chiropractor
- Oral Surgeon*
- Plastic and Reconstructive Surgery*
- Podiatry*

*Note: Office visits do not require authorization; only procedures performed in any location require an authorization.

In Home/Outpatient Therapy/Rehabilitation

All therapy services require prior authorization. Initial evaluation requests must be submitted by the PCP or pertinent physician. If the request is for therapy in the home, a signed At-Home Attestation form will be required.

- Speech*, Occupational*, Physical*
- Pulmonary & Cardiac Rehab
- Cognitive Rehabilitation Therapy

*Note: Therapy provided by an ECI provider as part of an ECI IFSP are excluded from authorization requirement.

Other Services and Tests

- Durable Medical Equipment (DME) - DME supplies require prior authorization, however there are a few exceptions:
 - Select DME supplies requested from Superior's DME preferred provider, Medline, and are requested within the allowable benefit limit, do not require prior authorization. For the full list of DME supplies that do not require authorization through Medline, visit:
https://www.SuperiorHealthPlan.com/content/dam/centene/Superior/Provider/PDFs/SHP_201741028-DME-Provider-Network-Codes-P-09052017.pdf
 - Please note: DME items from the list above require prior authorization when supplied by a non-preferred provider.
 - DME supplies under \$500 and not on the Medline DME supply list linked above, do not require prior authorization.

Please keep these exceptions in mind when requesting and referencing DME supplies requiring prior authorization, listed in the full standardized DME supply list below:
https://www.SuperiorHealthPlan.com/content/dam/centene/Superior/Provider/PDFs/StandardDMEListing_20131121.pdf

- Enteral Nutrition
- Hearing Aids
- Incontinence Supplies
- Orthotics/Prosthetics
- Genetic Testing
- Quantitative Testing for Drugs of Abuse
- Nutritional Counseling: authorization not required when performed as part of a Texas Health Steps exam or for ECI assessment)
- Allergen Immunotherapy Services: *unless services provided by an allergist or immunologist.*
- Pain Management Services: *all providers, regardless of specialty, require an authorization to perform most pain management procedures. For exceptions, please check the prior authorization tool at <http://www.SuperiorHealthPlan.com/for-providers/pre-auth-needed/>. All other pain management procedures not listed still require a prior authorization.*
- Sleep Study
- Home Health/Skilled Nursing/Home Health Aid/Private-Duty Nursing
- Telemonitoring
- Miscellaneous Codes and Items that Exceed Benefit Allowable

Transportation

- Air transport
- Non-emergent ambulance transport requests: *must originate from the office of the referring physician or facility. Ambulance providers may no longer request prior authorization for this service.*

Pharmaceuticals (Fax requests to 1-866-683-5631)

- Botox, Viscosupplementation
- Injectable medications with miscellaneous billing codes
- Synagis
- All off-label chemotherapy requires preauthorization.

Excludes: epogen/aransep for ESRD members on dialysis

Excludes: epogen/neupogen for oncology members.

Excludes: chemotherapy J9000-J9999 prescribed by oncologist

Surgical or Other Procedures

- Abortion
- Bariatric Surgery
- Blepharoplasty
- Dental Anesthesia
- Circumcision 1 year and older
- Hysterectomy
- Infertility
- Implantable devices including
- Cochlear Implant
- Mammoplasty
- Otoplasty
- Rhinoplasty/Septoplasty
- Scar Revision
- Excision/scraping/shaving of lesions
- Treatment of Varicose Veins
- Vagus Nerve Stimulation

Transplant

All services for Transplant Evaluation and Transplant Procedures.

Long Term Services & Support (LTSS)

STAR Kids and STAR Health LTSS fax line: 1-866-753-5659

STAR+PLUS LTSS fax line: 1-866-224-8254

- Personal Attendant Services
- Day Activity & Health Services
- Personal Care Services
- Nursing Services (In home)
- Home Delivered Meals
- Assisted Living
- Adult Foster Care
- Minor Home Modifications
- Adaptive Aids
- Emergency Response Services
- Transition Assistance Services
- Employment Assistance
- Supported Employment
- Respite Services
- Flexible Family Support Services

Radiology

Contact National Imaging Associates (NIA) at 1-800-218-7508, opt 3, or visit: www.radmd.com

- Pre-certification through NIA is required for outpatient diagnostic procedures:
 - CT, CTA, MRI, MRA, PET
- Cardiac imaging modalities (all products effective 2/1/14):
 - CCTA Stress Echo, Echocardiography (only for STAR+PLUS), and Nuclear Cardiology

Vision

Contact Envision Vision at 1-877-865-1077, or visit: <https://visionbenefits.envolvehealth.com>

SuperiorHealthPlan.com

SHP_20163781

Attachment B – Specialist PCP Request Form



Specialist as PCP Request Form

Medicaid Member Identification Form

| |
|--|
| Member Name: |
| Member ID Number: |
| Member Phone Number: |
| Member Address: |
| PCP on Record: |
| Member Diagnosis: |
| Clinical Data: |
| Specialist Signature: |
| Member Signature: |
| Member Reason for Requesting Specialist as PCP: |
| Approved (circle one): Yes No |
| For Internal Use Only |
| Date Received in MM: Date of Request: |
| Signature of VPMA or MD: |
| Date Sent to MS: |
| Date Sent to PR: |

Attachment C – Medical Record Guidelines



Medical Record Guidelines

Medical records may be on paper or electronic. Superior requires that records be maintained in a legible, current, detailed, organized and comprehensive manner that permits effective patient care and quality review.

The records must reflect all aspects of patient care, including ancillary services. Superior may audit record keeping practices and individual Member medical records in conjunction with its ongoing Quality Assessment and Performance Improvement (QAPI) Program activities or as a result of Member complaints. Providers scoring less than 80% on medical record audits may be placed under a corrective action plan, may be subject to additional medical record reviews, or may be referred to Superior's Quality Improvement Committee for recommendations.

Superior has adopted the following standards regarding medical records. At a minimum, medical records shall include:

| |
|--|
| Written policy regarding confidentiality & safeguarding of Member information; records are protected through secure storage with limited access. |
| Records are organized, consistent and easily retrieved at the time of each visit. Written procedure for release of information and obtaining consent for treatment. |
| Each page in the record contains the patient's name or ID number. |
| Personal/biographical data includes address, age, sex, employer, home and work telephone numbers, and marital status as well as assessment of cultural and/or linguistic needs (preferred language, religious restrictions) or visual or hearing impairments. |
| All entries in the medical record contain author identification, are legible (to someone other than the writer), in ink and dated. |
| The history and physical exam records appropriate subjective and objective information for presenting complaints. |
| Problem List documenting significant illnesses, behavioral health and/or medical conditions; unresolved problems from previous office visits are addressed in subsequent visits. |
| Medication List includes instructions to Member regarding dosage, initial date of prescription, and number of refills. |
| Medical allergies and adverse reactions are prominently documented in a uniformed location in the medical record; If no known allergy, NKA or NKDA is documented. |
| An immunization record is established for pediatric Members or an appropriate history is made in chart for adults. |
| Past medical history (for patients seen three or more times) is easily identified and includes any serious accidents, operations and/or illnesses, discharge summaries, and ER encounters; for children and adolescents (18 years and younger) past medical history relating to prenatal care, birth, any operations and/or childhood illnesses. |

SHP_2013222 Medical record Guidelines




| |
|---|
| Physical, clinical findings and evaluation for each visit are clearly documented including appropriate treatment plan and follow-up schedule as indicated. |
| Consultation lab/imaging reports and other studies are ordered, as appropriate. Abnormal lab and imaging study results have explicit notations in the record for follow up plans. All entries are initialed by the ordering practitioner (or other documentation of review) to signify review. |
| All working diagnoses, and treatment plans are consistent with findings. Ancillary tests and/or services (diagnostic and therapeutic) ordered by practitioner are documented; encounter forms or notes include follow-up care, calls, or visits., with specific time of return noted in weeks, months, or PRN, and include follow up of outcomes and summaries of treatment rendered elsewhere. |
| No evidence that the patient is placed at inappropriate risk by a diagnostic or therapeutic procedure (does the care appear to be medically appropriate?). |
| Health teaching and/or counseling is documented. If a consultation is requested, there is a note from the consultant in the record. |
| For Members ten (10) years and over, appropriate notations concerning use of tobacco, alcohol and substance use (for Members seen three or more times, substance use history should be queried). |
| Documentation of failure to keep an appointment. |
| Evidence that an Advance Directive has been discussed with adults 18 years of age and older. |

Additional Behavioral Health Documentation Standards:

- For Members receiving behavioral health treatment, documentation is to include "at risk" factors (danger to self/others, ability to care for self, affect, perceptual disorders, cognitive functioning, and significant social history).
- For Members receiving behavioral health treatment, an assessment is done with each visit relating to client status/symptoms to treatment progress. Documentation may indicate initial symptoms of behavioral health condition as decreased, increased, or unchanged during treatment period.
- For Members who receive behavioral health treatment, documentation shall include evidence of family involvement, as applicable, and include evidence that family was included in treatment planning and therapy sessions, when appropriate.
- For Members who receive behavioral health treatment, documentation shall include evidence of attempts by treating providers to communicate and coordinate behavioral health treatment with primary care providers and other behavioral health providers. This should include, at a minimum, the documentation of attempts to provide members' behavioral health diagnosis(es), current symptoms, behavioral health medications, any pertinent lab work, assessment and current treatment plan.

Attachment D – ID Cards

CHIP MEMBER ID CARD

| | |
|---|---|
|   |  |
| MEMBER ID #: MEMBER NAME: | CO-PAYMENT OFFICE VISIT: IN-PATIENT: Rx BRAND: Rx GENERIC: NON-EMERGENCY ER: |
| PRIMARY CARE PROVIDER NAME: PHONE: EFFECTIVE DATE: | Rx GROUP ID #: Rx BIN #: Rx PCN: PBM: |
| TDI | |
| SuperiorHealthPlan.com | |

Available 24 hours a day/7 days a week

Member Services

1-800-783-5386

Behavioral Health Services

1-800-783-5386

In case of emergency, call 911 or go to the closest emergency room. After treatment, call your child's PCP within 24 hours or as soon as possible.

Disponible 24 horas al día/7 días a la semana

Servicios para Miembros




1-800-783-5386

Servicios de Salud del Comportamiento

1-800-783-5386

En caso de emergencia, llame al 911 o vaya a la sala de emergencias más cercana. Después del tratamiento, llame al PCP de su hijo dentro de 24 horas o tan pronto como sea posible.

CHIP PERINATE MEMBER ID CARD

| | |
|---|---|
|   |  |
| MEMBER ID #: MEMBER NAME: | Rx GROUP ID #: Rx BIN #: Rx PCN: PBM: |
| EFFECTIVE DATE: CATEGORY A OR B: | |
| TDI | |
| SuperiorHealthPlan.com | |

Available 24 hours a day/7 days a week

Member Services

1-800-783-5386

In case of emergency, call 911 or go to the closest emergency room.

Disponible 24 horas al día/7 días a la semana

Servicios para Miembros

1-800-783-5386

En caso de emergencia, llame al 911 o vaya a la sala de emergencias más cercana.

Hospital Facility Billing




Category A: Bill TMHP if income is at or below the Medicaid eligibility threshold.

Category B: Bill Superior HealthPlan if income is above the Medicaid eligibility threshold.

Professional/Other Services Billing

Bill Superior HealthPlan regardless of FPL percentage.

CHIP PERINATE NEWBORN MEMBER ID CARD

| | |
|---|---|
|   |  |
| MEMBER ID #: MEMBER NAME: | Rx GROUP ID #: Rx BIN #: Rx PCN: PBM: |
| PRIMARY CARE PROVIDER NAME: PHONE: EFFECTIVE DATE: | No co-payments or cost-sharing. |
| TDI | |
| SuperiorHealthPlan.com | |

Available 24 hours a day/7 days a week

Member Services

1-800-783-5386

Behavioral Health Services

1-800-783-5386

In case of emergency, call 911 or go to the closest emergency room. After treatment, call your child's PCP within 24 hours or as soon as possible.

Disponible 24 horas al día/7 días a la semana

Servicios para Miembros

1-800-783-5386

Servicios de Salud del Comportamiento

1-800-783-5386

En caso de emergencia, llame al 911 o vaya a la sala de emergencias más cercana. Después del tratamiento, llame al PCP de su hijo dentro de 24 horas o tan pronto como sea posible.

STAR MEMBER ID CARD



MEMBER ID #:
MEMBER NAME:

PRIMARY CARE PROVIDER
NAME:
PHONE:
EFFECTIVE DATE:

Rx GROUP ID #:
Rx BIN #:
Rx PCN:
PBM:

SuperiorHealthPlan.com

Available 24 hours a day/7 days a week

Member Services
1-800-783-5386

Behavioral Health Services
1-800-783-5386

In case of emergency, call 911 or go to the closest emergency room.
After treatment, call your PCP within 24 hours or as soon as possible.

Disponible 24 horas al día/7 días a la semana

Servicios para Miembros
1-800-783-5386

Servicios de Salud del Comportamiento
1-800-783-5386

En caso de emergencia, llame al 911 o vaya a la sala de emergencias más cercana. Después del tratamiento, llame al PCP dentro de 24 horas o tan pronto como sea posible.

STAR HEALTH MEMBER ID CARD



MEMBER ID #:
MEMBER NAME:

PRIMARY CARE PROVIDER
NAME:
PHONE:
EFFECTIVE DATE:

Rx GROUP ID #:
Rx BIN #:
Rx PCN:
PBM:

SuperiorHealthPlan.com

Member Services: 1-866-912-6283

Available 24 hours a day/7 days a week

Service Coordinator: 1-866-912-6283

Vision Services: 1-866-642-8959

Behavioral Health: 1-866-912-6283

Dental Services: 1-888-308-4766

In case of emergency, call 911 or go to the closest emergency room.
After treatment, call your PCP within 24 hours or as soon as possible.

Servicios para Miembros: 1-866-912-6283

Disponible 24 horas al día/7 días de la semana

Coordinadora de Servicios: 1-866-912-6283



Servicios de Salud del Comportamiento: 1-866-912-6283


Servicios de la Vista: 1-866-642-8959

Servicios Dentales: 1-888-308-4766

En caso de emergencia, llame al 911 o vaya a la sala de emergencias más cercana. Después del tratamiento, llame a su PCP dentro de 24 horas o tan pronto como sea posible.

STAR Kids ID CARD



MEMBER NAME:
SUPERIOR MEMBER ID #:

PRIMARY CARE PROVIDER
NAME:
PHONE:
EFFECTIVE DATE:

Rx GROUP ID #:
Rx BIN #:
Rx PCN:
PBM:

SuperiorHealthPlan.com

Member Services | Behavioral Health | Nurse Advice Line: 1-844-590-4883

Available 24 hours a day/7 days a week

Service Coordinator: 1-844-433-2074

Available Monday-Friday, 8 a.m.-5 p.m.

In case of emergency, call 911 or go to the closest emergency room. After treatment, call your PCP within 24 hours or as soon as possible. Medicaid recipients who are also eligible for Medicare only have Long Term Services and Supports through Superior.

Servicios para miembros | Salud del comportamiento | La línea de consejería de enfermería: 1-844-590-4883



Disponible 24 horas al día/7 días a la semana


Coordinadora de Servicios: 1-844-433-2074

Disponible de 8 a.m. a 5 p.m., de lunes a viernes

En caso de emergencia, llame al 911 o vaya a la sala de emergencias más cercana. Después del tratamiento, llame a su PCP dentro de 24 horas o tan pronto como sea posible. Recipientes de Medicaid que también están elegibles para Medicare tienen solamente Servicios y Apoyos a Largo Plazo con Superior.

STAR+PLUS MEMBER ID CARD



MEMBER ID #:
MEMBER NAME:

PRIMARY CARE PROVIDER
NAME:
PHONE:
EFFECTIVE DATE:

Rx GROUP ID #:
Rx BIN #:
Rx PCN:
PBM:

SuperiorHealthPlan.com

Member Services: 1-866-516-4501

Available 24 hours a day/7 days a week

Service Coordinator: 1-877-277-9772

Behavioral Health: 1-866-516-4501

In case of emergency, call 911 or go to the closest emergency room. After treatment, call your PCP within 24 hours or as soon as possible. Medicaid recipients who are also eligible for Medicare only have Long Term Services and Supports through Superior.

Servicios para Miembros: 1-866-516-4501

Disponible 24 horas al día/7 días de la semana

Coordinadora de Servicios: 1-877-277-9772

Servicios de Salud del Comportamiento: 1-866-516-4501

En caso de emergencia, llame al 911 o vaya a la sala de emergencias más cercana. Después del tratamiento, llame a su PCP dentro de 24 horas o tan pronto como sea posible. Recipientes de Medicaid que también están elegibles para Medicare tienen solamente Servicios y Apoyos a Largo Plazo con Superior.

Attachment E – Your Texas Benefits Medicaid Card

What does the Medicaid card look like?

The card is plastic, like a credit card, and it has your name and Medicaid ID number on the front.

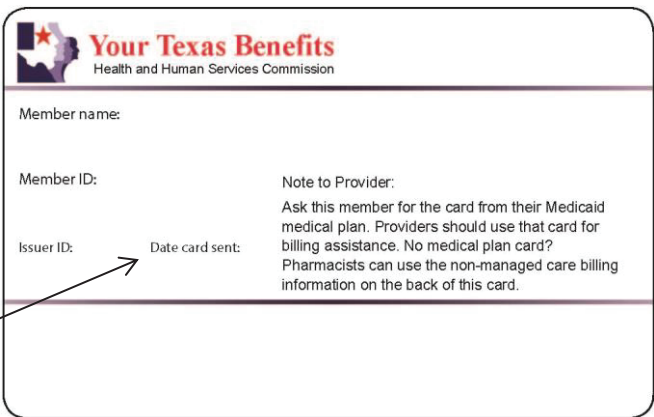
Front of the card:

This is where your name appears.

This is your Medicaid ID number.

This is HHSC's agency ID number.
Doctors and other providers need this number.

This is the date the card was sent to you.



Back of the card:

This message is for you.

This reminds your doctor to make sure you are still in the Medicaid program before giving you services.

These messages help doctors and providers get paid for the Medicaid services they give you.



Front of the card:

The Your Texas Benefits banner is in the top left corner of the card.

Your name appears just under the Your Texas Benefits banner.

Your Medicaid ID number appears just below your name.

Below your Medicaid ID number is the Issuer ID. This is HHSC's agency ID number. Doctors and other providers need this number. Just to the right of the Issuer ID number is the date the card was sent to you.

Back of the card:

There is a magnetic strip across the top of the back side of the card. This holds digital information about you, such as your name and Medicaid ID number.

Below the magnetic strip is a message for you that says “Keep this card with you. This is your medical ID card. Show this card to your doctor when you get services. To learn more, go to www.YourTexasBenefits.com or call 1-800-252-8262.”

Below that is the same message in Spanish. Below the Spanish is text in all capital letters that says, “THIS CARD DOES NOT GUARANTEE ELIGIBILITY OR PAYMENT FOR SERVICES.”

Below that is a message for providers that says “To verify eligibility, call 1-855-827-3747. Non-pharmacy providers can also verify eligibility at www.YourTexasBenefits.com Non-managed care pharmacy claims assistance: 1-800-435-4165.”

At the bottom of the card is the following text: “Non-managed care Rx billing: RxBIN:610084 / RxPCN: DRTXPROD / RxGRP: MEDICAID.”

Attachment F – Member Advocate Referral Form

MemberConnections®/ Member Advocate Referral Form



Please fax form to:
STAR Kids: 1-844-727-6805
STAR+PLUS: 1-877-357-1098

| Provider Information | | | | | | | |
|---------------------------------|--------------------|------------------------|------------------------------------|------------------------------|---------------|--|---------|
| Provider Name: _____ | | | | Phone #: _____ | | | |
| Point of Contact: _____ | | | | Date: _____ | | | |
| Member Information | | | | | | | |
| Member Name | Member's ID Number | Member's Phone Numbers | Appointment No Show (Include Date) | Education of Plan Procedures | Non-Compliant | Abusive Behavior Towards Medical Staff | **Other |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **Other (Please Explain): _____ | | | | | | | |
| _____ | | | | | | | |

Attachment G – Inpatient Notification Form

Emergent Inpatient Notification Form Request for Authorization



Please fax to:

Austin 1-877-650-6939
Corpus Christi 1-877-650-6940
Dallas 1-855-707-5480
El Paso 1-877-650-6941

Lubbock 1-866-865-4385
McAllen (Hidalgo) 1-877-212-6661
Medicaid RSA and CHIP RSA 1-877-505-0823
San Antonio 1-877-650-6942

Web notifications: www.SuperiorHealthPlan.com

Provider / Facility Name: _____ **Number of Pages:** _____

Contact Name: _____ **Phone Number:** _____ **Fax Number:** _____

Insurance Type: ☐ STAR Medicaid ☐ STAR Health -Foster Care ☐ COB Other
☐ CHIP ☐ STAR+PLUS

Type of Request:

| | |
|---|---|
| <input type="checkbox"/> Admission I/P or <input type="checkbox"/> OBS to Inpatient | <input type="checkbox"/> Emergency or <input type="checkbox"/> Elective |
| <input type="checkbox"/> Out of Network or <input type="checkbox"/> Participating | |

Patient Name: _____ **Med Rec Number:** _____ **Room Number:** _____

Patient ID Number: _____ **Patient DOB:** _____ **UR Number:** _____

☐ Provider NPI: _____ ☐ Facility NPI: _____

☐ Provider Tax ID: _____ ☐ Facility Tax ID: _____

Address: _____

Admission Date / I/P Conversion Date: _____

Admitting DX/ codes: _____

Physician Name: _____ **Physician Phone:** _____

Delivery Info (if applicable)

Type of Delivery: ☐ Vaginal ☐ C-Section **Newborn DOB/Time:** _____ ☐ Male ☐ Female

Baby's MRN Number: _____ **Weight in Grams:** _____ **APGAR:** _____ **Gest Age:** _____

☐ Nursery

☐ NICU **Sick baby DX:** _____ **Admitting Physician:** _____

Clinical Fax:

| | | | |
|-----------------|----------------|---------------------------|----------------|
| Austin | 1-877-264-6547 | McAllen (Hidalgo) | 1-866-895-4080 |
| Corpus Christi | 1-866-912-6291 | Medicaid RSA and CHIP RSA | 1-877-804-5268 |
| Dallas | 1-855-232-3606 | San Antonio | 1-866-683-5632 |
| El Paso/Lubbock | 1-866-683-5620 | | |

Clinical information for medical necessity attached and faxed: ☐ Yes ☐ No

SuperiorHealthPlan.com

SHP_2013313

Attachment H – Request for Prior Authorization

REQUEST FOR PRIOR AUTHORIZATION

Date of Request* _____ *Required fields



☐ **Urgent Request** - By checking this box, I certify that this is an urgent request medically necessary treatment, which must be treated within 24 hours.
Please Note: Urgent is defined as a health condition, including an urgent behavioral health situation, which is not an emergency but is severe or painful enough to require medical treatment evaluation or treatment within 24 hours to prevent serious deterioration of the member's condition or health.

Member Information

First Name _____ Member ID* _____
Last Name _____ Date of Birth* _____

Provider Information

NPI* _____ TPI* _____ Contact Number* _____
Tax ID* _____ Fax Number* _____
Last Name, First Initial or Facility Name _____
Contact Name / Requestor _____

Submitting / Referring / Performing Provider

☐ Check box if same as above.

NPI* _____ TPI* _____ Contact Number* _____
Tax ID* _____ Fax Number* _____
Last Name, First Initial or Facility Name _____
Contact Name / Requestor _____

Requested Service

Type of Service

- ☐ DME Rental* ☐ DME Purchase* ☐ DME Incontinence Supply*
☐ Home Health ☐ SNV ☐ PDN ☐ Therapy
☐ Genetic Testing Type: _____ Pregnant ☐ Yes ☒ No
☐ Outpatient Services ☐ Office Visit ☐ Rehab ☐ Evaluations
☐ Re-Evaluations ☐ Non-Emergent Transportation ☐ Inpatient
☐ Other _____

*All DME require signed physician orders. All HH and Rehab requests require signed physician's order and plan of care/treatment plan.

LTSS Services

- | | | |
|---|--|---|
| <input type="checkbox"/> Personal Attendant Services | <input type="checkbox"/> Assisted Living | <input type="checkbox"/> Transition Assistance Services |
| <input type="checkbox"/> Day Activity & Health Services | <input type="checkbox"/> Adult Foster Care | <input type="checkbox"/> Employment Assistance |
| <input type="checkbox"/> Personal Care Services | <input type="checkbox"/> Adaptive Aids | <input type="checkbox"/> Supported Employment |
| <input type="checkbox"/> Nursing Services | <input type="checkbox"/> Emergency Response Services | <input type="checkbox"/> Respite Services |
| <input type="checkbox"/> Home Delivered Meals | <input type="checkbox"/> Minor Home Modifications | <input type="checkbox"/> Flexible Family Support Services |
| <input type="checkbox"/> Other _____ | | |

Place of Service*

- ☐ Office
☐ Outpatient Hospital / ASC Gen
☐ Home
☐ Outpatient Clinic
☐ Outpatient Rehab
☐ Inpatient
☐ Other _____

Clinical Review

☐ Check box to indicate clinicals or plan of care.

Procedure Codes

Procedure code / CPT, HCPCS* modifier _____
Procedure code / CPT, HCPCS modifier _____
Procedure code / CPT, HCPCS modifier _____

Diagnosis

Referring Diagnosis code* _____
Referring Diagnosis code _____

Service Description

Start Date* _____

End Date* _____

Units / Visits* ____ X DD ____ Wk ____ MM ____

Contact Information

Fax Numbers:
STAR Kids/STAR Health LTSS..... 1-877-644-4561
STAR+PLUS LTSS..... 1-866-224-8254
Admissions..... 1-888-886-0170
Referrals..... 1-800-690-7030
Hotline..... 1-800-218-7508
Outpatient CHIP Requests Only..... 1-844-310-5517
Discharge Planning..... 1-844-495-2361

Signature of Requesting Physician

Superior requires services be approved before the service is rendered. Please refer to SuperiorHealthPlan.com for the most current full listing of authorized procedures and services. Note that an authorization is not a guarantee of payment and is subject to utilization management review, benefits and eligibility.

FOR OFFICE USE ONLY

Authorization Number _____ Units _____ Dates Authorized _____

SHP_2013218

Attachment I – Explanation of Payment

| SUPERIOR HEALTHPLAN - EXPLANATION OF PAYMENT | | | | | | | | | | | | | |
|--|----------------------------------|----------------|------------------|---|---------|------------------|---------|-----------------------|------------------------|-----|--------|------------|---------|
| JOHN PROVIDER 1234 ANYWHERE ST. EL PASO, TX 79902 | | | | IRS# 123456789 | | | | | | | | | |
| Insured Name: DOE, JANE Patient Name: DOE, JANE Control No: 023330099T80 | | | | Group: SHP EL PASO REGION ID: 123456789-01 Acct: 5678901-C37 Servicing Provider: DR JOHN PROVIDER 100000 | | | | | | | | | |
| Serv - Date- | Clas# Drug# | Proc# Proc2 | Days/Crit Qty | Charged | Allowed | Deduct/ Copay | Coinsur | Discount/ Late Fee | Med Allow/ Med Paid | TPP | Denied | ANSI Codes | Payment |
| 0100 111102 | 78659 | 99244 | 1 | 153.01 | 99.83 | 00 | .00 | .00 | .00 | .00 | 00 | 00 92 | 99.83 |
| | | Sub-total | | 153.01 | 99.83 | 00 | .00 | .00 | .00 | | | | 99.83 |
| | | TOTAL | | 153.01 | 99.83 | 00 | .00 | .00 | .00 | .00 | .00 | | 99.83 |
| Explanation 92 | Code Description PAID IN FULL | | | | | | | | | | | | |

Attachment 10-A-EOP

Attachment J – Code Auditing



Code Auditing

Superior HealthPlan (Superior) uses code-auditing software to assist us in improving accuracy and efficiency in claims processing, payment and reporting and to meet HIPAA compliance. The code-auditing software will detect, correct, and document coding errors on provider claims, prior to payment. Our software will analyze CPT-4 Codes, HCPCs Level II Codes, and industry standard modifiers against rules that have been established by the American Medical Association (AMA) and the Health Care Financing Administration (HCFA).

In order to maintain its high standard of clinical accuracy, credibility and physician acceptance, our code-auditing software is updated regularly to keep current with medical practice, coding practices, annual changes to the CPT Manual and other industry standards. Superior conducts regular reviews to focus on the annual changes to the CPT Manual and the specialty sections of the CPT Manual.

Our code-auditing software is not designed to make reimbursement or payment decisions. These decisions will continue to be based on the fee schedules and contract agreements between the provider and the Plan. While the code-auditing software has been designed to assist in evaluating the accuracy of procedure coding, it will not evaluate medical necessity.

When a change is made on your submitted code(s), we will provide a general explanation of the reason for the change on your Explanation of Payment (or remittance advice). The following list gives examples of conditions where code-auditing software will make a change on submitted codes:

- Unbundling – submitting a comprehensive procedure code along with multiple incidental procedure codes that are an inherent part of performing the procedure.
- Fragmentation - billing all incidental codes or itemizing the components of procedures separately when a more comprehensive code is available.
- Age/Gender – submitting codes inappropriate for the member's age or gender because of the nature of the procedure.

Superior may request medical records or other documentation to assist in the determination of medical necessity, appropriateness of the coding submitted, or review of the procedure billed.

Code Auditing and Editing

Superior utilizes code-auditing software for automated claims coding verification and to ensure that Superior is processing claims in compliance with general industry standards.

The code auditing software takes into consideration the conventions set forth in the health care insurance industry, such as, Center for Medicare and Medicaid Services (CMS) policies, current health insurance and specialty society guidelines, and the American Medical Association's CPT Assistant Newsletter.

SHP_2013225 Code Auditing

Using a comprehensive set of rules, the code auditing software provides consistent and objective claims review by:

- Accurately applying coding criteria for the clinical areas of medicine, surgery, laboratory, pathology, radiology and anesthesiology as outlined by the American Medical Association's (AMA) CPT-4 manual.
- Evaluating the CPT-4 and HCPCs codes submitted by detecting, correcting and documenting coding inaccuracies including, but not limited to, unbundling, upcoding, fragmentation, duplicate coding, invalid codes, and mutually exclusive procedures.
- Incorporating Historical Claims Auditing (HCA) functionality which links multiple claims found in a patient's claims history to current claims to ensure consistent review across all dates of service.

The following provides conditions where code-auditing software will make a change on submitted codes:

Age/Gender

Submitting codes inappropriate for the member's age or gender because of the nature of the procedure.

Example:

| Code | Description | Status |
|-------|---|--|
| 99382 | Initial preventive medicine evaluation and management of an individual including a comprehensive history, a comprehensive examination, counseling/anticipatory guidance/risk factor reduction interventions, and the ordering of appropriate laboratory/diagnostic procedures, new patient; early childhood (age 1 through 4 years) | Allow (1-4yrs) Review (over 4 yrs.) |

Table-10B-1

Explanation

- Procedure code 99382 is appropriate for a patient who is 1-4 years of age.
- Procedure code 99382 is recommended for review for a patient whose age exceeds 4 years.

Duplicate services

Submission of the same procedure more than once on the same date for services that cannot or are normally not performed more than once on the same date. Below is an example of a duplicate CPT:

Example:

| Code | Description | Status |
|-------|---|----------|
| 72010 | Radiologic exam, spine, entire, survey study, anteroposterior & lateral | Allow |
| 72010 | Radiologic exam, spine, entire, survey study, anteroposterior & lateral | Disallow |

SHP_2013225 Code Auditing

Table 10B-2

Explanation:

- Procedure 72010 includes radiologic examination of the lateral and anteroposterior views of the entire spine that allow views of the upper cervical vertebrae, the lower cervical vertebrae, the thoracic vertebrae, the lumbar vertebrae, the sacrum, and the coccyx.
- It is clinically unlikely that this procedure would be performed twice on the same date of service.

A recommended replacement example:

| Code | Description | Status |
|-------|---|----------|
| 22114 | Partial excision of vertebral body, for intrinsic bony lesion, without decompression of spinal cord or nerve root(s), single vertebral segment; lumbar | Allow |
| 22114 | Partial excision of vertebral body, for intrinsic bony lesion, without decompression of spinal cord or nerve root(s), single vertebral segment; lumbar | Disallow |
| 22116 | Partial excision of vertebral body, for intrinsic bony lesion, without decompression of spinal cord or nerve root(s), single vertebral segment; each additional vertebral segment (list separately in addition to code for primary procedure) | Allow |

Explanation:

- Procedure 22114 is used to report a single vertebral segment excision.
- When submitted twice on a single date of service, the second submission of procedure code 22114 is not recommended for separate reporting and procedure code 22116 is recommended as an alternate code to be added to the claim to indicate the excision of additional vertebral segments.

Evaluation and Management Services

Submission of an evaluation and management (E/M) service either within a global surgery period or on the same date of service of another E/M service.

Global surgery

Procedures that are assigned a 90-day global surgery period are designated as *major* surgical procedures; those assigned a 10-day or 0-day global surgery period are designated as *minor* surgical procedures.

- Evaluation and management services, submitted with major surgical procedures (90-day) and minor surgical procedures (10-day), are not recommended for separate reporting because they are part of the global service.
- Effective for service dates in 2003, evaluation and management services, submitted with minor surgical procedures (0-day), are not recommended for separate reporting or reimbursement because these services are part of the global service unless the service is a service listed on the State Fee Schedule with an asterisk.

SHP_2013225 Code Auditing

Example:

| Code | Description | Status |
|-----------------------|--|----------|
| 27447 DOS=05/20/02 | Arthroplasty, knee, condyle and plateau; medial and lateral compartments with or without patella resurfacing (total knee arthroplasty) | Allow |
| 99213 DOS=06/02/02 | Office or other outpatient visit for the evaluation and management of an EST patient, which requires at least two of these three key components: an expanded problem focused history; an expanded problem focused examination; medical decision making of low complexity. Counseling & coordination of care w/ other providers or agencies are provided consistent w/ nature of problem(s) & patient's &/or family's needs. Problem(s) are low/moderate severity. Physicians spend 15 min face-to-face w/ patient &/or family. | Disallow |

Explanation:

- Procedure code 27447 has a global surgery period of 90 days.
- Procedure code 99213 is submitted with a date of service that is within the 90-day global period.
- When a substantial diagnostic or therapeutic procedure is performed, the evaluation and management service is included in the global surgical period.

Example:

| Code | Description | Status |
|-----------------------|--|----------|
| 11000 DOS=01/23/03 | Debridement of extensive eczematous or infected skin; up to 10% of body surface | Allow |
| 99213 DOS=01/23/03 | Office or other outpatient visit for the evaluation and management of an EST patient, which requires at least two of these three key components: an expanded problem focused history; an expanded problem focused examination; medical decision making of low complexity. Counseling & coordination of care w/ other providers or agencies are provided consistent w/ nature of problem(s) & patient's &/or family's needs. Problem(s) are low/moderate severity. Physicians spend 15 min face-to-face w/ patient &/or family. | Disallow |

Explanation:

- Procedure 11000 (0-day global surgery period) is identified as a minor procedure.
- Procedure 99213 is submitted with the same date of service.
- When a minor procedure is performed, the evaluation and management service is considered part of the global service.

Same Date of Service

One (1) evaluation and management service is recommended for reporting on a single date of service.

Example:

| Code | Description | Status |
|-------|--|--------|
| 99215 | Office or other outpatient visit for the evaluation and management of an established patient, which requires at least two of these three key components: a comprehensive history; a comprehensive examination; medical decision making of high complexity. Counseling &/or coordination of care w/ other providers or agencies are provided consistent w/ nature of problem(s) & patient's &/or family's needs. Usually, problem(s) are moderate/high severity. Physicians spend 40 min face-to-face w/ patient &/or family. | Allow |

SHP_2013225 Code Auditing

| | | |
|-------|--|----------|
| 99242 | Office consultation for a new or established patient, which requires these three key components: an expanded problem focused history; an expanded problem focused examination; and straightforward medical decision making. Counseling/coordination of care w/ other providers or agencies are provided consistent w/ nature of problem(s) & patient's/family's needs. Presenting problem(s) are low severity. Physicians spend 30 min face-to-face with patient/family. | Disallow |
|-------|--|----------|

Explanation:

- Procedure 99215 is used to report an evaluation and management service provided to an established patient during a visit.
- Procedure 99242 is used to report an office consultation for a new or established patient.
- Separate reporting of an evaluation and management service with an office consultation by a single provider indicates a duplicate submission of services. Interventions, provided during an evaluation and management service, typically include the components of an office consultation.

Note:

Modifier -24 is used to report an unrelated evaluation and management service by the same physician during a post-operative period.

Modifier -25 is used to report a significant, separately identifiable evaluation and management service by the same physician on the same day of a procedure.

Modifier -79 is used to report an unrelated procedure or service by the same physician during the post-operative period.

When modifiers -24 and -25 are submitted with an evaluation and management service on the same date of service or during the post-operative period by the same physician, the evaluation and management service is questioned and a review of additional information *is recommended*.

When modifier -79 is submitted with an evaluation and management service on the same date of service or during the post-operative period by the same physician, separate reporting of the evaluation and management service *is recommended*.

Modifiers - Modifiers are added to the main service or procedure code to indicate that the service has been altered in some way by a specific circumstance.

Modifier -26 (professional component)

Definition: Modifier -26 identifies the professional component of a test or study.

- If modifier -26 is not valid for the submitted procedure code, the procedure code is not recommended for separate reporting.
- When the place of service is an inpatient setting, modifier -26 will be recommended to be appended to valid procedure codes submitted without modifier -26.
- When the place of service is an outpatient setting, procedure codes submitted with modifier -26 are recommended for separate reporting.

Example:

| Code | Description | Status |
|---------------------------|---|----------|
| 78278 POS=Inpatient | Acute gastrointestinal blood loss imaging | Disallow |
| 78278-26 POS=Inpatient | Acute gastrointestinal blood loss imaging | Allow |

Explanation:

- Procedure code 78278 is valid with modifier -26.
- Modifier -26 will be added to procedure code 78278 when submitted without modifier -26.

Modifier -50 (bilateral procedures)

Definition: Modifier -50 edit applies to bilateral procedures submitted with or without a modifier -50. (Bilateral procedures are those that can be performed on both sides of the patient in the same operative session.)

Example:

| Code | Description | Status |
|----------|--|----------|
| 69436 | Tympanostomy (requiring insertion of ventilating tube), general anesthesia | Allow |
| 69436 | Tympanostomy (requiring insertion of ventilating tube), general anesthesia | Disallow |
| 69436-50 | Tympanostomy (requiring insertion of ventilating tube), general anesthesia | Allow |

Explanation:

- Procedure code 69436 was performed bilaterally and submitted twice without modifier -50.
- The second submission of procedure code 69436 is not recommended for separate reporting, but modifier -50 is recommended to be added to this procedure code to indicate a bilateral performance of the procedure.

Modifier -51 (multiple procedures)

Definition: Modifier -51 edit identifies a secondary procedure code when more than one surgical procedure is performed.

Example:

| Code | Description | Status |
|----------|---|--------|
| 51820 | Cystourethroplasty with unilateral or bilateral ureteroneocystostomy | Allow |
| 51840-51 | Anterior vesicourethropey, or urethropey (e.g., Marshall-Marchetti-Krantz, Burch); simple | Allow |
| 51920-51 | Closure of vesicouterine fistula; | Allow |
| 58140-51 | Myomectomy, excision of leiomyomata of uterus, single or multiple (separate procedure code); abdominal approach | Allow |

Explanation:

- Procedure code 51820 is determined to be the primary procedure performed because it is the most clinically intensive procedure for this clinical scenario.
- Procedure codes 51840, 58140, and 51920 are determined to be secondary procedure codes and modifier -51 is recommended to be appended to each.

Modifier -80, -81, -82, and -AS (assistant surgeon)

Definition: The Assistant Surgeon edit identifies procedures not requiring an assistant-at-surgery.

Many surgical procedures require aid in prepping and draping the patient, monitoring visualization, keeping the wound clear of blood, holding and positioning the patient, assisting with wound closure, and/or casting (if required). This assistance does not require the expertise of a surgeon. A qualified nurse, orthopedic technician, or resident physician can provide the necessary assistance.

| Code | Description | Status |
|----------|---|----------|
| 42820-81 | Tonsillectomy and adenoidectomy; under age 12 | Disallow |

Explanation:

- Procedure code 42820 is not recommended for Assistant Surgeon reporting because a skilled nurse or surgical technician can function as the assistant in the performance this procedure.

Modifier -LT and -RT (left, right)

Definition: Modifiers -LT and -RT, when submitted with a procedure code, identify procedures that are performed on the left and right side of the body. When a valid bilateral procedure is submitted more than one time and either -LT or -RT is appended to one of the codes, the modifier -50 will be added to the remaining procedure code to indicate bilateral performance of the procedure.

| Code | Description | Status |
|----------|--|----------|
| 28400-LT | Closed treatment of calcaneal fracture; without manipulation | Allow |
| 28400 | Closed treatment of calcaneal fracture; without manipulation | Disallow |
| 28400-50 | Closed treatment of calcaneal fracture; without manipulation | Allow |

Table B10-11

Explanation:

- The first submission of procedure code 28400 is submitted with modifier -LT, indicating performance of the procedure on the left side of the body.
- The second submission of procedure code 28400 does not include a modifier indicating which side of the body the procedure was performed. As a result of this omission, modifier -50 is added to procedure code 28400 to indicate bilateral performance of the procedure.

SHP_2013225 Code Auditing

Place-of-service

Services billed with an incorrect place of service for the procedure billed.

| Code | Description | Status |
|-------|--|----------------------------|
| 96410 | Chemotherapy administration, intravenous; infusion technique, up to one hour | Disallow for POS=Inpatient |

Explanation:

- Procedure 96410 is not routinely administered by a physician in an inpatient setting and is not recommended for separate reporting.
- Provision of this service in an office or outpatient facility place of service is recommended for separate reporting.

Global edit

Procedure(s) submitted that are performed at the same time as a more complex primary procedure and is clinically integral to the successful outcome of the primary procedure

| Code | Description | Status |
|-------|--|----------|
| 47562 | Laparoscopy, surgical; cholecystectomy | Allow |
| 49000 | Exploratory laparotomy, exploratory celiotomy with or without biopsy(s) (separate procedure) | Disallow |

Explanation:

- Procedure 49000 is routinely performed for most abdominal procedures and is considered clinically integral to performing the primary surgical procedure 47562.

Unbundling

Submission of a comprehensive code along with incidental procedure codes that are an inherent part of performing the global procedure code. The unbundled procedure code(s) will be rebundled to the comprehensive procedure code.

| Code | Description | Status |
|-------|---|----------|
| 20102 | Exploration of penetrating wound (separate procedure); abdomen/flank/back | Disallow |
| 44120 | Enterectomy, resection of small intestine; single resection and anastomosis | Allow |

Explanation:

- Procedure 20102 is an exploratory procedure for a penetrating wound that when performed with procedure 44120 represents unbundling because exploration is considered to be a component of the more comprehensive procedure 44120.
- Unbundled procedure codes are re-bundled and paid as a single procedure.

SHP_2013225 Code Auditing

Fragmentation

Billing all incidental codes or itemizing the components of procedures separately when a more comprehensive code is available.

| Code | Description | Status |
|-------|---|----------|
| 82465 | Cholesterol, serum, total | Replaced |
| 83718 | Lipoprotein, direct measurement, high density cholesterol | Replaced |
| 84478 | Triglycerides | Replaced |
| 80061 | Lipid panel | Added |

Explanation:

- Procedure 82465, 83718 and 84478 are part of a more comprehensive code – 80061. The definition of 80061 includes procedures 82465, 83718 and 84478.
- Fragmented procedure codes are replaced and paid as the single comprehensive procedure.

The code auditing software is updated regularly to incorporate the most recent medical practices, coding practices, annual changes to the AM.

Attachment K – Private Pay Agreement

1.6

Private Pay Agreement

SECTION 1: PROVIDER ENROLLMENT

Private Pay Agreement

I understand _____ is accepting me as a private pay patient for the period of _____ (Provider Name) _____, and I will be responsible for paying for any services I receive. The provider will not file a claim to Medicaid for services provided to me.

Signed: _____

Date: _____

Attachment L – Claims Appeal Form



Claims Appeal Payment Reconsideration and Dispute Form

Date _____

Please complete the following form to help expedite the review of your claims appeal.

*Is this a

- ☐ **Request for Reconsideration:** you disagree with the original claim outcome (payment amount, denial reason, etc.) Please check if this is the first time you are asking for a review of the claim.
- ☐ **Claim Dispute:** you disagree with the outcome of the Request for Reconsideration.

| | |
|------------------------|-------------------------------------|
| Provider Name* | Provider Tax ID* |
| Provider NPI* | Date of last Explanation of Payment |
| Superior Claim Number* | Dates of Service* |
| Member Name | Member ID |

* Indicates a required field

Reason for the appeal (please check all that apply):

- ☐ Claim was denied for no authorization, but authorization number _____ was obtained
- ☐ Claim was denied for no authorization, but no authorization is required for this service
- ☐ Claims was denied for Member not eligible, but member was eligible on DOS (attach eligibility information)
- ☐ Claim was denied for **incomplete or missing sterilization form**, but one was submitted with claim (attach completed form)
- ☐ Claim was not paid per the terms of my contract with Superior HealthPlan (attach relevant reimbursement section)
- ☐ Claim was denied "**Past Timely Filing**" (attach proof of timely filing)
- ☐ Claim was paid the incorrect amount (include calculation of expected payment and supporting information)
- ☐ Other: Please explain

Please ensure sufficient detail is provided to assist us in the review of your reconsideration or dispute.

Mail completed forms and all attachments to
Superior HealthPlan
Claims Reconsiderations & Disputes Department
PO BOX 3000
Farmington, Missouri 63640-3800

Contact name & number of person requesting the appeal _____

SHP_2014628

Attachment M – CMS 1500



Save Form Clear All Fields Print Only Data Print Form & Data Email Form Email Data Only PDF SmartForms

HEALTH INSURANCE CLAIM FORM

APPROVED BY NATIONAL UNIFORM CLAIM COMMITTEE (NUCC) 02/12

1. MEDICARE MEDICAID TRICARE CHAMPVA GROUP HEALTH PLAN FECA BLK LUNG OTHER
2. PATIENT'S NAME (Last Name, First Name, Middle Initial) 3. PATIENT'S BIRTH DATE SEX 4. INSURED'S NAME (Last Name, First Name, Middle Initial)
5. PATIENT'S ADDRESS (No., Street) 6. PATIENT RELATIONSHIP TO INSURED 7. INSURED'S ADDRESS (No., Street)
8. RESERVED FOR NUCC USE
9. OTHER INSURED'S NAME (Last Name, First Name, Middle Initial) 10. IS PATIENT'S CONDITION RELATED TO: 11. INSURED'S POLICY GROUP OR FECA NUMBER
12. PATIENT'S OR AUTHORIZED PERSON'S SIGNATURE. I authorize the release of any medical or other information necessary to process this claim. I also request payment of government benefits either to myself or to the party who accepts assignment below.
13. INSURED'S OR AUTHORIZED PERSON'S SIGNATURE I authorize payment of medical benefits to the undersigned physician or supplier for services described below.
14. DATE OF CURRENT ILLNESS, INJURY, or PREGNANCY (LMP): 15. OTHER DATE 16. DATES PATIENT UNABLE TO WORK IN CURRENT OCCUPATION
17. NAME OF REFERRING PROVIDER OR OTHER SOURCE 18. HOSPITALIZATION DATES RELATED TO CURRENT SERVICES
19. ADDITIONAL CLAIM INFORMATION (Designated by NUCC) 20. OUTSIDE LAB? \$ CHARGES
21. DIAGNOSIS OR NATURE OF ILLNESS OR INJURY Relate A-L to service line below (24E) ICD Ind.
22. RESUBMISSION CODE ORIGINAL REF. NO.
23. PRIOR AUTHORIZATION NUMBER
24. A. DATE(S) OF SERVICE B. PLACE OF SERVICE C. D. PROCEDURES, SERVICES, OR SUPPLIES E. DIAGNOSIS F. G. DAYS OR UNITS H. EPST Family Plan I. ID. QUAL. J. RENDERING PROVIDER ID. #
25. FEDERAL TAX I.D. NUMBER SSN EIN 26. PATIENT'S ACCOUNT NO. 27. ACCEPT ASSIGNMENT? 28. TOTAL CHARGE 29. AMOUNT PAID 30. Rsvd for NUCC Use
31. SIGNATURE OF PHYSICIAN OR SUPPLIER INCLUDING DEGREES OR CREDENTIALS (I certify that the statements on the reverse apply to this bill and are made a part thereof.) 32. SERVICE FACILITY LOCATION INFORMATION 33. BILLING PROVIDER INFO & PH #

NUCC Instruction Manual available at: www.nucc.org PLEASE PRINT OR TYPE APPROVED OMB-0938-1197 FORM CMS 1500 (02-12)

Attachment N – Advanced Directive Notice

Advance Directive Notice



Texas law now allows an option for a person's signature to be acknowledged by a notary instead of witness signatures and for digital or electronic signatures on the Directive to Physicians, Out-of-Hospital Do Not Resuscitate Order, and the Medical Power of Attorney, if certain requirements are met. Please have your attorney review the law in Health and Safety Code Chapter 166 for the details.

Advance directives are legal documents that allow you to convey your decisions about end-of-life care ahead of time. They provide a way for you to communicate your wishes to family, friends and health care professionals, and to avoid confusion later on.

- **Declaration for Mental Health Treatment** — This document allows you to make decisions in advance about mental health treatment and specifically three types of mental health treatment: psychoactive medication, convulsive therapy and emergency mental health treatment. The instructions that you include in this declaration will be followed only if a court believes that you are incapacitated to make treatment decisions. Otherwise, you will be considered able to give or withhold consent for the treatments.
- **Directive to Physicians and Family or Surrogates Form** — This form is designed to help you communicate your wishes about medical treatment at some time in the future when you are unable to make your wishes known because of illness or injury.
- **Medical Power of Attorney Form** — Except to the extent you state otherwise, this document gives the person you name as your agent the authority to make any and all health care decisions for you in accordance with your wishes, including your religious and moral beliefs, when you are no longer capable of making them yourself.
- **Out-of-Hospital Do Not Resuscitate Information & Form** — This form instructs emergency medical personnel and other health care professionals to forgo resuscitation attempts and to permit the patient to have a natural death with peace and dignity. This order does NOT affect the provision of other emergency care including comfort care.
- **Statutory Durable Power of Attorney** — This form is for designating an agent who is empowered to take certain actions regarding your property. It does not authorize anyone to make medical and other healthcare decisions for you.

As a patient, you have certain rights:

- You have the right to privacy of your medical files. Your personal and medical information is private. All medical records and information about your medical care must be kept private.
- You have the right to "Informed Consent." Your doctor must tell you about all the good and bad things of any procedures, tests or treatments he plans to give you. You must give your doctor permission to treat you. You have the right to refuse any treatment.
- You have the right to know about your medical condition, any treatments and your chances of getting better.
- In most cases, your doctor will explain to you Advanced Directives and your rights as a patient.

Here are some examples of when you might need to use your Advance Directive:

- **Irreversible Brain Damage:** If you are unable to make medical decisions for yourself, your Advance Directive will tell your doctor how to care for you.
- **Permanent Coma or any other unconscious state:** If you are not awake to make a decision about your medical care, your Advance Directive will tell your doctor how to care for you.

SHP_2013226 Advance Directives



An Advance Directive can also limit life prolonging measures. For example, your Advance Directive could let your doctor know how you feel about some medical services (you can direct the doctor to give you these services or to not perform them if you have little chance of recovery):

- Cardiopulmonary Resuscitation (CPR): used to restore breathing and/or heartbeat.
- Intravenous (IV) Therapy: used to provide food and water to you if you cannot eat or drink.
- Feeding Tubes: tubes inserted through your nose, throat, etc. to provide nutrition to you if you cannot eat.
- Respirators: machines that help you to breathe if you cannot breathe on your own.
- Dialysis: machine cleans your blood if your kidneys do not work

If you do not cancel your Advance Directive, the instructions you write down will be followed by your doctor.

Once you give your Advance Directive to your doctor, he must make sure it is legal before it becomes effective. According to the law, a “qualified patient” is a person diagnosed and certified in writing to have a terminal illness by two doctors. One of those doctors must be your personal doctor. Your doctor must personally examine you before you are considered terminally ill.

- A terminal illness is any illness that is incurable or irreversible. This means that the person would die without the use of life-sustaining procedures.
- The doctor who provides services written in the Advance Directive is protected by lawsuits (criminal and civil), unless the doctor acts negligently.
- The Advance Directive does not become effective until two doctors decide that you have a terminal condition and that life sustaining procedures are the only way to keep you alive. The doctor's statements that you are terminally ill must be written in your medical record. Life sustaining procedures mean mechanical or other “artificial means” of sustaining life. This does not include medications or procedures to make you comfortable or to make pain go away.
- The Advance Directive is not effective if you are pregnant at the time it is to be carried out. For example, if you are pregnant and suffer an accident that leaves you unable to make your own medical decisions, your Advance Directive will not be followed.
- If the doctor follows your Advance Directive, and you tell him you do not want life sustaining procedures, this is not to be considered euthanasia or “mercy killing”. The Advance Directive is a legal document recognized under Texas law that allows a doctor to provide or withhold medical treatment as you instruct the doctor with your written wishes.

You can find advance directive forms at <https://hhs.texas.gov/laws-regulations/forms/advance-directives>.

Attachment O – Member Rights And Responsibilities



Medicaid Member Rights and Responsibilities

Medicaid Member Rights:

1. You have the right to respect, dignity, privacy, confidentiality and nondiscrimination. That includes the right to:
 - a. Be treated fairly and with respect.
 - b. Know that your medical records and discussions with your providers will be kept private and confidential.
2. You have the right to a reasonable opportunity to choose a health care plan and Primary Care Provider (PCP). This is the doctor or health care provider you will see most of the time and who will coordinate your care. You have the right to change to another plan or provider in a reasonably easy manner. This includes the right to:
 - a. Be told of how to choose and change your health plan and your PCP.
 - b. Choose any health plan you want that is available in your area and choose your PCP from that plan.
 - c. Change your PCP.
 - d. Change your health plan without penalty.
 - e. Be told about how to change your health plan or your PCP.
3. You have the right to ask questions and get answers about anything you do not understand. This includes the right to:
 - a. Have your provider explain your health care needs to you and talk to you about the different ways your health care problems can be treated.
 - b. Be told why care or services were denied and not given.
4. You have the right to agree to or refuse treatment and actively participate in treatment decisions. This includes the right to:
 - a. Work as part of a team with your provider in deciding what health care is best for you.
 - b. Say yes or no to the care recommended by your provider.
5. You have the right to use each complaint and appeal process available through the managed care organization and through Medicaid, and get a timely response to complaints, appeals and fair hearings. This includes the right to:
 - a. Make a complaint to your health plan or to the state Medicaid program about your health care, your provider or your health plan.
 - b. Get a timely answer to your complaint.
 - c. Use the plan's appeal process and be told how to use it.
 - d. Ask for a fair hearing from the state Medicaid program and get information about how that process works.
6. You have the right to timely access to care that does not have any communication or physical access barriers. This includes the right to:
 - a. Have telephone access to a medical professional 24 hours a day, 7 days a week to get any emergency or urgent care you need.
 - b. Get medical care in a timely manner.
 - c. Be able to get in and out of a health care provider's office. This includes barrier free access for people with disabilities or other conditions that limit mobility, in accordance with the Americans with Disabilities Act.
 - d. Have interpreters, if needed, during appointments with your providers and when talking to your health plan. Interpreters include people who can speak in your native language, help someone with a disability, or help you understand the information.
 - e. Be given information you can understand about your health plan rules, including the health care services you can get and how to get them.
7. You have the right to not be restrained or secluded when it is for someone else's convenience, or is meant to force you to do something you do not want to do, or is to punish you.

8. You have a right to know that doctors, hospitals, and others who care for you can advise you about your health status, medical care, and treatment. Your health plan cannot prevent them from giving you this information, even if the care or treatment is not a covered service.
9. You have the right to know that you are not responsible for paying for covered services. Doctors, hospitals, and others cannot require you to pay copayments or any other amounts for covered services.
10. You have the right to make recommendations about Superior's Member Rights and Responsibilities Policies.

Medicaid Member Responsibilities:

1. You must learn and understand each right you have under the Medicaid program. This includes the responsibility to:
 - a. Learn and understand your rights under the Medicaid program.
 - b. Ask questions if you do not know your rights: and
 - c. Learn what choices of health plans are available in your area.
2. You must abide by the health plan's and Medicaid's policies and procedures. This includes the responsibility to:
 - a. Learn and follow your health plan's rules and Medicaid rules.
 - b. Choose your health plan and a PCP quickly.
 - c. Make any changes in your health plan and PCP in the ways established by Medicaid and by the health plan.
 - d. Keep your scheduled appointments.
 - e. Cancel appointments in advance when you cannot keep them.
 - f. Always contact your PCP first for your non-emergency medical needs.
 - g. Be sure to have approval from your PCP before going to a specialist.
 - h. Understand when you should and should not go to the emergency room.
3. You must share information about your health with your PCP and learn about service and treatment options. This includes the responsibility to:
 - a. Tell your PCP about your health.
 - b. Talk to your providers about your health care needs and ask questions about the different ways your health care problems can be treated.
 - c. Help your providers get your medical records.
4. You must be involved in decisions relating to service and treatment options, make personal choices, and take action to keep yourself healthy. This includes the responsibility to:
 - a. Work as a team with your provider in deciding what health care is best for you.
 - b. Understand how the things you do can affect your health.
 - c. Do the best you can to stay healthy.
 - d. Treat providers and staff with respect.
 - e. Talk to your provider about all of your medications.

If you think you have been treated unfairly or discriminated against, call the U.S. Department of Health & Human Services (HHS) toll-free at 1-800-368-1019. You can also view information concerning the HHS Office of Civil Rights online at www.hhs.gov/ocr.

As a member of Superior HealthPlan, you can ask for and get the following information each year:

- Information about network providers – at a minimum Primary Care doctors, specialists, and hospitals in our service area. This information will include names, addresses, telephone numbers, and languages spoken (other than English) for each network provider, plus identification of providers that are not accepting new patients.
- Any limits on your freedom of choice among network providers.
- Your rights and responsibilities.
- Information on complaint, appeal and fair hearing procedures.



- A hard copy of Superior's Quality Improvement program. Call Member Services at 1-800-783-5386.
- Information about benefits available under the Medicaid program including the amount, duration, and scope of benefits available. This is designed to make sure you understand the benefits to which you are entitled.
- How you get benefits including authorization requirements.
- How you can get benefits, including family planning services, from out-of-network providers and/or limits to those benefits.
- How you get after hours and emergency coverage and/or limits to those kinds of benefits, including:
 - What makes up emergency medical conditions, emergency services, and post-stabilization services?
 - The fact that you do not need prior authorization from your PCP for emergency care services.
 - How to get emergency services, including instructions on how to use the 911 telephone system or its local equivalent.
 - The address of any places where providers and hospitals furnish emergency services covered by Medicaid.
 - A statement saying you have the right to use any hospital or other settings for emergency care.
 - Post-stabilization rules.
- Policy on referrals for specialty care and for other benefits you cannot get through your PCP.
- Superior's practice guidelines.

CHIP Member Rights and Responsibilities

Member Rights:

1. You have a right to get accurate, easy-to-understand information to help you make good choices about your child's health plan, doctors, hospitals, and other providers.
2. Your health plan must tell you if they use a "limited provider network." This is a group of doctors and other providers who only refer patients to other doctors who are in the same group. "Limited provider network" means you cannot see all the doctors who are in your health plan. If your health plan uses "limited networks," you should check to see that your child's PCP and any specialist doctor you might like to see are part of the same "limited network."
3. You have a right to know how your doctors are paid. Some get a fixed payment no matter how often you visit. Others get paid based on the services they give to your child. You have a right to know about what those payments are and how they work.
4. You have a right to know how the health plan decides whether a service is covered or medically necessary. You have the right to know about the people in the health plan who decide those things.
5. You have a right to know the names of the hospitals and other providers in your health plan and their addresses.
6. You have a right to pick from a list of health care providers that is large enough so that your child can get the right kind of care when your child needs it.
7. If a doctor says your child has special health care needs or a disability, you may be able to use a specialist as your child's PCP. Ask your health plan about this.
8. Children who are diagnosed with special health care needs or a disability have the right to special care.
9. If your child has special medical problems, and the doctor your child is seeing leaves your health plan, your child may be able to continue seeing that doctor for three months, and the health plan must continue paying for those services. Ask your plan about how this works.
10. Your daughter has the right to see a participating obstetrician/gynecologist (OB/GYN) without a referral from her PCP and without first checking with your health plan. Ask your plan how this works. Some plans may make you pick an OB/GYN before seeing that doctor without a referral.
11. Your child has the right to emergency services if you reasonably believe your child's life is in danger, or that your child would be seriously hurt without getting treated right away. Coverage of emergencies is available without first checking with your health plan. You may have to pay a co-payment, depending on your income. Co-payments do not apply to CHIP Perinatal members.
12. You have the right and responsibility to take part in all the choices about your child's health care.
13. You have the right to speak for your child in all treatment choices.
14. You have the right to get a second opinion from another doctor in your health plan about what kind of treatment your child needs.
15. You have the right to be treated fairly by your health plan, doctors, hospitals, and other providers.
16. You have the right to talk to your child's doctors and other providers in private, and to have your child's medical records kept private. You have the right to look over and copy your child's medical records and to ask for changes to those records.
17. You have the right to a fair and quick process for solving problems with your health plan and the plan's doctors, hospitals and others who provide services to your child. If your health plan says it will not pay for a covered service or benefit that your child's doctor thinks is medically necessary, you have a right to have another group, outside the health plan, tell you if they think your doctor or the health plan was right.
18. You have a right to know that doctors, hospitals, and others who care for your child can advise you about your child's health status, medical care, and treatment. Your health plan cannot prevent them from giving you this information, even if the care or treatment is not a covered service.
19. You have a right to know that you are only responsible for paying allowable co-payments for covered services. Doctors, hospitals, and others cannot require you to pay any other amounts for covered services.
20. You have the right to make recommendations about Superior's Member Rights & Responsibilities

**Member Responsibilities:**

You and your health plan both have an interest in seeing your child's health improve. You can help by assuming these responsibilities.

1. You must try to follow healthy habits. Encourage your child to stay away from tobacco and to eat a healthy diet.
2. You must become involved in the doctor's decisions about your child's treatments.
3. You must work together with your health plan's doctors and other providers to pick treatments for your child that you have all agreed upon.
4. If you have a disagreement with your health plan, you must try first to resolve it using the health plan's complaint process.
5. You must learn about what your health plan does and does not cover. Read your member handbook to understand how the rules work.
6. If you make an appointment for your child, you must try to get to the doctor's office on time. If you cannot keep the appointment, be sure to call and cancel it.
7. If your child has CHIP, you are responsible for paying your doctor and other provider's co-payments that you owe them. If your child is getting CHIP Perinatal services, you will not have any co-payments for that child.
8. You must report misuse of CHIP or CHIP Perinatal services by health care providers, other members, or health plans.
9. Talk to your child's provider about all of your child's medications.

If you think you have been treated unfairly or discriminated against, call the U.S. Department of Health and Human Services toll-free at 1-800-368-1019. You also can view information concerning the HHS Office of Civil Rights online at www.hhs.gov/ocr.

As a member of Superior HealthPlan, you can ask for and get the following information each year:

CHIP Perinate Member Rights and Responsibilities

Member Rights:

1. You have a right to get accurate, easy-to-understand information to help you make good choices about your unborn child's health plan, doctors, hospitals, and other providers.
2. You have a right to know how the Perinatal providers are paid. Some may get a fixed payment no matter how often you visit. Others get paid based on the services they provide for your unborn child. You have a right to know about what those payments are and how they work.
3. You have a right to know how the health plan decides whether a Perinatal service is covered or medically necessary. You have the right to know about the people in the health plan who decide those things.
4. You have a right to know the names of the hospitals and other Perinatal providers in the health plan and their addresses.
5. You have a right to pick from a list of health care providers that is large enough so that your unborn child can get the right kind of care when it is needed.
6. You have a right to emergency Perinatal services if you reasonably believe your unborn child's life is in danger, or that your unborn child would be seriously hurt without getting treated right away. Coverage of such emergencies is available without first checking with the health plan.
7. You have the right and responsibility to take part in all the choices about your unborn child's health care.
8. You have the right to speak for your unborn child in all treatment choices.
9. You have the right to be treated fairly by the health plan, doctors, hospitals, and other providers.
10. You have the right to talk to your Perinatal provider in private, and to have your medical records kept private. You have the right to look over and copy your medical records and to ask for changes to those records.
11. You have the right to a fair and quick process for solving problems with the health plan and the plan's doctors, hospitals, and others who provide Perinatal services for your unborn child. If the health plan says it will not pay for a covered Perinatal service or benefit that your unborn child's doctor thinks is medically necessary, you have a right to have another group, outside the health plan, tell you if they think your doctor or the health plan was right.
12. You have a right to know that doctors, hospitals, and other Perinatal providers can give you information about your or your unborn child's health status, medical care, or treatment. Your health plan cannot prevent them from giving you this information, even if the care or treatment is not a covered service.
13. You have the right to make recommendations about Superior's Member Rights & Responsibilities policies.

Member Responsibilities:

You and your health plan both have an interest in having your baby born healthy. You can help by assuming these responsibilities.

1. You must try to follow healthy habits. Stay away from tobacco and eat a healthy diet.
2. You must become involved in the doctor's decisions about your unborn child's care.
3. If you have a disagreement with the health plan, you must try first to resolve it using the health plan's complaint process.
4. You must learn about what your health plan does and does not cover. Read your CHIP member handbook to understand how the rules work.
5. You must try to get to the doctor's office on time. If you cannot keep the appointment, be sure to call and cancel it.
6. You must report misuse of CHIP Perinatal services by health care providers, other members, or health plans.
7. Talk to your provider about all of your medications.

If you think you have been treated unfairly or discriminated against, call the U.S. Department of Health and Human Services (HHS) toll-free at 1-800-368-1019. You also can view information concerning the

STAR Health Member Rights and Responsibilities

STAR Health Member Rights:

1. You have the right to respect, dignity, privacy, confidentiality and nondiscrimination. That includes the right to:
 - a. Be treated fairly and with respect.
 - b. Know your medical records and discussions with your providers will be kept private and confidential.
2. You have the right to a reasonable opportunity to choose a PCP. This is the doctor or health care provider you will see most of the time and who will coordinate your care. You have the right to change to another provider in a reasonably easy manner. That includes the right to be told of how to choose and change your PCP.
3. You have the right to ask questions and get answers about anything you do not understand. That includes the right to:
 - a. Have your provider explain your health care needs to you and talk to you about the different ways your health care problems can be treated.
 - b. Be told why care or services were denied and not given.
4. You have the right to agree to or refuse treatment and actively participate in treatment decisions. That includes the right to:
 - a. Work as part of a team with your provider in deciding what health care is best for you.
 - b. Say yes or no to the care recommended by your provider.
5. You have the right to use each complaint and appeal process available through the STAR Health health plan and through Medicaid, and get a timely response to complaints, appeals and fair hearings. That includes the right to:
 - a. Make a complaint to your STAR Health health plan or to the state Medicaid program about your health care, your provider or the STAR Health health plan.
 - b. Get a timely answer to your complaint.
 - c. Use the HHSC claim administrator's and STAR Health plan's appeal process and be told how to use it.
 - d. Ask for a fair hearing from the state Medicaid program and get information about how that process works.
6. You have the right to timely access to care that does not have any communication or physical access barriers. That includes the right to:
 - a. Have telephone access to a medical professional 24 hours a day, 7 days a week to get any emergency or urgent care you need.
 - b. Get medical care in a timely manner.
 - c. Be able to get in and out of a health care provider's office. This includes barrier free access for people with disabilities or other conditions that limit mobility, in accordance with the Americans with Disabilities Act.
 - d. Have interpreters, if needed, during appointments with your providers and when talking to your health plan. Interpreters include people who can speak in your native language, help someone with a disability, or help you understand the information.
 - e. Be given information you can understand about your health plan rules, including the health care services you can get and how to get them.
7. You have the right to not be restrained or secluded when it is for someone else's convenience, or is meant to force you to do something you do not want to do, or is to punish you.
8. You have a right to know that doctors, hospitals, and others who care for your child can advise you about your child's health status, medical care, and treatment. Your health plan cannot prevent them from giving you this information, even if the care or treatment is not a covered service.
9. You have the right to know that you are not responsible for paying for covered services provided



10. You have the right to make recommendations about Superior's Member Rights & Responsibilities Policies.

STAR Health Member Responsibilities:

1. You must learn and understand each right you have under the Medicaid Program. That includes the responsibility to:
 - a. Learn and understand your rights under the Medicaid program.
 - b. Ask questions if you don't know your rights.
2. You must abide by the STAR Health health plan's policies and procedures and Medicaid's policies and procedures. That includes the responsibility to:
 - a. Learn and follow the STAR Health health plan's rules and Medicaid rules.
 - b. Choose a PCP quickly;
 - c. Make any changes in your PCP in the ways established by Medicaid and by the STAR Health health plan.
 - d. Keep your scheduled appointments.
 - e. Cancel appointments in advance when you can't keep them.
 - f. Always contact your PCP first for your non-emergency medical needs.
 - g. Be sure to have approval from your PCP before going to a specialist.
 - h. Understand when you should and should not go to the emergency room.
3. You must share information about your health with your PCP and learn about service and treatment options. That includes the responsibility to:
 - a. Tell your PCP about your health.
 - b. Talk to your providers about your health care needs and ask questions about the different ways your health care problems can be treated.
 - c. Help your providers get your medical records.
4. You must comply with Electronic Visit Verification (EVV) requirements if you receive services delivered by an attendant or nursing services by allowing the attendant to use your telephone to call a toll-free number when he or she starts and ends work, or allow the attendant to use alternate devices when he or she starts and ends work.
5. You must be involved in decisions relating to service and treatment options, make personal choices, and take action to keep yourself healthy. That includes the responsibility to:
 - a. Work as a team with your provider in deciding what health care is best for you.
 - b. Understand how the things you do can affect your health.
 - c. Do the best you can to stay healthy.
 - d. Treat providers and staff with respect.
 - e. Talk to your provider about all of your medications.

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- Any limits on your freedom of choice among network providers.
- Your rights and responsibilities.
- Information on complaint, appeal and fair hearing procedures.
- Information about benefits available under the Medicaid program including the amount, duration, and scope of benefits available. This is designed to make sure you understand the benefits to which you are entitled.
- How you get benefits including authorization requirements



- How you can get benefits, including family planning services, from out-of-network providers and/or limits to those benefits.
- How you get after hours and emergency coverage and/or limits to those kinds of benefits, including:
 - What makes up emergency medical conditions, emergency services, and post-stabilization services?
 - The fact that you do not need prior authorization from your PCP for emergency care services.
 - How to get emergency services, including instructions on how to use the 911 telephone system or its local equivalent.
 - A statement saying you have the right to use any hospital or other settings for emergency care.
- Policy on referrals for specialty care and for other benefits you cannot get through your PCP.
- Superior's practice guidelines

Attachment P – Office Site Survey

PRACTIONER OFFICE SITE EVALUATION TOOL

Clinic Site/Practitioner Name: _____ Check One: Initial Credentialing _____ Recredentialing _____

Contact/Manager: _____ PCP _____ OB/GYN _____ Peds _____

Office Address: _____ Specialist _____

Specialty: _____

Phone Number: _____ Review Completed By: _____

Fax Number: _____ Date of Review: _____

I. PHYSICAL ACCESSIBILITY AND APPEARANCE = 32 POINTS

| A. GENERAL FACILITY | Points | Yes | No | N/A | Criteria | Comments |
|---|--------|-----|----|-----|--|----------|
| 1. Is office address clearly visible from the street? | 2 | | | | Address is clearly visible and can be seen from the street. YES or NO answer. | |
| 2. Is office handicapped / wheelchair accessible? | 3 | | | | This includes handicapped ramps available externally, doorways, handrails and at least one rest room. Wheelchair accessible restroom can be anywhere in the same building as the physician's office; it does not have to be in the same suite. YES or NO answer. | |
| 3. Is parking adequate and close by? | 1 | | | | At least one handicapped parking space. YES or NO answer. | |
| 4. Is staff able to communicate with member in member's primary language? | 3 | | | | At least one staff person speaks member's primary language, or can access interpretive services (including hearing impaired). YES or NO answer. | |
| 5. Is bus service available to physician's office? | 1 | | | | May be N/A if office is located in rural area or public transportation is not available in the area. YES, NO or N/A answer (N/A answer receives full point value). | |
| 6. In case of fire, are exit signs visible? | 2 | | | | Exit signs present at all exits. When physician's office is located in large office building, exits might only be identified in common areas such as hallways, lobby, etc. YES or NO answer. | |
| 7. Are fire extinguishers working and accessible or does the entire building have a sprinkler system? | 2 | | | | Either fire extinguishers or a sprinkler system for the entire building is acceptable. YES or NO answer. | |

TOTAL POINTS: 14

SCORE:

PRACTITIONER OFFICE SITE EVALUATION TOOL

| B. WAITING ROOM | Points | Yes | No | N/A | Criteria | Comments |
|--|--------|-----|----|-----|--|----------|
| 1. Does the waiting room accommodate and provide adequate seating for patients? | 2 | | | | Assess the practitioner office needs through review of patient visits per hour and number of practitioners. YES or NO answer. | |
| 2. Is there adequate lighting? | 1 | | | | Lighting should be adequate for reading. YES or NO answer. | |
| 3. Is the waiting area well ventilated? | 1 | | | | Well ventilated, with functioning central air conditioning or heat. YES or NO answer. | |
| 4. Is the waiting area clean and free of clutter? | 1 | | | | Clutter includes any items which might be considered obstacles to safe passage in the area. YES or NO answer. | |
| 5. Are patient educational pamphlets available, organized, and given to members? | 2 | | | | Educational materials, including preventive health information, may be located in an area other than the waiting room and must be written in language understandable to members. YES or NO answer. | |

TOTAL POINTS: 7

SCORE:

| C. EXAM/PROCEDURE ROOMS | Points | Yes | No | N/A | Criteria | Comments |
|---|--------|-----|----|-----|--|----------|
| 1. Do the number and size of examination rooms accommodate the patient needs? | 1 | | | | Assess the practitioner office needs. YES or NO answer. | |
| 2. Are the exam rooms clean and free from clutter? | 1 | | | | Clutter includes any items which might be considered obstacles to safe passage in the area. YES or NO answer. | |
| 3. Is the equipment able to accommodate an individual with a disability? | 1 | | | | Providers are expected to have equipment who can accommodate an individual with a disability. YES or NO answer. | |
| 4. Are efforts made to maintain privacy by keeping door closed during exam and consultation with doctor or staff? | 3 | | | | This includes any area where patient care activities occur. YES or NO answer. | |
| 5. Are drape sheets or gowns available to patients? | 1 | | | | This includes any area where patient care activities occur. YES or NO answer. | |
| 6. Are sinks and soap available in patient care areas? | 2 | | | | Sinks in each exam room would be preferred, however it is acceptable if they are within the patient care area and easily accessible. YES or NO answer. | |
| 7. Are gloves located in the exam/procedure rooms and in all patient care areas? | 2 | | | | Staff should wear gloves for any invasive procedure (i.e. drawing blood, handling any body fluids or specimens). YES or NO answer. | |

TOTAL POINTS: 11

SCORE:

PRACTITIONER OFFICE SITE EVALUATION TOOL

Note: Reviewer to ask "Does the physician perform high risk procedures i.e. IV sedation, some surgical procedures, exercise stress test?" If YES, questions 1&2 require YES or NO answer. If NO, questions 1&2 may be answered N/A.

II. RISK MANAGEMENT = 33 POINTS

| A. EMERGENCY CARE | Points | Yes | No | N/A | Criteria | Comments |
|---|--------|-----|----|-----|---|----------|
| 1. Is the office staff able to provide or facilitate emergency care/service to include use of basic emergency equipment such as: an operable Ambubag or mask/pocket mask, oxygen, and airways? (see note above) List procedures performed in office: _____ _____ | 3 | | | | A policy, procedure or protocol is in place for staff to initiate basic life support measures and to obtain emergency transport to an acute care facility, if indicated. YES, NO, or N/A answer (N/A answer receives full point value). | |
| 2. Emergency medication is in stock and not expired? (see note above) | 4 | | | | YES, NO, or N/A answer based on need related to high risk procedures (N/A answer receives full point value). | |
| 3. Equipment is clean and in working order and a service log is maintained on all equipment? (cleaning/biomedical testing) | 1 | | | | A log sheet should be maintained to document equipment checks. YES or NO answer. | |
| 4. Is any staff member currently certified in CPR? | 2 | | | | At least one employee, who is in the office during all work office hours, must have a current BCLS certification. YES or NO answer. | |

TOTAL POINTS: 10

SCORE:

PRACTITIONER OFFICE SITE EVALUATION TOOL

| B. PLANS, LICENSURE/CERTIFICATION | | | | | Criteria | Comments |
|---|-----|----|-----|--|---|----------|
| Points | Yes | No | N/A | | | |
| 1. Medications and syringes are not patient accessible/controlled substances are locked and signed out? | 2 | | | | Any medications and sterile needles are stored away from public access. YES or NO answer. | |
| 2. A needle disposal container is used? | 2 | | | | Used needles and other sharp items are properly stored and disposed of in closed containers. YES or NO answer. | |
| 3. Hazardous/biohazard waste is bagged and disposed of properly? | 2 | | | | Red bags are available and used for disposal of biohazardous waste. YES or NO answer. | |
| 4. Standard Universal Precautions are observed? | 2 | | | | Protective equipment (i.e. gloves, gowns, goggles) are available to and used by all personnel when handling potentially infectious patients or materials. Must have supplies for good hand washing technique. YES or NO answer. | |
| 5. Is there a procedure in place to check for expired medications? | 2 | | | | Check drug expiration dates. Open vials of medications are to be dated and stored properly to include refrigeration if indicated. YES or NO answer. | |
| 6. Prescription pads are not patient accessible? | 2 | | | | Prescription pads are maintained away from public access in a locked environment. YES or NO answer. | |
| 7. An inventory log of sample drugs is maintained? | 2 | | | | Sample drugs are maintained away from public access. A log should be maintained and documentation either in log or progress notes when sample medications are dispensed. YES or NO answer. | |
| 8. Medication refrigerator is clean and does not contain food or specimens? | 2 | | | | Medications are to be stored in a separate refrigerator away from other items. YES or NO answer. | |
| 9. Are current documents available for positions requiring licensure, certification or registration? | 1 | | | | This category includes RN, LPN, RT, PT, CAN, Lab tech, Radiology Tech. Ask to see one license or certification of any staff member who is present at the time of review. YES or NO answer. | |

TOTAL POINTS: 17

SCORE:

PRACTITIONER OFFICE SITE EVALUATION TOOL

| C. ANCILLARY SERVICES | Points | Yes | No | N/A | Criteria | Comments |
|---|--------|-----|----|-----|---|----------|
| 1. Does the office have a CLIA registration certificate or a CLIA certificate of waiver? Certificate # _____; Exp. Date _____ Waiver # _____; Exp. Date _____ List lab tests performed on site: _____ _____ _____ | 2 | | | | If the physician's office performs any lab tests they must have a CLIA registration certificate or a CLIA certificate of waiver. A list of tests that can be performed under a waiver is available at www.cdc.gov ; go to Data and Statistics Page and choose CLIA. If no labs are performed in the office this question may be N/A. YES, NO or N/A answer (N/A answer receives full point value). | |
| 2. Is X-ray equipment inspected and licensed according to applicable Federal, State and Local laws and regulations? | 2 | | | | Calibration and evaluation of radiation equipment must be performed by a qualified, medical radiation physicist according to time frames established by federal, state and local laws and regulations. N/A may be used if no X-ray equipment present in the office. YES, NO or N/A answer (N/A answer receives full point value). | |
| 3. Are radiation protective devices in place to include shields, warning signs and pregnant women alert? | 2 | | | | Radiation signs posted and protection devices available for patient and staff. N/A may be used if no X-ray equipment present in the office. YES, NO or N/A answer (N/A answer receives full point value). | |

TOTAL POINTS: 6

SCORE:

PRACTITIONER OFFICE SITE EVALUATION TOOL

III. ACCESSIBILITY/AVAILABILITY OF APPOINTMENTS = 20 Points

| Points | Yes | No | N/A | Criteria | Comments |
|---|-----|----|-----|---|---------------|
| 1. Is there a 24-hour answering service or machine instructing members how to obtain care? | 3 | | | There is a process to ensure that calls are routed to the appropriate staff members. YES or NO answer. | |
| 2. Is physician coverage provided 7 days a week, 24 hours a day? | 3 | | | YES or NO answer. | |
| 3. Is the Health Plan's Member Service toll free number available? | 2 | | | Should be available to patients, upon request. YES or NO answer. | |
| 4. What are the physician's office hours? | | | | List in comments area. <div style="display: flex; align-items: center;"> <div style="margin-right: 10px;"> Mon. Tues. Wed. Thurs. Fri. Sat. </div> <div style="border: 1px solid black; width: 150px; height: 100px;"></div> </div> | |
| 5. Is there an appointment available for: A. Complete physical/Preventive Health exam or routine non-symptomatic visit within 3 months for adults and/or 2 months for a child? Actual # of days | 2 | | | Ask the office manager or staff member within what time frame patients are to be seen for these exams. Enter the actual # of days it takes to get an appt. for a complete physical/preventive health exam and routine/symptomatic visit. These standards are applicable to both PCP and Specialists. YES, NO or N/A answer only if not a high-risk OB/GYN provider (N/A answer receives full point value). | A. _____ Days |
| B. PCP and Specialist: PCP routine, Non-Urgent symptomatic visit within 14 calendar days? Actual # days | 2 | | | | B. _____ Days |
| Specialist routine, Non-Urgent symptoms visit within 30 calendar days? Actual # of days | | | | | C. _____ Days |
| C. Urgent visit: within 24 hours? | 2 | | | | D. _____ Days |
| D. Emergent visit: immediately? | 2 | | | | E. _____ Days |
| E. OB/GYN providers only: Routine, pre-natal care visit within 14 days? Medically necessary initial high-risk prenatal care within 5 days? | 2 | | | | |
| 6. Are patients seen by physicians within 15 minutes of scheduled appointments? Actual # of minutes | 2 | | | YES or NO answer. | _____ Minutes |
| 7. Is the office accepting new patients? | | | | YES or NO answer. | |

TOTAL POINTS: 20

SCORE:

PRACTITIONER OFFICE SITE EVALUATION TOOL

IV. MEDICAL RECORD KEEPING AND FILING = 15 POINTS

NOTE: This section of the tool does not replace ambulatory medical record review. For initial credentialing site visit, the reviewer must evaluate one blind medical record to assess the adequacy of medical record keeping practices.

| | Points | Yes | No | N/A | Criteria | Comments |
|---|--------|-----|----|-----|--|----------|
| 1. Are medical records maintained in an area away from public access and easily located/ accessible by staff? | 3 | | | | YES or NO answer. | |
| 2. Are medical records kept in a secure and confidential manner? | 2 | | | | If paper, secure access. If electronic, password, etc. YES or NO answer. | |
| 3. Is written authorization obtained for the release of medical records? | 3 | | | | Ask to see release form. YES or NO answer. | |
| 4. Is there a confidentiality policy for medical records? | 2 | | | | Accept verbal explanation by staff. YES or NO answer. | |
| 5. Medical record is organized and a standard format is used to document care? | 2 | | | | YES or NO answer. | |
| 6. All pages contain patient identification? | 1 | | | | YES or NO answer. | |
| 7. The provider of service is identified on each entry? | 1 | | | | YES or NO answer. | |
| 8. Biographical/personal data is contained in the record? | 1 | | | | YES or NO answer. | |

TOTAL POINTS: 15

SCORE:

SCORING RECOMMENDATIONS

| SECTION | Points Assigned | Points Scored | % of Total |
|---|-----------------|---------------|------------|
| I. Physical Accessibility and Appearance | 32 | 0 | 0% |
| II. Risk Management | 33 | 0 | 0% |
| III. Accessibility/Availability of Appointments | 20 | 0 | 0% |
| IV. Medical Record Keeping and Filing | 15 | 0 | 0% |
| TOTAL | 100 | 0 | 0% |

Passing score is 80% for each section.

If any section is less than 80%, written report of deficiencies is required.

If total score is less than 80%, formal corrective action and follow-up is required.

ANY "N/A" ANSWER WILL AUTOMATICALLY RECEIVE THE ASSIGNED SCORE FOR A "YES" ANSWER WHEN ENTERED INTO THE SYSTEM.

PRACTIONER OFFICE SITE EVALUATION TOOL

| Additional Practitioners | Name | Degree | Notes |
|--------------------------|------|--------|-------|
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

Site Visit Completed By: Print Name _____ Signature _____ Date _____

Site Visit Final Review: Print Name _____ Signature _____ Date _____

Attachment Q – Privacy Notice



Notice of Privacy Practices

For help to translate or understand this document, please call member services at:

| | |
|---------------|----------------|
| STAR and CHIP | 1-800-783-5386 |
| STAR+PLUS | 1-866-516-4501 |
| STAR Health | 1-866-912-6283 |

Relay Texas/TTY 1-800-735-2989 (Hearing impaired)

Si necesita ayuda para traducir o entender este texto, por favor llame al telefono.

Interpreter services are provided free of charge to you.

Covered Entities Duties:

Superior HealthPlan is a Covered Entity as defined and regulated under the Health Insurance Portability and Accountability Act (HIPAA). Superior is required by law to keep the privacy of your protected health information (PHI). We must give you this Notice. It includes our legal duties and privacy practices related to your PHI. We must follow the terms of the current notice. We must let you know if there is a breach of your unsecured

This Notice describes how we may use and disclose your PHI. It describes your rights to access, change and manage your PHI. It also says how to use rights.

Superior can change this Notice. We reserve the right to make the revised or changed Notice effective for your PHI we already have. We can also make it effective for any of your PHI we get in the future will promptly update and get you this Notice whenever there is a material change to the following stated in the notice:

- The uses and disclosures
- Your rights
- Our legal duties
- Other privacy practices stated in the notice

Updated notices will be on our website and in our Member Handbook. We will also mail you or email you a copy on request.

Uses and Disclosures of Your PHI:

The following is a list of how we may use or disclose your PHI without your permission or authorization:

- **Treatment.** We may use or disclose your PHI to a physician or other healthcare provider providing treatment to you. We do this to coordinate your treatment among providers. We also do this to help us with prior authorization decisions related to your benefits.



- **Payment.** We may use and disclose your PHI to make benefit payments for the healthcare services you received. We may disclose your PHI for payment purposes to another health plan, a healthcare provider, or other entity. This is subject to the federal Privacy Rules. Payment activities may include:
 - processing claims
 - determining eligibility or coverage for claims
 - issuing premium billings
 - reviewing services for medical necessity
 - performing utilization review
- **HealthCare Operations.** We may use and disclose your PHI to perform our healthcare operations. These activities may include:
 - providing customer services
 - responding to complaints and appeals
 - providing case management and care coordination
 - conducting medical review of claims and other quality assessment
 - improvement activities

In our healthcare operations, we may disclose PHI to business associates. We will have written agreements to protect the privacy of your PHI with these associates. We may disclose your PHI to another entity that is subject to the federal Privacy Rules. The entity must also have a relationship with you for its healthcare operations. This includes:

- quality assessment and improvement activities
 - reviewing the competence or qualifications of healthcare professionals
 - case management and care coordination
 - detecting or preventing healthcare fraud and abuse.
- **Appointment Reminders/Treatment Alternatives.** We may use and disclose your PHI to remind you of an appointment for treatment and medical care with us. We may also use or disclose it to give you information about treatment alternatives. We may also use or disclose it for other health-related benefits and services. For example, information on how to stop smoking or lose weight.
 - **As Required by Law.** If federal, state, and/or local law requires a use or disclosure of your PHI, we may use or disclose your personal health information. We do this when the use or disclosure complies with the law. The use or disclosure is limited to the requirements of the law. There could be other laws or regulations that conflict. If this happens, we will comply with the more restrictive laws or regulations.
 - **Public Health Activities.** We may disclose your PHI to a public health authority to prevent or control disease, injury, or disability. We may disclose your PHI to the Food and Drug Administration (FDA). We can do this to ensure the quality, safety or effectiveness of products or services under the control of the FDA.
 - **Victims of Abuse and Neglect.** We may disclose your PHI to a local, state, or federal government authority. This includes social services or a protective services agency authorized by law to have these reports. We will do this if we have a reasonable belief of abuse, neglect or domestic violence.



- **Judicial and Administrative Proceedings.** We may disclose your PHI in judicial and administrative proceedings. We may also disclose it in response to the following:
 - an order of a court
 - administrative tribunal
 - subpoena
 - summons
 - warrant
 - discovery request
 - similar legal request
 - **Law Enforcement.** We may disclose your relevant PHI to law enforcement when required to do so. For example, in response to a:
 - court order
 - court-ordered warrant
 - subpoena
 - summons issued by a judicial officer
 - grand jury subpoena
- We may also disclose your relevant PHI to identify or locate a suspect, fugitive, material witness, or missing person.
- **Coroners, Medical Examiners and Funeral Directors.** We may disclose your PHI to a coroner or medical examiner. This may be needed, for example, to determine a cause of death. We may also disclose your PHI to funeral directors, as needed, to carry out their duties.
 - **Organ, Eye and Tissue Donation.** We may disclose your PHI to organ procurement organizations. We may also disclose your PHI to those who work in procurement, banking or transplantation of:
 - cadaveric organs
 - eyes
 - tissues
 - **Threats to Health and Safety.** We may use or disclose your PHI if we believe, in good faith, that it is needed to prevent or lessen a serious or imminent threat. This includes threats to the health or safety of a person or the public.
 - **Specialized Government Functions.** If you are a member of HHSC's Medicaid or CHIP Programs, we may disclose your PHI as required by the Texas Health and Human Services Commission. We may also disclose your PHI:
 - to authorized federal officials for national security
 - to intelligence Agencies
 - for medical suitability determinations
 - for protective services or other authorized persons
 - **Workers' Compensation.** We may disclose your PHI to comply with laws relating to workers' compensation or other similar programs, established by law. These are programs that provide benefits for work-related injuries or illness without regard to fault.
 - **Emergency Situations.** We may disclose your PHI in an emergency situation, or if you are unable to respond or not present. This includes to a family member, close personal friend, authorized disaster relief agency, or any other person you told us about. We will use professional judgment and experience to decide if the disclosure is in your best



interests. If it is in your best interest, we will only disclose the PHI that is directly relevant to the person's involvement in your care.

- **Research.** In some cases, we may disclose your PHI to researchers when their clinical research study has been approved. They must have safeguards in place to ensure the privacy and protection of your PHI.

Verbal Agreement to Uses and Disclosure Your Protected Health Information (PHI)

We can take your verbal agreement to use and disclose your PHI to other people. This includes family members, close personal friends or any other person you identify. You can object to the use or disclosure of your PHI at the time of the request. You can give us your verbal agreement or objection in advance. You can also give it to us at the time of the use or disclosure. We will limit the use or disclosure of your PHI in these cases. We limit the information to what is directly relevant to that person's involvement in your healthcare treatment or payment.

We can take your verbal agreement or objection to use and disclose your PHI in a disaster situation. We can give it to an authorized disaster relief entity. We will limit the use or disclosure of your PHI in these cases. It will be limited to notifying a family member, personal representative or other person responsible for your care of your location and general condition. You can give us your verbal agreement or objection in advance. You can also give it to us at the time of the use or disclosure of your PHI.

Uses and Disclosures of Your PHI That Require Your Written Authorization

We are required to obtain your written authorization to use or disclose your PHI, with few exceptions, for the following reasons:

- **Sale of PHI.** We will request your written approval before we make any disclosure that is deemed a sale of your PHI. A sale of your PHI means we are getting paid for disclosing the PHI in this manner.
- **Marketing.** We will request your written approval to use or disclose your PHI for marketing purposed with limited exceptions. For example, when we have face-to-face marketing communications with you. Or, when we give promotional gifts of nominal value.
- **Psychotherapy Notes.** We will request your written approval to use or disclose any of you psychotherapy notes that we may have on file with limited exception. For example, for certain treatment, payment or healthcare operation functions.

All other uses and disclosures of your PHI not described in this Notice will be made only with your written approval. You may take back your approval at any time. The request to take back approval must be in writing. Your request to take back approval will go into effect as soon as you request it. There are two cases it won't take effect as soon as you request it. The first case is when we have already taken actions based on past approval. The second case is before we received your written request to stop.

Your Rights

The following are your rights concerning your PHI. If you would like to use any of the following rights, please contact us. Our contact information is at the end of this Notice.

- ***Right to Request Restrictions.*** You have the right to ask for restrictions on the use and disclosure of your PHI for treatment, payment or healthcare operations. You can also ask for disclosures to persons involved in your care or payment of your care. This includes family members or close friends. Your request should state the restrictions you are asking for. It should also say to whom the restriction applies. We are not required to agree to this request. If we agree, we will comply with your restriction request. We will not comply if the information is needed to provide you with emergency treatment. However, we will restrict the use or disclosure of PHI for payment or healthcare operations to a health plan when you have paid for the service or item out of pocket in full.
- ***Right to Request Confidential Communications.*** You have the right to ask that we communicate with you about your PHI in other ways or locations. This right only applies if the information could endanger you if it is not communicated in other ways or locations. You do not have to explain the reason for your request. However, you must state that the information could endanger you if the change is not made. We must work with your request if it is reasonable and states the other way or location where you PHI should be delivered.
- ***Right to Access and Received Copy of your PHI.*** You have the right, with limited exceptions, to look at or get copies of your PHI contained in a designated record set. You may ask that we give copies in a format other than photocopies. We will use the format you ask for unless we cannot practicably do so. You must ask in writing to get access to your PHI. If we deny your request, we will give you a written explanation. We will tell you if the reasons for the denial can be reviewed. We will also let you know how to ask for a review or if the denial cannot be reviewed.
- ***Right to Change your PHI.*** You have the right to ask that we change your PHI if you believe it has wrong information. You must ask in writing. You must explain why the information should be changed. We may deny your request for certain reasons. For example, if we did not create the information you want changed and the creator of the PHI is able to perform the change. If we deny your request, we will provide you a written explanation. You may respond with a statement that you disagree with our decision. We will attach your statement to the PHI you ask that we change. If we accept your request to change the information, we will make reasonable efforts to inform others of the change. This includes people you name. We will also make the effort to include the changes in any future disclosures of that information.
- ***Right to Receive an Accounting of Disclosures.*** You have the right to get a list of times within the last 6 year period in which we or our business associates disclosed your PHI. This does not apply to disclosure for purposes of treatment, payment, healthcare operations, or disclosures you authorized and certain other activities. If you ask for this more than once in a 12-month period, we may charge you a reasonable, cost-based fee for responding to these additional requests. We will give you more information on our fees at the time of your request.



- **Right to File a Complaint.** If you feel your privacy rights have been violated or that we have violated our own privacy practices, you can file a complaint with us. You can do this in writing. You can also do this by phone. Use the contact information at the end of this Notice. You can also submit a written complaint to the Health and Human Services Commission. See the contact information on the HHS website at www.hhs.gov/ocr. If you request, we will provide you with the address to file a written complaint with HHS. **WE WILL NOT TAKE ANY ACTION AGAINST YOU FOR FILING A COMPLAINT.**
- **Right to Receive a Copy of this Notice.** You may ask for a copy of our Notice at any time. Use the contact information listed at the end of the Notice. If you get this Notice on our website or by email, you can request a paper copy of the Notice.

Contact Information

If you have any questions about this Notice, our privacy practices related to your PHI or how to exercise your rights you can contact us in writing by USPS or email. You can also contact us by phone. Use the contact information listed below.

Superior HealthPlan
Privacy Officer – Compliance Department
5900 E. Ben White Blvd.
Austin, Texas 78741
Phone: 800-218-7453

Attachment R – Health Passport Cover Sheet

HEALTH PASSPORT COVER SHEET

Fax: 1-866-274-5952
Mail: Superior HealthPlan
PO Box 3003, Farmington, MO 63640-3803



PROVIDER INFORMATION (*Required field)

TIN #* _____
NPI* _____
NAME _____
PHONE _____ FAX _____
SERVICE DATE* _____ # of PAGES _____

MEMBER INFORMATION (*Required field)

FIRST NAME* _____
LAST NAME* _____
DFPS ID* _____ or MEDICAID ID* _____
DOB* _____

*****Please check only **ONE** form type below. If you wish to submit multiple forms, please use a separate coversheet. *****

BEHAVIORAL HEALTH

DO NOT SEND INDIVIDUAL THERAPY NOTES

- ☐ Initial Behavioral Health Assessment - 4
- ☐ Behavioral Health Review (Monthly) - 3
- ☐ Biopsychosocial Assessment
- ☐ Psychological Evaluation
- ☐ Other (Discharge Summary, etc.)

DENTAL

- ☐ Dental Form - 1
- ☐ Other

EARLY CHILDHOOD INTERVENTION

- ☐ IFSP Form - 2
- ☐ Other

FORENSIC ASSESSMENT

- ☐ Forensic Assessment Form - 1
- ☐ Other

OTHER

- ☐ Non-Consent Emergency Notification - 1
- ☐ Other

PHYSICAL HEALTH

- ☐ Nurtur Action/Care Path - 2
- ☐ Birthing Center Report Form 7484 - 1
- ☐ DME Certification and Receipt Form - 1
- ☐ Donor Human Milk Request Form - 1
- ☐ Federally Qualified Health Center Report Form 7484 - 1
- ☐ Labs
- ☐ Hearing Evaluation, Fitting, and Dispensing Report Form 3503 - 1
- ☐ Hospital Report HHSC Form 7484 - 1
- ☐ Notification of Pregnancy - 1
- ☐ Specimen Submission Form G-1C - 1
- ☐ Vision Care Eyeglass Patient Certification Form - 1
- ☐ Other (Discharge Summary, etc.)

TEXAS HEALTH STEPS

- ☐ Discharge to 5 Day Visit - 2
- ☐ 2 Week Visit - 2
- ☐ 2 Month Visit - 2
- ☐ 4 Month Visit - 2
- ☐ 6 Month Visit - 2
- ☐ 9 Month Visit - 2
- ☐ 12 Month Visit - 2
- ☐ 15 Month Visit - 2
- ☐ 18 Month Visit - 2
- ☐ 24 Month Visit - 2
- ☐ 30 Month Visit - 2
- ☐ 3 Year Visit - 2
- ☐ 4 Year Visit - 2
- ☐ 5 Year Visit - 2
- ☐ 6 Year Visit - 2
- ☐ Child Health History - 2
- ☐ CCP ECI Request for Initial/Renewal Outpatient Therapy - 1
- ☐ CCP Prior Authorization Private Duty Nursing - 1 CCP
- ☐ Prior Authorization Request Form - 1
- ☐ CRAFT
- ☐ Dental Mandatory Prior Authorization Request - 1
- ☐ Dental Criteria for Dental Therapy Under Anesthesia -
- ☐ 2 Hearing Checklist for Parents - 1
- ☐ HEEADSSS
- ☐ Lead Poisoning/Parent Questionnaire - 2
- ☐ Mental Health Interview Tool 0-2 Years - 1
- ☐ Mental Health Interview Tool 3-9 Years - 1
- ☐ Mental Health Interview Tool 10-12 Years - 1
- ☐ Mental Health Interview Tool 13-20 Years - 1
- ☐ Nursing Addendum to Plan of Care - 3
- ☐ Pediatric Symptom Checklist (PSC-35)
- ☐ PSC-Y
- ☐ Referral Form - 1
- ☐ TB Questionnaire - 1
- ☐ Other

This is a privileged and confidential communication that is intended only for the named recipient(s). Any unauthorized review, use, disclosure or distribution of this communication is prohibited. If you believe you have received this communication in error, please inform the sender immediately via e-mail or telephone using the contact information provided on the cover page. Thank you.

INTERNAL USE ONLY: TEMPLATE NAME _____

SHP_20173991

Attachment S – Allergy Skin Testing and Immunotherapy for Non-Allergists



Provider Attestation Statement Allergy Skin Testing and Immunotherapy for Non-Allergists

| | | | |
|---|--|-------|--|
| Physician's Name: | | | |
| Provider Type: | | | |
| NPI Number: | | | |
| Tax ID Number: | | | |
| Physical Address: | | | |
| Contact Number: | | | |
| <p>Please check one or both of the following attestation statements which apply to you:</p> <p><input type="checkbox"/> I attest that I, as a non-allergist, am clinically trained to provide allergy skin testing and immunotherapy. (Please provide evidence of training in the field of allergy skin testing and immunotherapy.)</p> <p><input type="checkbox"/> I attest that I understand allergy clinical practice guidelines recommend that I have the following equipment and staff to safely provide immunotherapy (allergy shots) to patients at my location of practice:</p> <ul style="list-style-type: none"> <input type="checkbox"/> Aeroallergen and venom extract storage (4 degrees C refrigerator with alarm) <input type="checkbox"/> 1 ml (for AIT) and 3 ml (for VIT) disposable (safety) syringes with 27 gauge 5/8 inch needles <input type="checkbox"/> Epi-pen auto injectors – 0.3 mg for adults and 0.15 mg for children <input type="checkbox"/> Crash cart – BLS+ level <input type="checkbox"/> Glucagon <input type="checkbox"/> Vital Signs monitor <input type="checkbox"/> Oxygen administration equipment <input type="checkbox"/> Personnel with BLS+ training <input type="checkbox"/> Personnel trained to give shots, recognize and treat anaphylaxis | | | |
| Physician Signature: | | Date: | |
| Printed Name: | | | |

By signing this document, I certify that the information provided herein is true, accurate and complete to the best of my knowledge. I understand that under my Provider Participation Agreement, Superior HealthPlan, and applicable Regulators including the Centers for Medicare and Medicaid Services, and the Texas Health & Human Services Commission or their Representatives, may inspect and evaluate my records related to Members and the provision of and payment for services to audit compliance with this review requirement, and other contractual requirements and Federal and State Laws or Regulations.

SHP_2014792C

Attachment T – Allergy Immunotherapy for Non-Allergists



Provider Attestation Statement Allergy Immunotherapy (Allergy Shot Administration ONLY) for Non-Allergists

| | |
|--|-------|
| Physician's Name: | |
| Provider Type: | |
| NPI Number: | |
| Tax ID Number: | |
| Physical Address: | |
| Contact Number: | |
| Please check the following attestation statement, and the specific equipment and trainings which apply to your place of service: | |
| <input type="checkbox"/> I attest that I understand allergy clinical practice guidelines recommend that I have the following equipment and staff to safely provide immunotherapy (allergy shots) to patients at my location of practice: | |
| <input type="checkbox"/> Aeroallergen and venom extract storage (4 degrees C refrigerator with alarm) | |
| <input type="checkbox"/> 1 ml (for AIT) and 3 ml (for VIT) disposable (safety) syringes with 27 gauge 5/8 inch needles | |
| <input type="checkbox"/> Epi-pen auto injectors – 0.3 mg for adults and 0.15 mg for children | |
| <input type="checkbox"/> Crash cart – BLS+ level | |
| <input type="checkbox"/> Glucagon | |
| <input type="checkbox"/> Vital Signs monitor | |
| <input type="checkbox"/> Oxygen administration equipment | |
| <input type="checkbox"/> Personnel with BLS+ training | |
| <input type="checkbox"/> Personnel trained to give shots and to recognize and treat anaphylaxis | |
| Physician Signature: | Date: |
| Printed Name: | |

By signing this document, I certify that the information provided herein is true, accurate and complete to the best of my knowledge. I understand that under my Provider Participation Agreement, Superior HealthPlan, and applicable Regulators including the Centers for Medicare and Medicaid Services, and the Texas Health & Human Services Commission or their Representatives, may inspect and evaluate my records related to Members and the provision of and payment for services to audit compliance with this review requirement, and other contractual requirements and Federal and State Laws or Regulations.

SHP_2014792B

Attachment U – PCP, LTSS and Hospital QRG's

Primary Care Provider (PCP) Quick Reference Guide



| General Information | | |
|---|--|----------------|
| Website Please visit the Secure Provider Portal 24/7 for questions on claim status, to verify eligibility, to request or check status of an authorization, and to submit general questions. | Secure Provider Portal: Provider.SuperiorHealthPlan.com | |
| Provider Services Please contact Provider Services for questions on claim payments, rejections, denials, to verify eligibility or for help escalating any issues you may have. For claims related questions, be sure to have your claim number available. HIPAA Validation will still occur. | STAR, MRSA, CHIP, RSA, STAR+PLUS and STAR Kids | 1-877-391-5921 |
| | STAR Health | 1-866-439-2042 |
| Member Services and After Hours Members can contact Member Services to change their PCP or for help with other questions. Our nurses are available to help members with urgent issues after hours and on holidays. | RelayTexas (TDD/TTY) | 1-800-735-2989 |
| | STAR, MRSA, CHIP and RSA | 1-800-783-5386 |
| | STAR+PLUS | 1-866-516-4501 |
| | STAR Kids | 1-844-590-4883 |
| | STAR Health | 1-866-912-6283 |
| Provider Complaints | TexasProviderComplaints@SuperiorHealthPlan.com | |
| Claims Submission and Claims Payment | | |
| Providers may submit claims in three ways: 1. Secure Provider Portal at Provider.SuperiorHealthPlan.com 2. EDI – 1-800-225-2573 ext. 25525, Payor ID: 68069, Behavioral Health Payor ID: 68068 3. Paper – See address below under Initial, Resubmission, Corrected or Reconsiderations | | |
| Initial, Resubmission, Corrected or Reconsiderations SuperiorHealthPlan P.O. Box 3003 Farmington, MO 63640-3803 Payor ID: 68069 | Claim Appeals SuperiorHealthPlan P.O. Box 3000 Farmington, MO 63640-3800 Payor ID: 68069 | |
| Timely Filing Deadline 95 days from the date of service | | |
| Corrected Claims, Requests for Reconsideration or Claim Disputes 120 days from the date of the Explanation of Payment (EOP) | | |
| EFT/ERA – PaySpan To register for this free service, call 1-877-331-7154 or visit payspanhealth.com . | | |
| Secure Provider Portal / HealthPassport Help Desk | | |
| Secure Provider Portal Help Desk | Phone: 1-866-895-8443 Email: TX.WebApplications@SuperiorHealthPlan.com | |
| Health Passport (for STAR Health) Help Desk | Phone: 1-866-714-7996 Email: TX.PassportAdministration@SuperiorHealthPlan.com | |
| Provider Contracting | | |
| All contracting for new and existing Providers is done through the Network Development and Contracting Management department. Contract packets can be requested by completing the Network Participation Request on our website at https://www.SuperiorHealthPlan.com/providers/become-a-provider.html . | | |
| Network Development Email: SHP.NetworkDevelopment@SuperiorHealthPlan.com Mail: Credentialing Department, Superior HealthPlan, 5900 E. Ben White Blvd., Austin, TX 78741 | | |

Primary Care Provider (PCP) Quick Reference Guide



| Provider Re-credentialing | |
|--|---|
| Email: Credentialing@SuperiorHealthPlan.com Fax: 1-866-702-4831 Mail: Credentialing Department, Superior HealthPlan, 5900 E. Ben White Blvd., Austin, TX 78741 | |
| Prior Authorizations | |
| Providers may submit authorizations in three ways: <ol style="list-style-type: none"> 1. Secure Provider Portal at Provider.SuperiorHealthPlan.com 2. Phone: 1-800-218-7508 3. Fax: 1-800-690-7030 | |
| High-Tech Imaging Prior Authorizations | |
| Radiology Services - National Imaging Associates (NIA): NIA will manage the prior authorization of non-emergent, advanced, outpatient imaging services rendered to Superior HealthPlan members such as CT/CTA, MRI/MRA, PET Scan, CCTA, Nuclear Cardiology/MPI, Echocardiography and Stress Echo. | |
| <i>(Note: Echocardiography authorization only required for STAR+PLUS members)</i> | |
| Phone: 1-800-642-7554 Website: www.RadMD.com | |
| Pharmacy Benefits Manager – Envolve Pharmacy Solutions | |
| Bin Number: 004336; Group ID: RX5458 https://www.envolvehealth.com/pharmacy | |
| Prior Authorization Requests | Phone: 1-866-399-0928 Fax: 1-866-399-0929 Website: https://www.superiorhealthplan.com/providers/resources/pharmacy.html |
| Pharmacy Appeals | Phone: 1-800-218-7453 ext. 22168 Fax: 1-866-918-2266 |
| Resolution Help Desk | Phone: 1-800-460-8988 |
| For the most current Provider Manual and Prior Authorization list, please visit SuperiorHealthPlan.com . | |

STAR+PLUS/LTSS Quick Reference Guide



| General Information | |
|---|--|
| Provider Services 1-877-391-5921 Relay Texas (TDD/TTY) 1-800-735-2989 or 711 | Website www.SuperiorHealthPlan.com |
| Member Services and After Hours (24-Hour Nurse Advice Line) 1-866-516-4501 Relay Texas (TDD/TTY) 1-800-735-2989 or 711 | Secure Provider Portal Provider.SuperiorHealthPlan.com |
| Fair Hearing Requests Hotline 1-877-398-9461 | Secure Provider Portal Help Desk: Phone: 1-866-895-8443 Email: TXWebApplications@SuperiorHealthPlan.com |
| Provider Contracting | |
| Phone: 1-866-615-9399 ext. 22534 Email: SHP.NetworkDevelopment@SuperiorHealthPlan.com Web: https://www.SuperiorHealthPlan.com/providers/become-a-provider.html | |
| Claims Submission– Acute Care Services & LTSS (non-dual) | |
| Providers may submit claims in three ways: 1. Secure Provider Portal: Provider.SuperiorHealthPlan.com 2. EDI: 1-800-225-2573 ext. 25525, Payor ID: 68069 3. Paper: see address below under Initial, Resubmission, Corrected or Reconsiderations | |
| Initial, Resubmission, Corrected or Reconsiderations Superior HealthPlan P.O. Box 3003 Farmington, MO 63640-3803 Payor ID: 68069 | Claim Appeals Superior HealthPlan P.O. Box 3000 Farmington, MO 63640-3800 Payor ID: 68069 |
| Timely Filing Deadline: 95 days from date of service | Corrected Claims, Requests for Reconsideration or Claim Disputes: 120 days from the date of the Explanation of Payment (EOP) |
| EFT/ERA – PaySpan To register for this free service, call 1-877-331-7154 or visit www.payspanhealth.com | |
| Prior Authorization – LTSS Service Coordination (E.g. PAS, DAHS, ERS) | |
| Phone: 1-877-277-9772 Fax: 1-866-895-7856 (STAR+PLUS) 1-855-277-5700 (STAR+PLUS MMP) 1-877-441-5881 (DAHS Authorizations) | |
| Prior Authorization - Acute Care Services (Non-Dual) (E.g. In-home skilled nursing, PDN, most DME) | Prior Authorization - Acute Care Services (Dual) (E.g. In-home skilled nursing, PDN, most DME) |
| Non-Dual Members (Medicaid only) Phone: 1-800-218-7508 Fax: 1-800-690-7030 | Dual Members (Medicare & Medicaid) Phone: Member's Medicare Carrier |
| Electronic Visit Verification | |
| Web: https://hhs.texas.gov/laws-regulations/forms/1000-1999/form-h1002-provider-electronic-visit-verification-vendor-system-selection Email: cpc@dads.state.tx.us | |
| For the most current Provider Manual and Prior Authorization list, please visit SuperiorHealthPlan.com. | |

Acute Care/Hospital Quick Reference Guide



| General Information | | |
|---|--|----------------|
| Secure Provider Portal Please visit the Secure Web Portal 24/7 for questions on claim status, to verify eligibility, to request or check status of an authorization, and to submit general questions. | Secure Provider Portal: Provider.SuperiorHealthPlan.com | |
| Provider Services Please contact Provider Services for questions on claim payments, rejections, denials, to verify eligibility or for help escalating any issues you may have. For claims related questions, be sure to have your claim number available. HIPAA Validation will still occur. | STAR, MRSA, CHIP, RSA, STAR+PLUS and STAR Kids | 1-877-391-5921 |
| | STAR Health | 1-866-439-2042 |
| | CHIP RSA | 1-800-522-8923 |
| | MRSA | 1-877-644-4494 |
| Member Services and After Hours Members can contact Member Services to change their PCP or for help with other questions. Our nurses are available to help members with urgent issues after hours and on holidays. | Relay Texas (TDD/TTY) | 1-800-735-2989 |
| | STAR, MRSA, CHIP and RSA | 1-800-783-5386 |
| | STAR+PLUS | 1-866-516-4501 |
| | STAR Kids | 1-844-590-4883 |
| | STAR Health | 1-866-912-6283 |
| Provider Complaints | TexasProviderComplaints@SuperiorHealthPlan.com | |
| Claims Submission and Claims Payment | | |
| Providers may submit claims in three ways: 1. Secure Web Portal at Provider.SuperiorHealthPlan.com 2. EDI - 1-800-225-2573 ext. 25525 Payor ID: 68069, Behavioral Health Payor ID: 68068 3. Paper – See address below under Initial, Resubmission, Corrected or Reconsiderations. | | |
| Initial, Resubmission, Corrected or Reconsiderations Superior HealthPlan P.O. Box 3003 Farmington, MO 63640-3803 Payor ID: 68069 | Claim Appeals Superior HealthPlan P.O. Box 3000 Farmington, MO 63640-3800 Payor ID: 68069 | |
| Timely Filing Deadline: 95 days from the date of service | | |
| Corrected Claims, Requests for Reconsideration or Claim Disputes: 120 days from the date of the Explanation of Payment (EOP) | | |
| EFT/ERA – PaySpan Health To register for this free service, call 1-877-331-7154 or visit payspanhealth.com . | | |
| Secure Provider Portal Help Desk | | |
| Phone: 1-866-895-8443 Email: TX.WebApplications@SuperiorHealthPlan.com | | |
| Provider Contracting | | |
| All contracting for new and existing providers is done through the Network Development and Contracting Management department. Contract packets can be requested by completing the Network Participation Request on our website at https://www.SuperiorHealthPlan.com/providers/become-a-provider.html . | | |
| Network Development Email: SHP.NetworkDevelopment@SuperiorHealthPlan.com Mail: Credentialing Department, Superior HealthPlan, 5900 E. Ben White Blvd., Austin, TX 78741 | | |

Acute Care/Hospital Quick Reference Guide



| Provider Re-credentialing | |
|---|---|
| Email: Credentialing@SuperiorHealthPlan.com Fax: 1-866-702-4831 Mail: Credentialing Department, Superior HealthPlan, 5900 E. Ben White Blvd., Austin, TX 78741 | |
| Provider Authorizations | |
| Providers may submit authorizations in three ways: 1. Secure Provider Portal at Provider.SuperiorHealthPlan.com 2. Fax: 1-800-690-7030 3. Call: 1-800-218-7508 | |
| NICU and Emergent Hospital Admission Notification & Authorization | |
| Products | Business Line |
| STAR, STAR+PLUS, STAR Kids, STAR Health, CHIP | 1-855-594-6103 |
| Medicaid RSA, CHIP RSA | 1-877-804-7109 |
| High-Tech Imaging Prior Authorizations | |
| Radiology Services - National Imaging Associates (NIA): NIA will manage the prior authorization of non-emergent, advanced, outpatient imaging services rendered to Superior members such as CT/CTA, MRI/MRA, PET Scan, CCTA, Nuclear Cardiology/MPI, Echocardiography and Stress Echo. (Note: Echocardiography authorization only required for STAR+PLUS members) Phone: 1-800-642-7554 Website: www.RadMD.com | |
| Pharmacy Benefits Manager – Envolve Pharmacy Solutions | |
| Bin Number: 004336; Group ID: RX5458 https://www.envolvehealth.com/pharmacy | |
| Prior Authorization Requests | Phone: 1-866-399-0928 Fax: 1-866-399-0929 Website: https://www.SuperiorHealthPlan.com/providers/resources/pharmacy |
| Pharmacy Appeals | Phone: 1-800-218-7453, ext. 22168 Fax: 1-866-918-2266 |
| Resolution Help Desk | Phone: 1-800-460-8988 |
| For the most current Provider Manual and Prior Authorization List, please visit SuperiorHealthPlan.com. | |

Attachment V – Rate Enhancement Affidavit

Rate Enhancement Affidavit

A MATERIAL OR FALSE STATEMENT OR OMISSION MADE IN CONNECTION WITH THIS AFFIDAVIT MAY SUBJECT THE PERSON AND/OR ENTITY MAKING THE FALSE STATEMENT TO ANY AND ALL CIVIL AND CRIMINAL PENALTIES AVAILABLE PURSUANT TO APPLICABLE FEDERAL AND STATE LAW.

State of Texas _____ §
County of _____ §

Definitions:

Participating Provider: Someone participating in rate enhancement.
Rate Enhancement: An additional amount of monies paid to provider to be passed on for compensation of direct care staff.

I, _____ < (full name printed), swear an oath under penalty of law that I am _____ <title> of _____ <<company> and that the statements submitted in this Affidavit are true and correct to the best of my knowledge.

I further swear that I or my company have met the requirements set forth in 15 TAC §355.112 which states that allowable enhancement fund compensation for attendants (as defined above) was applied either as salaries and/or wages, including payroll taxes and workers' compensation, or employee benefits to direct care staff.

I agree to submit to an audit, examination and review of books, records, documents and files, in whatever form they exist, of the named company and its affiliates, inspection of its places(s) of business and equipment, and to permit interviews of principals, agents, and employees. I understand that refusal to permit such inquiries shall be grounds for whatever civil and criminal penalties are available pursuant to applicable federal and state law and/or termination of my contract with Superior HealthPlan, Inc.

Should an audit result in a finding of non-compliance with these requirements, it could result in recoupment of those enhanced payments and termination of the contract with Superior HealthPlan. It shall also be grounds for whatever civil and criminal penalties are available pursuant to federal and state law.

To prevent any delay in processing, it is very important to include the following information on the returned affidavit: Tax Identification Number (TIN), your assigned NPI number and the nine digit DADS contract number awarded to you from the Texas Health and Human Services Commission (not to be confused with your five-to-six digit license number).

Business Name: _____ **Business Tax ID:** _____

| Program Type | DADS Contract Number | Provider's Billing NPI or Atypical ID |
|-----------------|----------------------|---------------------------------------|
| PHC | | |
| DAHS | | |
| HCBA | | |
| Assisted Living | | |
| Habilitation | | |

Please check one of the following:

- ☐ I contract with DADS for Rate Enhancement and wish to participate in Superior's Rate Enhancement Program
- ☐ I no longer contract with DADS for Rate Enhancement as of _____ and wish to remain in Superior's Rate Enhancement Program.
- ☐ I do not wish to participate in Rate Enhancement through Superior HealthPlan

Affiant's Signature _____ **Affiant's Phone Number & e-mail** _____

Date _____

Attachment W – Corrected Claim Form

Corrected Claim Form



Mail completed form to:
Superior HealthPlan
P.O. Box 3003
Farmington, MO
63640-3803

| | |
|---|---------------------------------------|
| Provider Name | Texas Medicaid Provider Number |
| Claim Control Number (Original Claim Number) | Date(s) of Services |
| Member Name | Member Number |

Reason for request:

- ☐ Other insurance payment (EOB; EOP must be attached)
- ☐ Incorrect payment or other (please explain below)

Comments:

Do not complete the shaded areas:

| | | |
|----------------------|-----------------|--------------------|
| Date Received | Date Due | Reviewed By |
|----------------------|-----------------|--------------------|

Please Note: Handwritten Corrected Claims are not accepted by Superior HealthPlan. All corrected claims should be free of handwritten verbiage and submitted on a standard red and white UB-04 or HCFA 1500 claim form along with the original EOP. Any UB-04 or HCFA 1500 forms received that do not meet the CMS printing requirements, will be rejected back to the provider or facility upon receipt. The only acceptable claim forms are those printed in Flint OCR Red, J6983, (or exact match) ink.

When submitting corrected claims on a standard red and white form, the previous claim number should be referenced in field 64 of the UB-04 and 22 of the HCFA 1500 as outlined in the NUCC guidelines. The appropriate frequency code/resubmission code should also be billed in field 4 of the UB-04 and 22 of the HCFA 1500. Omission of these data elements may cause inappropriate denials, delays in processing and payment.

SHP_2014770



5900 E. Ben White Blvd.
Austin, TX 78741

STAR, CHIP 1-877-391-5921

STAR+PLUS 1-877-391-5921

STAR Kids 1-877-391-5921

STAR Health 1-866-439-2042

SuperiorHealthPlan.com